

## Total Aviation System Safety Standards Improvements

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This deliverable D3.5b is a complement of D3.5a, and contains a set of methods for automatic precursor detection and coding in accordance with safety barrier failure oriented taxonomy related to ASCOS CATS safety model.

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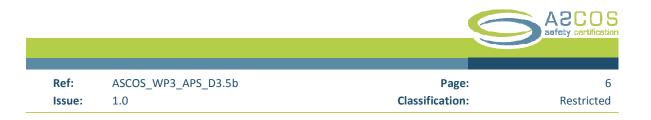
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Acronym	Definition
APU	Auxiliary Power Unit
CMCF	Central Maintenance Computing Function
AoC	Angle Of Attack sensors
ASCOS	Aviation Safety and Certification of new operations and Systems
АТМ	Air Traffic Management
CATS	Causal Model for Air Transport Safety
CICTT	CAST/ICAO Common Taxonomy Team
СМС	Central Maintenance Computer
CMS	Centralized Maintenance System
DAR	Direct Access Recorder
ESD	Event Sequence Diagram
FDE	Flight Deck Effect
FDM	flight data monitoring
FDR	flight data recorder
FOQA	Flight Operational Quality Assurance
GDRAS	Ground Data Replay and Analysis Station
LLR	Lessons Learned Requirement
MCDU	Multifunction Control Display Unit
MOQA	Maintenance Operational Quality Assurance
PCL	Precursor Criticality Level
TAS	Total Aviation system
IVHMS	Integrated Vehicle Health Monitoring system

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### **Executive Summary**

This document contains set of methods for automatic precursor detection and coding in accordance with safety barrier failure oriented taxonomy related to ASCOS CATS safety model.

As it is defined in deliverable D3.5\_1 Total Aviation System Safety Standards Improvements the aim of this document D3.5\_2 is to propose feasible methods for implementation of safety barrier failure (precursor) oriented approach to safety in Total Aviation System. It is dedicated to improvement of safety standards by application of Lessons Learned Requirements (LLR) derived from operation events. It is realized in the following steps:

- 1. Description of the existing recording process of system malfunctions in the maintenance computer of the aircraft.
- 2. Definition a generic taxonomy safety barriers failure oriented
- 3. Definition of methods to detect and code automatically system malfunctions with a taxonomy "safety barrier oriented" and record them in the maintenance computer.
- 4. Identification of possible methods for automatic relation of the malfunctions detected in a system with the risk models and their identified safety barriers (using on board or ground facilities)
- 5. Extend the methodology to each player of the total aviation system (Airlines, ATM, Airports, Airworthiness and Crew licensing with taking into consideration its specification).
- 6. Besides that in the first part of the section all necessary assumptions and definitions concerning precursors, risk, safety barrier as well as Lessons Learned Requirement issues were included

This document corresponds to steps 2 to 4. For more information on the methodology please refer to  $D3.5_1$  §5.5

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# **1** Possible methods for automatic precursors detection and coding in accordance with safety barrier failure oriented taxonomy

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence (CICTT)	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1.Aircraft System Integrity	Proper working of critical	Autoflight Failure	TO01B11	Autopilot mode change annunciation failure during take-off	TO- <u>SCF-NP-AFC-</u> <u>APMCFL</u>	Phase 1: At least 2 from: 1. Speed above ~35kts	TO thrust not achieved AND /
during take-off roll	aircraft Systems during take-			<u>Automatic thrust</u> <u>control unavailable or</u> <u>loss</u> during take-off	TO- <u>SCF-NP-AFC-</u> <u>ATLS</u>	and not decreasing 2. Altitude: 0 - 50ft	OR incorrect configuration AND autopilot status – activated ANE Engines work parameters -
	off roll			Automatic thrust <u>control error</u> during take-off	TO- <u>SCF-NP-AFC-</u> <u>ATERR</u>	AGL, 3. Application of take-off power	correct
		Communicatio ns Failure	TO01B12	Communication with ATC loss during take-off	TO- <u>SCF-NP-AVION-</u> <u>COMMLS</u>		OR Incomplete pilot-controller communication loop (e.g. lack c controller hearback)
		Electrical Power Failure	TO01B13	Electrical generating capability loss take-off	TO- <u>SCF-NP-ELEC-</u> <u>GENLS</u>		OR Loss of part of or all
				Electrical system malfunction during take-off	TO- <u>SCF-NP-ELEC-</u> SYSMALF	-	components powered by the same bus or energy source
		Fire Protection Failure	TO01B14	<u>Warning error</u> – fire on board during take-off Fire extinguishing	TO- <u>SCF-NP-AVION-</u> <u>WRNERR</u> -FIRE TO-SCF-NP-MISC-		OR Fire warning or extinguishin system activation AND lack of
				system failure during take-off	FIREEXTFL		other symptoms of fire on board

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		Hydraulic Power Failure	TO01B15	Hydraulic System Failure during take-off	TO- <u>SCF-NP-MISC-</u> <u>HYDFL</u>	OR Warning about hydraulic system failure
		Indicating and Recording System Failure	TO01B16	Automatic protection functions failures – indicating and recording failure during take-off	TO- <u>SCF-NP-AFC-</u> <u>AUTOPROTFL</u> - IRECF	OR Warning about indicating and recording functions failure
		Navigation System Failure	TO01B17	Heading information         loss_during take-off         Heading information         error_during take-off         Navigational         information loss_during         take-off         Navigational         information         take-off	TO- <u>SCF-NP-AVION- HDGLS</u> TO- <u>SCF-NP-AVION- HDGERR</u> TO- <u>SCF-NP-AVION- NAVLS</u> TO-SCF-NP-AVION-	OR Incorrect functioning or loss of any navigation related system (e.g. due to power supply failure or component failure) OR Warning about navigation system failure
		Auxiliary	TO01B18	information error during take-off Auxiliary Power Unit	NAVERR TO-SCF-NP-MISC-	OR APU failure warning AND/OF
		Power Unit Failure		failure during take-off	<u>APUFL</u>	incorrect parameters of air conditioning system (in case when engine bleed air is turned off during take-off)
		Flap Systems Failure	TO01B19	<u>Uncommanded flight</u> <u>control movement</u> – flap - during take-off	TO- <u>SCF-NP-FC-</u> <u>UNCMV</u> -FLAP	OR Excessive bank angle
				<u>Flight control surface</u> <u>failure to move as</u> <u>commanded</u> – flap - during take-off	TO- <u>SCF-NP-FC-</u> <u>MVFL</u> -FLAP	AND/OR asymmetric lift AND/OR excessive vibration level in aircraft wing components (other than
				<u>Other flight control</u> <u>system malfunction or</u> <u>failure</u> – flap movement - during take-off	TO- <u>SCF-NP-FC-</u> <u>OTHR</u> -FLAP	resulting with directional problems)

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	Drag Control Systems Failure	TO01B110	<u>Uncommanded flight</u> <u>control movement</u> – drag control surface - during take-off	TO- <u>SCF-NP-FC-</u> <u>UNCMV</u> -DRAGC	
			Flight control surface failure to move as commanded – drag control surface - during take-off	TO- <u>SCF-NP-FC-</u> <u>MVFL</u> -DRAGC	OR Acceleration and attitude related parameters of aircraft different than expected (calculated for current
			<u>Other flight control</u> <u>system malfunction or</u> <u>failure</u> – drag control surface during take-off	TO- <u>SCF-NP-FC-</u> <u>OTHR</u> -DRAGC	conditions). Directional control problems excluded from this case (see ESD 4)
			<u>Reverser / beta</u> <u>malfunction - in-flight</u> <u>deploy</u> during take-off	TO- <u>SCF-PP-RMID</u>	
	Landing Gear Systems Failure	TO01B111	<u>Indication failure –</u> <u>landing gear</u> - during take-off	TO- <u>SCF-NP-LG-</u> INDFL	
			<u>Tire failure</u> - during take-off	TO- <u>SCF-NP-LG-</u> <u>TIREFL</u>	
			<u>Wheel failure</u> - during take-off	TO- <u>SCF-NP-LG-</u> <u>WHLFL</u>	OR Acceleration parameters lower than expected (calculated
			Brake system failure - during take-off	TO- <u>SCF-NP-LG-</u> <u>BRKFL</u>	for current conditions) AND/OR excessive vibration level of
			Landing gear vibration - during take-off	TO- <u>SCF-NP-LG-VIB</u>	landing gear components.
			<u>Floatation system</u> <u>failure</u> - during take-off	TO- <u>SCF-NP-LG-</u> <u>FLOTFL</u>	
			Other landing gear malfunction or failure -	TO- <u>SCF-NP-LG-</u> <u>OTHR</u>	
			during take-off		

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		Pneumatic Systems Failure	TO01B112	<u>Pneumatic system</u> <u>failure</u> - during take-off	TO- <u>SCF-NP-MISC-</u> <u>PNEUFL</u>		OR Warning about pneumatic system failure
		Door Systems Failure	TO01B113	Other cabin systems malfunction or failure door - during take-off	TO- <u>SCF-NP-CABIN-</u> <u>OTHR</u> -DOOR	-	OR Warning about cabin door unlocked
		Other Systems Failures	TO01B114	Other fuel systems malfunction or failure during take-off	TO- <u>SCF-NP-FUEL-</u> <u>OTHR</u>		
				Other miscellaneous malfunction or failure during take-off	TO- <u>SCF-NP-MISC-</u> <u>OTHR</u>		OR Warning about fuel system
				Other environmental control system malfunction or failure - during take-off	TO- <u>SCF-NP-ECS-</u> <u>OTHR</u>		failure (e.g. leak) displayed on ECAM or equivalent system
				Cabin window problem - during take-off	TO- <u>SCF-NP-</u> <u>STRUCT-</u> <u>CABINWDW</u>	_	
2.Execution of take-off rejection being a result of aircraft	Proper decision of the crew concerning termination of take-off	Pilot Misdiagnosis	TO01B211	FCE – misdiagnose the failure detected problem occurred during take-off and rejected take-off above V1	RTO-FCE-MISD- RTOABV1- <u>SCF-NP</u>	Phase 2a: Following Phase 1 AND Braking application and at least two from: 1. Speed above V1 and not increasing, 2. Altitude – Oft AGL, 3. thrust idle or reverse mode	Take-off rejection after reaching the V1 speed
system failure during take-off roll	procedure	Pilot Misjudgement	TO01B212	FCE – misjudgement in terms of necessity of rejected take-off above V1	RTO-FCE-MISJ- RTOABV1		

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		Take-off rejected correctly when below V1	TO01B22	Rejected take-off below V1 resulted from failure detected	RTO- <u>SCF-NP</u>	Phase 3. Following Phase 1 AND Braking application and	OR Take-off rejection at speed below v1
3.Effective braking after execution of take-off rejection being a	Parameters of braking systems and devices included into assumed /	Insufficient Runway Length	TO01B31	Runway too short due to poor surface condition (ice or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL	at least two from: 1. Speed below V1 and not increasing and at least one from: 1. Altitude – Oft AGL, 2.	Runway excursion AND braking devices work correctly AND braking performed correctly AND deceleration parameters lower than expected indicating on incorrect surface friction factor.
result of aircraft system failure during take-off roll	expected range	Brakes not functioning correctly	TO01B32	Automatic braking loss following RTO <u>Automatic braking</u> <u>operation error</u> following RTO <u>Brake system failure</u> following RTO	RTO- <u>SCF-NP-AFC-</u> <u>ABRKLS</u> RTO- <u>SCF-NP-AFC-</u> <u>ABRKERR</u> RTO- <u>SCF-NP-LG-</u> BRKFL	– Oft AGL, 2. thrust idle or in reverse mode	OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly
				Reverser / beta malfunction - failure to deploy following RTO	RTO- <u>SCF-PP-RFD</u>		OR thrust reverser not deployed
		Brakes not applied correctly	TO01B33	FCE – brake application error following RTO	RTO-FCE-BRAKAPP		OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.

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#### Table 2 ASCOS ESD 2 - Air traffic related event

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence (CICTT)	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)	
1. Air Traffic Hazard Avoidance during	Adherence of ATM or Flight crew to set of procedures	Take-off instruction error by ATCO	TO02B11111	ATCOER (ATCO error) - Incorrect take-off clearance	TO- <u>ATM</u> - ATCOER- TOINSTRER	Phase 1: At least 2 from: 1. Speed above ~35kts and not decreasing, 2.	ATM system or aircraft computer indicate on separation infringement risk during take-off (e.g. on base of shared information concerning traffic)	
aircraft take-off roll	and standards during aircraft take-off	Inadequate communication with pilot	TO02B11112	ATCOER - Incorrect communication between flight crew and ATCO during take-off	TO- <u>ATM</u> - ATCOER- FCCOM	Altitude: 0 - 50ft AGL, 3. Application of take-off power	OR Negative cabin voice record	
	roll			FCE – (flight crew error) - Incorrect communication between flight crew and ATCO during take-off	TO- <u>ATM</u> -FCE- ATCOCOM		analysis for key words AND/OR Incomplete pilot-controller communication loop	
		Pilot failure to follow take-off instructions	TO02B1112	FCE -not following ATCO instructions during take-off	TO- <u>ATM</u> -FCE- ATMINST		OR ultrasonic sensors (or other distance sensors measuring	
		Separation Infringement with Departing Aircraft caused by other a/c	TO02B11211	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR		distance to obstacle or aircraft location on the airsite) indicate on separation infringement with other aircraft AND/OR ATM system or aircraft computer on	
		Separation Infringement with Landing Aircraft caused	TO02B11212	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR		base of shared information concerning traffic indicate on separation infringement during take-off	

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	by other a/c Separation Infringement with a/c on missed approach	TO02B11213	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR	
			ATCOER - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> - ATCOER- LSEPREC	
	Separation Infringement with departing a/c caused by aircraft taking off	TO02B11214	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR	
	Separation Infringement with landing a/c caused by aircraft taking off	TO02B11215	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR	
	Illegal A/C infringement	TO02B11216	FCE - Separation infringement with other aircraft during take-off	TO- <u>ATM</u> -FCE- SEPINFR	OR aircraft computer did not receive clearance message from ATCO
	Traffic density too high	TO02B1122	Too high traffic density	TO- <u>ATM</u> -ATD	OR traffic density calculated by ATM computer or aircraft computer (e.g. on base of shared traffic information indicate on too high traffic density values)
	Aircraft not ready to take-off	TO02B1123	FCE - Take-off delay in preparing for take-off ATCOER - Take-off	TO- <u>ATM</u> -FCE- DTO TO- <u>ATM</u> -	OR the validity of take-off clearance messages received by aircraft computer expired before

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				delay due to lack of aircraft readiness resulted from ATCO inefficient traffic management	ATCOER-INTM	-	take-off power application by flight crew
		Animals in vicinity of runway	TO02B1124	Animals in vicinity of runway	TO- <u>WILD</u> - RWY		OR aircraft computer received message about warning concerning the animal in RWY vicinity (coupled with ATCO voice warning)
		Weather Related Problem	TO02B1125	ATCOER - in taking into consideration the current weather conditions Adverse weather	TO- <u>ATM</u> - ATCOER- WSTRW TO- <u>WSTRW</u>		OR received and processed data concerning current weather conditions indicates on safety risk during take-off
		Effective Hazard Avoidance	TO02B12	conditions ATCO instructs flight crew to stop during take-off roll	TO- <u>ATM</u> - EFHZAV		OR aircraft computer or flight crew receive the ATCO message to stop take-off roll
Execution of take-off rejection being a result of air	Proper decision of the crew concerning termination	Pilot Misdiagnosis	TO02B211	FCE – misdiagnose the ATM problem occurred during take- off and rejected take- off above V1	RTO-FCE- MISD- RTOABV1- <u>ATM</u>	Phase 2a: Following Phase 1 AND Braking application and at least two	
	of take-off procedure	Pilot Misjudgement	TO02B212	FCE – misjudgement in terms of necessity of rejected take-off above V1	RTO-FCE- MISJ- RTOABV1	from: 1. Speed above V1 and not increasing, 2. Altitude – Oft AGL, 3. thrust idle or reverse mode	Take-off rejection after reaching the V1 speed
roll		Take-off rejected	TO02B22	Rejected take-off below V1 resulted	RTO- <u>ATM</u>	Phase 3. Following Phase	OR Take-off rejection at speed below v1

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		correctly when below V1		from ATM problem		1 AND Braking application and	
execution devices of take-off included int	of braking systems and	Insufficient Runway Length	TO02B31	Runway too short due to poor surface condition (ice or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL	from: 1. Speed below V1 and not increasing and at least one from: 1. Altitude – Oft AGL, 2. thrust idle or in reverse mode	Runway excursion AND braking devices work correctly AND braking performed correctly AN deceleration parameters lower than expected indicating on incorrect surface friction factor.
being a result of air traffic hazard avoidance during aircraft	expected range	Brakes not functioning correctly	TO02B32	Automatic braking loss following RTO Automatic braking operation error following RTO Brake system failure following RTO	RTO- <u>SCF-NP-</u> <u>AFC-ABRKLS</u> RTO- <u>SCF-NP-</u> <u>AFC-ABRKERR</u> RTO- <u>SCF-NP-</u> <u>LG-BRKFL</u>		OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly
take-off roll				Reverser / beta malfunction - failure to deploy following RTO	RTO- <u>SCF-PP-</u> <u>RFD</u>		OR thrust reverser not deployed
		Brakes not applied correctly	TO02B33	FCE – brake application error following RTO	RTO-FCE- BRAKAPP		OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.

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### Table 3 ASCOS ESD 3 – Aircraft handling by flight crew inappropriately.

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
Take-off Roll Handling	Proper aircraft handling during take- off roll	Unsuccessful handling due to lack of training Unsuccessful	TO03B111 TO03B112	FCE in aircraft handling during take-off roll – skills deficiency (V<=V1) FCE in aircraft handling	TO- <u>LOC-G</u> -FCE- AHAN TO- <u>LOC-G</u> -FCE-	Phase 1: At least 2 from: 1. Speed above ~35kts and not decreasing, 2.	Pilot's input to the aircraft control during take-off roll classified as incorrect AND all systems work correctly OR Pilot's input to the aircraft control
		Handling Adverse	TO03B12	during take-off roll (V<=V1) FCE in aircraft handling	AHAN TO-LOC-G-FCE-	Altitude: 0 - 50ft AGL, 3. Application of	
		Weather Conditions	1003512	during take-off roll – induced by weather (V<=V1)	AHAN-WSTRW	Application of take-off power	during take-off roll classified as incorrect AND all systems work correctly AND weather conditions classified as highly unfavorable for take-off
2. Execution of take-off rejection after take-	Executiondecision ofof take-offthe crewrejectionconcerning	Pilot Misdiagnosis	TO03B211	FCE – misdiagnose the handling problem occurred during take- off and rejected take- off above V1	RTO-FCE-MISD- RTOABV1-AHAN	Phase 2a: Following Phase 1 AND Braking application and	
off roll handling problems	of take-off procedure	Pilot Misjudgement	TO03B212	FCE – misjudgement in terms of necessity of rejected take-off above V1	RTO-FCE-MISJ- RTOABV1	at least two from: 1. Speed above V1 and not increasing, 2. Altitude – Oft AGL, 3. thrust idle or reverse mode	Take-off rejection after reaching the V1 speed

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		Take-off rejected correctly when below V1	TO03B22	Rejected take-off below V1 resulted from handling problem	RTO-AHAN	Phase 3. Following Phase 1 AND Braking	OR Take-off rejection at speed below v1
3.Maintain control (VProper aircraft<= V1)	aircraft	Uncontrollable	TO03B31	Loss of control on ground after rejecting take-off	RTO- <u>LOC-G</u>	application and at least two from: 1. Speed	Aircraft configuration, attitude and flight parameters classified as unrecoverable
	after rejected take-off	Lack of control	TO03B32	Loss of control on ground after rejecting take-off as a result of lack of pilot input to aircraft control	RTO- <u>LOC-G</u> -FCE- LCTRL	below V1 and not increasing and at least one from: 1. Altitude – Oft AGL, 2. thrust idle or in reverse mode	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
off roll handling problems	lower than V1	Incorrect Control	TO03B33	Loss of control on ground after rejecting take-off as a result of incorrect pilot input to aircraft control	RTO- <u>LOC-G</u> -FCE- INCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
		Insufficient control	TO03B34	Loss of control on ground after rejecting take-off as a result of insufficient pilot input to aircraft control	RTO- <u>LOC-G</u> -FCE- INSCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)
4. Effective braking after execution of take-off rejection	Parameters of braking systems and devices included into	Insufficient Runway Length	TO03B41	Runway too short due to poor surface condition (ice or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL		Runway excursion AND braking devices work correctly AND braking performed correctly AND deceleration parameters lower than expected indicating on incorrect surface friction factor.
being a resolution	assumed / expected	Brakes not functioning	TO03B42	Automatic braking loss following RTO	RTO- <u>SCF-NP-</u> AFC-ABRKLS		OR Deceleration parameters lower than expected (calculated for

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for take-off roll handling problems	range	correctly		Automatic braking operation error following RTO Brake system failure	RTO- <u>SCF-NP-</u> <u>AFC-ABRKERR</u> RTO- <u>SCF-NP-LG-</u>		current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly
				following RTO <u>Reverser / beta</u> <u>malfunction - failure to</u> <u>deploy</u> following RTO	<u>BRKFL</u> RTO- <u>SCF-PP-RFD</u>		OR thrust reverser not deployed
		Brakes not applied correctly	TO03B43	FCE – brake application error following RTO	RTO-FCE- BRAKAPP		OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.
5. Maintain control during take-off	Proper aircraft handling by the crew	Uncontrollable	TO03B51	Loss of control on ground during take-off resulted from pilot handling error (V>V1)	TO- <u>LOC-G</u> -AHAN	Phase 2b. Following Phase 1. AND Speed above V1 and not increasing, and at least two from: 1. Altitude above Oft AGL, 2. landing gear not compressed, 3. thrust in take-	Aircraft configuration, attitude and flight parameters classified as unrecoverable
after experiencin g take-off roll handling	after continuatio n of take-off	Lack of control	TO03B52	Loss of control on ground during take-off as a result of lack of pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> -FCE- LCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
problems		Incorrect Control	TO03B53	Loss of control on ground during take-off as a result of incorrect pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> -FCE- INCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
		Insufficient control	TO03B54	Loss of control on ground during take-off as a result of insufficient pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> -FCE- INSCTR	off mode.	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)

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### Table 4 ASCOS ESD 4 – Directional control system failure.

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence (CICTT)	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
Directional Control Systems Integrity	Integrity of systems responsible for directional controllabili ty of the aircraft during take- off roll	Main Gear Failure	TO04B111	Landing gear structural failure – main gear – during take-off roll Floatation system failure – main gear– during take-off roll Other main landing gear malfunction or failure– during take- off roll	TO- <u>SCF-NP-</u> L <u>G-</u> STRUCTFL- MAING TO- <u>SCF-NP-</u> L <u>G-FLOTFL-</u> MAING TO- <u>SCF-NP-</u> L <u>G-OTHR</u> - MAING-DIR	Phase 1: Landing gear compression longer than 10min and at least 1 from: 1. Altitude equal Oft AGL, 2. Thrust taxiing mode.	- Acceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND / OR increased vibration level in main gear
		Nose Gear Failure	TO04B112	Landing gear structural failure resulting with directional control problems– during take-off roll Steering system failure Floatation system	TO- <u>SCF-NP-</u> LG- STRUCTFL- DIR TO- <u>SCF-NP-</u> LG-STEERFL TO- <u>SCF-NP-</u>	-	related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine
				failure resulted with directional control problems– during take-off roll	<u>LG-FLOTFL</u> - DIR		parameters correct AND / OR increased vibration level in nose gear

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Issue:	1.0	Brake system failure	TO04B121	Classification: <u>Automatic braking</u> <u>operation error</u> resulted with directional control problem– during take- off roll <u>Brake system failure</u> resulted with	TO- <u>SCF-NP-</u> <u>AFC-</u> <u>ABRKERR</u> -DIR TO- <u>SCF-NP-</u> <u>LG-BRKFL</u> -DIR	- OR Acceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine
		Tire Failure	TO04B122	directional control problem– during take- off roll <u>Tire failure</u> resulting with directional control problem– during take-off roll	TO- <u>SCF-NP-</u> <u>LG-TIREFL</u> - DIR	<ul> <li>parameters correct AND / OR increased vibration level in landing gear components</li> <li>OR Lack of pressure in tire AND Acceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND / OR increased vibration level in landing gear components</li> </ul>

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		Wheel Sub- Assembly Failure	T004B123	<u>Wheel failure</u> resulting with directional control problem– during take-off roll	TO- <u>SCF-NP-</u> <u>LG-WHLFL</u> - DIR		<ul> <li>OR Acceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND / OR increased vibration level in landing gear components</li> </ul>
2. Execution of take-off rejection being a consequen	Proper decision of the crew concerning termination of take-off	Pilot Misdiagnosis	TO04B211	FCE – misdiagnose the directional control problem occurred during take-off and rejected take-off above V1	RTO-FCE- MISD- RTOABV1- <u>SCF-NP-LG</u> - DIR	Phase 2a: Following Phase 2 AND Braking application and at least	
ce of directional controllabil ity problems	procedure	Pilot Misjudgement	TO04B212	FCE – misjudgement in terms of necessity of rejected take-off above V1	RTO-FCE- MISJ- RTOABV1	two from: 1Take-off rejection after reSpeed abovethe V1 speedV1 and notincreasing, 2.Altitude – OftAGL, 3. thrustidle or reversemode	<ul> <li>Take-off rejection after reaching the V1 speed</li> </ul>
		Take-off rejected correctly when below V1	TO04B22	Take-off rejected below V1 (as a result of directional control problem)	RTO- <u>SCF-NP-</u> <u>LG</u> -DIR	Phase 3. Following Phase 2 AND Braking	<ul> <li>OR Take-off rejection at speed below v1</li> </ul>
3.Maintain control (V <= V1)	Proper aircraft handling by	Uncontrollable	TO04B31	Loss of control on ground after rejecting take-off	RTO <u>-LOC-G</u> - LG	application and at least two from: 1.	<ul> <li>Aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>

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after execution of take-off rejection after	the crew after rejected take-off with V	Lack of control	TO04B32	Loss of control on ground after rejecting take-off as a result of lack of pilot input to aircraft control	RTO- <u>LOC-G</u> - FCE-LCTRL	Speed below V1 and not increasing and at least one from: 1.	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
directional controllabil ity problems	tional lower than Incorrect TO04B33 Loss of control on ground after reject take-off as a result incorrect pilot inp	Loss of control on ground after rejecting take-off as a result of incorrect pilot input to aircraft control	RTO- <u>LOC-G</u> - FCE-INCTRL	Altitude – Oft AGL, 2. thrust idle or in reverse mode	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>		
		Insufficient control	TO04B34	Loss of control on ground after rejecting take-off as a result of insufficient pilot input to aircraft control	RTO- <u>LOC-G</u> - FCE-INSCTRL		<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.</li> </ul>
4. Effective braking after execution	Parameters of braking systems and devices	Insufficient Runway Length	TO04B41	Runway too short due to poor surface condition (ice or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL	-	<ul> <li>Runway excursion AND braking devices work correctly AND braking performed correctly.</li> </ul>
of take-off rejection being a consequen ce of directional controllabil	included into assumed / expected range	Brakes not functioning correctly	TO04B42	Automatic braking loss following RTO Automatic braking operation error following RTO Brake system failure following RTO	RTO- <u>SCF-NP-</u> <u>AFC-ABRKLS</u> RTO- <u>SCF-NP-</u> <u>AFC-</u> <u>ABRKERR</u> RTO- <u>SCF-NP-</u> <u>LG-BRKFL</u>		<ul> <li>OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly</li> </ul>
ity problems				Reverser / beta malfunction - failure to deploy following RTO	RTO- <u>SCF-PP-</u> <u>RFD</u>		- OR thrust reverser not deployed

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		Brakes not applied correctly	TO04B43	FCE – brake application error following RTO	RTO-FCE- BRAKAPP		<ul> <li>OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model (classified as executed incorrectly).</li> </ul>
5. Maintain control during take-off after	Flight crew avoid aircraft stall resulted with	Uncontrollable	TO04B51	Loss of control on ground during take-off resulted from pilot handling error (V>V1)	TO- <u>LOC-G-</u> <u>SCF-NP-LG</u> - DIR	Phase 2b. Following Phase 1. AND Speed above V1 and not	<ul> <li>Aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
noticing directional control systems integrity	unrevealed incorrect take-off configuratio n	Lack of control	TO04B52	Loss of control on ground during take-off as a result of lack of pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> - FCE-LCTRL	increasing, and at least two from: 1. Altitude above Oft AGL, 2. landing gear not compressed, 3. thrust in take-off mode.	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
problems during take-off roll	problems during take-off	Incorrect Control	TO04B53	Loss of control on ground during take-off as a result of incorrect pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> - FCE-INCTRL		<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>
		Insufficient control	TO04B54	Loss of control on ground during take-off as a result of insufficient pilot input to aircraft control (V>V1)	TO- <u>LOC-G</u> - FCE-INSCTR		<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.</li> </ul>

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### Table 5 ASCOS ESD 5 - Take-off with incorrect configuration

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1.Correct configurati on of aircraft for take- off	Proper conducting of procedure concerning setting of appropriate	Unsuccessful TO configuration checklist Unsuccessful Checklist Verification	TO05B111 TO05B112	FCE - Lack of configuration checklist before take- off FCE – unsuccessful configuration checklist before take-	TO-FCE- LCNFCHCK TO-FCE- LCHCKLVER	Phase 1: Landing gear compression longer than 10min and at least 1 from: 1. Altitude equal 0ft AGL, 2. Thrust in taxiing mode.	Negative cabin voice record analysis for key words (configuration checklist)
	aircraft configuratio n for take- off	Flap & slat positions entered into FMC incorrectly	TO05B12	off FCE – incorrect operation of FMC - flaps and slats position entering before take-off	TO-FCE-FMC- INCOPER- INCFLSLENT		OR Indicated flaps & slats positions differ than expected / suggested (calculated for current aircraft specifications and external conditions)
		Verification not conducted	TO05B21	FCE – lack of verification of flaps and slats position entered into FMC before take-off	TO-FCE- LVRFER-FMC- FLSLSET		OR Negative cabin voice record analysis for key words (verification of FMC input)
		Verification unsuccessful	TO05B22	FCE – unsuccessful verification of flaps and slats positions entered into FMC before take-off	TO-FCE- VRFERF-FMC- FLSLSET		
2.Take-off configurati on warning	The flight crew is provided with the	Unsuccessful Manufacture	TO05B311	<u>Warning loss</u> – TOCW - manufacture findings	TO- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - TOCW-MFF	Phase 2: At least 2 from: 1. Speed above ~35kts	Take-off roll acceleration values different than expected (calculated for current aircraft specification and applied engine power as well as external conditions) for

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	alert concerning incorrect aircraft configuratio n for take- off	Unsuccessful Maintenance	TO05B312	Automatic protection functions failures – TOCW - manufacture findings prior to take- off Warning loss – TOCW - maintenance findings prior to take- off Automatic protection functions failures – TOCW - maintenance findings prior to take- off	TO- <u>SCF-NP-</u> <u>AFC-</u> <u>AUTOPROTFL</u> - TOCW-MFF TO- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS-</u> TOCW-MF TO- <u>SCF-NP</u> <u>AFC-</u> <u>AUTOPROTFL</u> - TOCW-MF	and not decreasing 2. Altitude: 0 - 50ft AGL, 3. Application of take-off power	take-off roll AND analysis of work/flight parameters considered as influencing functioning of TOCW system indicate on failure originating from incorrect manufacturing (failure appeared for the given conditions before or on other aircraft of given type) AND precursors for given event identified during componen manufacturing) Take-off roll acceleration values different than expected (calculated for current aircraft specification and applied engine power as well as external conditions) for take-off roll AND analysis of work/flight parameters considered as influencing functioning of TOCW system indicate on failure originating from incorrect maintenance (failure not appeared for th given conditions before or on other aircraft of given type) AND precursors for given event identified during componen maintenance)
		Unsuccessful Operation	TO05B313	FCE – unsuccessful operation of TOCW System prior to take- off	TO-FCE- INCOPER- TOCW		OR TOCWS not active OR not reset
		Unsuccessful Manufacture	TO05B321	Electrical power system failure - TOCWS related – manufacture findings prior to take-off	TO- <u>SCF-NP-</u> <u>ELEC-</u> TOCW- MFF		OR TOCWS not active AND other aircraft system not active (dividing the same bus AND analysis of work/flight parameters considered as influencing functioning of TOCW system indicate on failure originating from incorrect manufacturing (failure appeared for the given condition

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		Unsuccessful Maintenance Aircraft takes- off with incorrect	TO05B322 TO05B33	Electrical power system failure - TOCWS related – maintenance findings prior to take-off <u>Warning loss -</u> TOCW prior to take-off	TO- <u>SCF-NP-</u> <u>ELEC-</u> TOCW- MF TO- <u>SCF-NP-</u> <u>AVION-</u> WRNLS-		before or on other aircraft of given type) AND precursors for given event identified during component manufacturing) OR TOCWS not active AND other aircraft system not active (dividing the same bus) AND analysis of work/flight parameters considered as influencing functioning of TOCW system indicate on failure originating from incorrect maintenance (failure not appeared for the given conditions before or on other aircraft of given type) AND precursors for given event identified during component maintenance) OR TO roll and flight parameters indicate on not optimal aircraft configuration
3.Executio n of take- off rejection being a consequen ce of TOC problems	Proper decision of the crew concerning termination of take-off procedure	configuration Pilot Misdiagnosis Pilot Misjudgement	TO05B411	FCE – misdiagnose the incorrect configuration problem occurred during take-off and rejected take-off above V1 FCE – misjudgement in terms of necessity of rejected take-off above V1	TOCW RTO-FCE- MISD- RTOABV1- INCTOC RTO-FCE- MISJ- RTOABV1	Phase 3a: Following Phase 2 AND Braking application and at least two from: 1. Speed above V1 and not increasing, 2. Altitude – Oft AGL, 3. thrust idle or reverse mode	Take-off rejection after reaching the V1 speed

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		Take-off rejected correctly when below V1	TO05B42	Take-off rejected below V1	RTO-INCTOC	Phase 4. Following Phase 2 AND Braking	OR Take-off rejection at speed below v1
4.Effective braking afterParameters of braking afteraftersystemsexecutionand devicesof take-offincludedrejectionintobeing aassumed /consequenexpectedce of TOCrangeproblems	of braking systems	Insufficient Runway Length	TO05B51	Runway too short due to poor surface condition (ice or wet) following RTO	RTO-ADRM- INSRWYL	application and at least two from: 1. Speed below	Runway excursion AND braking devices work correctly AND braking performed correctly.
	into assumed / expected	Brakes not functioning correctly	TO05B52	Automatic braking loss following RTO Automatic braking operation error following RTO Brake system failure following RTO	RTO-SCF-NP- AFC-ABRKLSV1 and not increasing and at leastRTO-SCF-NP- AFC-ABRKERRone from: 1. Altitude – 0ftRTO-SCF-NP- LG-BRKFLAGL, 2. 	OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly	
				Reverser / beta malfunction - failure to deploy following RTO	RTO- <u>SCF-PP-</u> <u>RFD</u>	in reverse mode	OR thrust reverser not deployed
		Brakes not applied correctly	TO05B53	FCE – brake application error following RTO	RTO-FCE- BRAKAPP		OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.
5.StallFlight crewavoidanceavoidafter take-aircraft stalloff withresultedunrevealewithd incorrectunrevealedtake-offincorrectconfiguratitake-offonconfigurationn	Stall Unavoidable	TO05B61	Loss of control in flight during take-off in result of incorrect configuration	TO-LOC-I- INCTOC	Phase 3b. Following Phase 2. AND Speed	Flight parameters indicate on near stall AND lack of icing conditions	
	unrevealed stickshaker	TO05B622	FCE – ignoring of stickshaker warning during take-off	TO-FCE-IGN- STICSHKRWR N	above V1 and not decreasing,	OR Actions taken by the flight crew do n cover procedure assumed as optimal for given conditions	
	Stick shaker failure	TO05B621 1	<u>Warning loss</u> – stickshaker failure during take-off	TO- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> -	and at least two from: 1. Altitude	OR Flight parameters (speed, configuration, AoA) indicate on near stal conditions AND lack of stickshaker	

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				Automatic protection functions failures – stickshaker failure during take-off	STICSHKR TO- <u>SCF-NP-</u> <u>AFC-</u> <u>AUTOPROTFL-</u> STICSHKR	above Oft AGL, 2. landing gear not compressed,	warning AND lack of icing conditions
		Stall AOA too low	TO05B621 2	Automatic protection functions failures during take-off	TO- <u>SCF-NP-</u> <u>AFC-</u> <u>AUTOPROTFL</u> - STICSHKR	3. thrust in take-off mode.	OR Flight parameters indicate on stall AND AoA lower that necessary for stickshaker activation AND lack of icing conditions
6. Recovery of control	Flight crew recover the aircraft	Uncontrollable	TO05B71	Aircraft incontrollable in result of stall	TO- <u>LOC-I</u> - STALL		Aircraft configuration, attitude and flight parameters classified as unrecoverable (in result of stall)
after stalling being a	after stall being a result of	Lack of control	TO05B72	FCE – lack of reaction of flight crew on aircraft stall	TO- <u>LOC-I</u> -FCE- LCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
result of unrevealed unreveale incorrect d incorrect take-off configurati on n	Incorrect Control	TO05B73	FCE – incorrect flight crew input to aircraft control	TO- <u>LOC-I</u> -FCE- INCTRL	flių An cla Of flių An cla	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation	
	Insufficient control	TO05B74	FCE – insufficient flight crew input to aircraft control	TO- <u>LOC-I</u> -FCE- INSCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.	

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### Table 6 ASCOS ESD 6 -Aircraft takes off with contaminated wing

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Pre- Service De- icing Procedure	rice De- g pre-service Ai cedure de-icing and all de- se contaminating Ai of aircraft er	Icing conditions Aircraft already in service	TO06B11 TO06B121	Icing conditions at the level of airport Aircraft during turnaround phase	TO- <u>ICE</u> TO- <u>ICE</u> -GSE	Phase 1: Landing gear compression longer than 10min and at	Weather conditions indicate on serious risk of aircraft surface icing. OR Weather conditions indicates on serious risk of aircraft surface icing AND aircraft in service (during turnaround phase)
		Aircraft entering service	TO06B1221	Pre-service deicing ineffective due to extreme icing conditions	TO- <u>ICE</u> - EXTRWTHR- PSDICINEF	least 1 from: 1. Altitude equals to 0ft AGL, 2. All	OR Weather conditions indicates on serious risk of aircraft surface icing AND weather conditions indicate on the risk of reaccumulation of ice on aircraft surfaces (after pre-service deicing)
		Pre-Service de-icing failure	TO06B1222	Pre-service deicing procedure conducted incorrectly	TO- <u>ICE</u> -GSE- PSDICEF	engines are off or in idle mode.	OR Weather conditions indicates on serious risk of aircraft surface icing AND aircraft computer did not detect all steps indicating on correctly conducted pre-service deicing (procedure should be carried in manner enabling its detection by the aircraft computer and / or aircraft should be equipped with sensors enabling identification of correctly/incorrectly conducted deicing pre-service procedure) Weather conditions indicates on serious risk of aircraft surface icing AND aircraft computer did not detect all indicators indicating on correctly
2. Pre-Flight De-icing Procedure	Proper conducting of pre-service	Lack of pre- flight ice inspection	TO06B211	FCE – lack of pre- flight ice inspection.			
	de-icing and de- contaminating	Unsuccessful pre-flight ice inspection	TO06B212	FCE – incorrect flight crew pre- flight ice inspection	TO- <u>ICE</u> -FCE- PFICINSF	-	conducted pre-flight ice inspection (e.g. seat compression or key words in flight crew conversation)
	of aircraft surfaces	De-icing Failure	TO06B22	Pre-flight deicing procedure conducted incorrectly	TO- <u>ICE</u> -GSE- PFDICE		OR Weather conditions indicate on serious risk of aircraft surface icing AND aircraft computer detects all indicators indicating on correctly conducted pre-flight ice inspection AND aircraft

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							computer did not detect all steps indicating on correctly conducted pre-flight deicing.
		ATC Delay	TO06B231	ATCOER – resulting with delayed take- off when icing conditions	TO- <u>ICE-ATM</u> - ATCOER- FDELAY		OR Weather conditions indicates on serious risk of aircraft surface icing AND time of expiration of pre-flight deicing for given weather condition does not reach the aircraft take-off (due to delayed take-off)
		Holdover properties inadequate	TO06B232	Incorrect HOT of deicing fluid	TO- <u>ICE</u> -GSE- INCHOT	_	OR aircraft computer detects inconsistency between HOT of applied deicing fluid and programmed time necessary for start for given airport.
		Severe Weather	TO06B233	Extreme icing conditions on the airport level	TO- <u>ICE</u> - EXTRWTHR		OR Weather conditions indicates on serious risk of ice accumulation exceeding the capacity of all available de-icing and anti-icing systems and means (both located on aircraft and available by airport services)
3. Post Push- Back De-icing Procedure	Proper conducting of pre-service	Lack of observation	TO06B311	FCE – lack of post- pushback ice inspection.	TO- <u>ICE</u> -FCE- LPPBICINS	Phase 2: Following phase 1 AND At least 4 from: 1. Speed above 0 and below	Weather conditions indicates on serious risk of aircraft surface icing AND aircraft computer did
de-icing and de- contaminating of aircraft surfaces	de- contaminating	Unsuccessful Observation	TO06B312	FCE – incorrect flight crew post- pushback ice inspection	TO- <u>ICE</u> -FCE- PPBICINSF		not detect all indicators indicating on correctly conducted post-pushback ice inspection (e.g. seat compression or key words in flight crew conversation)
	surfaces	De-icing Failure	TO06B32	GSE (Ground service error) - Post-pushback deicing procedure conducted incorrectly	TO- <u>ICE</u> -GSE- PPBDICE	~35kts, 2. Altitude: Oft AGL, 3. Landing gear compression, 4. Thrust in	OR Weather conditions indicate on serious risk of aircraft surface icing AND aircraft computer did not detect all signals indicating on correctly conducted post-pushback deicing.
		ATC Delay	TO06B331	ATCOER – resulting with delayed take- off when icing conditions	TO- <u>ICE-ATM</u> - ATCOER- FDELAY	taxi mode, 5. Take-off configuration.	OR Weather conditions indicates on serious risk of aircraft surface icing AND time of expiration of post-pushback deicing for given weather conditions does not reach the aircraft take-off

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		Holdover properties inadequate	TO06B332	Incorrect HOT of deicing fluid	TO- <u>ICE</u> -GSE- INCHOT	-	(due to delayed take-off) OR aircraft computer detects inconsistency between HOT of applied deicing fluid and programmed time necessary for start for given airport.
		Severe Weather	TO06B333	Extreme icing conditions on the airport level	TO- <u>ICE</u> - EXTRWTHR	_	OR Weather conditions indicates on serious risk of ice accumulation exceeding the capacity of all available de-icing and anti-icing systems and means (both located on aircraft and available by airport services)
4. Stall avoidance after take-off with unrevealed	Flight crew avoid aircraft stall during take-off resulted with	Stall Unavoidable	TO06B41	Loss of control in flight during take- off in result of aircraft surface icing	TO- <u>ICE-LOC-I</u>	Phase 3. Following Phase 2. AND Speed above V1 and not decreasing, and at least two from: 1. Altitude above 0ft AGL, 2. landing gear not compressed,	Flight parameters indicate on near stall AND weather conditions indicates on serious risk of aircraft surface icing
incorrect take-off configuration	incorrect unrevealed take-off incorrect	Stick shaker failure	TO06B4211	Warning loss – stickshaker failure during take-off <u>Automatic</u> protection functions failures – stickshaker during take-off	TO- <u>ICE-SCF-</u> <u>NP-AFC-</u> <u>AUTOPROTF</u> <u>L</u> -STICSHKR TO- <u>ICE-SCF-</u> <u>NP-AVION-</u> <u>WRNLS-</u> STICSHKR		OR Flight parameters (speed, configuration, AoA) indicate on near stall conditions AND lack of stickshaker warning AND weather conditions indicates on serious risk of aircraft surface icing
		Stall AOA too low	TO06B4212	Automatic protection functions failures – stickshaker during take-off	TO- <u>ICE-SCF-</u> <u>NP-AFC-</u> <u>AUTOPROTF</u> <u>L</u> -STICSHKR	3. thrust in take-off mode.	OR Flight parameters (speed, configuration, AoA) indicate on near stall conditions AND lack of stickshaker warning AND weather conditions indicates on serious risk of aircraft surface icing
		Pilot ignores stickshaker	TO06B422	FCE – ignoring of stickshaker warning during take-off	TO- <u>ICE</u> -FCE- STICSHKRW RN-IGN		OR Actions taken by the flight crew do not cover procedure assumed as optimal for given conditions

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Table 7 ASCO	S FSD 8 - Aircraft ei	ncounters performa	nce decreasina	windshear after rotation			
Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Windshear detection	Flight crew detect the windshear	LLWAS not installed	TO08B111	Lack of LLWAS system at the airport	TO- <u>WSTRW</u> - ADRM- LLLWAS	Speed near to V1and	- ATCO system is not equipped with LLWAS
	with use of available supporting systems,	LLWAS not activated	TO08B112	LLWAS system failure	TO- <u>WSTRW</u> - ATM- WRNLS- LLWAS		- OR LLWAS system not active
	ATM or own competenc e and skills	Failure of ATC to advise pilot	TO08B113	ATCOER – alerting the flight crew about the windshear during take-off	TO- <u>WSTRW-</u> <u>ATM</u> - ATCOER- FCADV	equals to Oft AGL and increasing, 2. Take-off power	<ul> <li>OR aircraft computer did not detect the message from ATCO warning about windshear AND receive automatic message from ATM system equipped with LLWAS</li> </ul>
		PWS not installed	TO08B121	Lack of PWS installed on aircraft	TO- <u>WSTRW</u> - LPWS	application, 3. Nose gear not	- OR PWS not available
		PWS not activated	TO08B122	Failure of the PWS during take-off	TO- <u>SCF-NP-</u> <u>AVION-</u>	compressed and main	- OR PWS not activated AND aircraft computer receive warning from ATM

FCE – lack of

windshear

symptoms

of lack of

automatic

systems.

detection in case

Crew fail to

recognize

windshear

TO08B13

WRNLS-PWS

TO-WSTRW-

WSHRREC

FCE-

gear

attack

take-off

compressed

, 4. Angle of

optimal for

configuratio

n, 5. Take-

off

-

system AND/ OR detected the alert warning

programmed airport specifications indicate

communicated by the ATCO

on risk of windshear

OR weather conditions as well as

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2. Windshear Manageme nt	Flight crew executes the WEM	Failure to avoid windshear	TO08B21	Windshear unavoidable	TO- <u>WSTRW</u> - WSHRAF	configuratio n.	<ul> <li>Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND windshear unavoidable(e.g. due to too short time distance between windshear detection and experiencing)</li> </ul>
		Aircraft too low	TO08B221	Windshear unavoidable due to too low altitude	TO- <u>WSTRW</u> - WSHRAF	_	<ul> <li>OR Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND windshear unavoidable(due to too low altitude )</li> </ul>
	_	Pilot fails to execute a WEM	TO08B222	FCE-incorrect WEM execution	TO- <u>WSTRW</u> - FCE- WSHRDAF		<ul> <li>OR Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND Actions taken by the flight crew do not cover WEM procedure assumed as optimal for given conditions.</li> </ul>
3. Maintainin g of control	Flight crew maintain control	Uncontrollab le	TO08B31	Aircraft incontrollable in result of stall	TO- <u>WSTRW-</u> LOC-I-WSHR	Phase 2. Following Phase 1.	<ul> <li>Aircraft attitude and flight parameters classified as unrecoverable</li> </ul>

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after experiencin g unrevealed windshear and / or	under the aircraft after experienci ng unrevealed	Lack of control	TO08B32	FCE – lack of reaction of flight crew on aircraft configuration after windshear encounter	TO- <u>WSTRW-</u> <u>LOC-I</u> -FCE- LCTRL	AND at least 4 from: 1. Altitude above Oft AGL and	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
unsuccessf ul WEM	windshear and / or unsuccessf ul WEM	Incorrect control	TO08B33	FCE – incorrect flight crew input to aircraft control after windshear encounter	TO- <u>WSTRW-</u> <u>LOC-I</u> -FCE- INCTRL	not increasing, 2. Take-off power application,	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>
		Insufficient control	TO08B34	FCE – insufficient flight crew input to aircraft control after windshear encounter	TO- <u>WSTRW-</u> <u>LOC-I</u> -FCE- INSCTRL	3. Landing gear not compressed , 4. Angle of attack not optimal for take-off, 5. Speed above V1 and changing rapidly	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>

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# Table 8 ASCOS ESD 9 - Single engine failure

Barrier	Descripti on	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designatio n ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Engine Integrity during take-off roll	Proper working of engine during take-off roll	Unsuccessful Manufacturi ng	TO09B1 1	In-flight shutdown during take-off in result of unsuccessful manufacturing	TO- <u>SCF-</u> <u>PP-IFSD</u> - MFF	Phase 1: At least 2 from: 1. Speed above ~35kts and not	<ul> <li>Engine shutdown AND experiences gathered during engine development and testing compared with current work conditions indicate on strong possibility of failure resulted from manufacturing error AND lack of precursors related to maintenance detected before.</li> </ul>
				Other engine <u>malfunction</u> during take-off in result of unsuccessful manufacturing	TO- <u>SCF-PP-</u> <u>OTHEM</u> - MFF	decreasing, 2. Altitude: 0 - 50ft AGL, 3. Application of take-off power	<ul> <li>OR Deviation from proper engine work parameters indicating on malfunction AND experiences gathered during engine development and testing compared with current work conditions indicate on strong possibility of failure resulted from manufacturing error AND lack of precursors related to maintenance detected before.</li> </ul>
		Unsuccessful Maintenance	TO09B1 2	In-flight shutdown during take-off in result of unsuccessful maintenance	TO- <u>SCF-PP-</u> <u>IFSD</u> -MF		<ul> <li>OR Engine shutdown AND during last maintenance precursors for engine failure were detected AND / OR experiences gathered during engine development and testing compared with current work conditions indicate on strong possibility of failure resulted from maintenance error</li> </ul>
				Other engine malfunction during take-off in result of unsuccessful maintenance	TO- <u>SCF-PP-</u> <u>OTHEM</u> -MF		<ul> <li>OR Deviation from proper engine work parameters indicating on malfunction AND during last maintenance precursors for engine failure were detected AND / OR experiences gathered during engine development and testing compared with</li> </ul>

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							current work conditions indicate on strong possibility of failure resulted from maintenance error
		Unsuccessful Manufacture and Maintenance	TO09B1 3	In-flight shutdown during take-off in result of unsuccessful manufacture or maintenance Other engine	TO- <u>SCF-PP-</u> <u>IFSD</u> -MF TO- <u>SCF-PP-</u> <u>IFSD</u> -MFF TO-SCF-PP-	-	<ul> <li>OR Engine shutdown AND / OR experiences gathered during engine development and testing compared with current work conditions indicate on strong possibility of failure resulted from maintenance OR</li> </ul>
				malfunction during take-off in result of unsuccessful manufacture or maintenance	OTHEM-MF TO-SCF-PP- OTHEM- MFF	-	manufacture error AND during last maintenance precursors for engine failure were detected OR during manufacturing precursors for engine failure were detected
		Foreign Object Damage	TO09B1 4	In-flight shutdown during take-off in result of bird impact	TO- <u>BIRD</u> - <u>SCF-PP-</u> IFSD	_	<ul> <li>OR Engine shutdown AND engine work parameters indicate on foreign object ingestion AND/OR sound of impact detected (e.g. with use of engine noise spectrum analysis)</li> </ul>
			_	<u>Other engine</u> <u>malfunction</u> during take-off in result of bird impact	TO- <u>BIRD</u> - <u>SCF-PP-</u> <u>OTHEM</u>		<ul> <li>OR Deviation from proper engine work parameters AND engine work parameters indicate on foreign object ingestion AND/OR sound of impact detected (e.g. with use of engine noise spectrum analysis)</li> </ul>
				In-flight shutdown during take-off in result of foreign object impact	TO- <u>ADRM</u> - <u>SCF-PP-</u> IFSD		<ul> <li>OR Engine shutdown AND engine work parameters indicate on foreign object ingestion AND/OR sound of impact detected (e.g. with use of engine noise spectrum analysis) AND main gear compressed</li> </ul>
				<u>Other engine</u> <u>malfunction</u> during	TO- <u>ADRM</u> - <u>SCF-PP-</u>		<ul> <li>OR Deviation from proper engine work parameters AND engine work parameters</li> </ul>

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				take-off in result of foreign object impact	<u>OTHEM</u>		indicate on foreign object ingestion AND sound of impact detected (e.g. with use of engine noise spectrum analysis) AND main gear compressed
2.Executio n of take- off rejection being a consequen	ake-decisionMisdiagnosis11the engine problemMISD-Followingof theof theoccurred duringRTOABV1-Phase 1ioncrewtake-off andSCF-PPANDaconcerniconcernirejected take-offBraking	Following Phase 1 AND					
ce of engine problems	terminati on of take-off procedur e	Pilot Misjudgeme nt	TO09B2 12	FCE – misjudgement in terms of necessity of rejected take-off above V1 resulted from engine problem during ta	RTO-FCE- MISJ- RTOABV1	and at least two from: 1. Speed above V1 and not increasing, 2. Altitude – Oft AGL, 3. thrust idle or reverse mode	<ul> <li>Take-off rejection after reaching the V1 speed</li> </ul>
	-	Take-off rejected correctly when below V1	TO09B2 2	Take-off rejected correctly below V1 (as a result of engine problems)	RTO- <u>SCF-</u> <u>PP</u>	Phase 3. Following Phase 2 AND Braking	<ul> <li>Take-off rejection before reaching the V1 speed</li> </ul>
3. Maintain control after execution	Flight crew maintain s control after	Uncontrollab le	TO09B3 1	Loss of control on aircraft after rejected take-off in result of propulsion failure	RTO- <u>LOC-</u> <u>G-SCF-PP</u>	application and at least two from: 1. Speed below V1	<ul> <li>Aircraft attitude and flight parameters classified as unrecoverable</li> </ul>
of take-off	executio	Lack of	ТО09ВЗ	FCE – lack of	RTO- <u>LOC-</u>	and not	- OR Aircraft configuration, attitude and

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rejection being a consequen ce of	n of take- off rejection being a	control	2	reaction of flight crew on incorrect flight parameters of aircraft	<u>G-FCE-</u> <u>LCTRL</u>	increasing and at least one from: 1. Altitude	flight parameters classified as recoverabl AND lack of pilot input to aircraft control
single conseque engine nce of failure single engine failure	nce of single	Incorrect control	TO09B3 3	FCE – incorrect flight crew input to aircraft control	RTO- <u>LOC-</u> <u>G</u> -FCE- INCTRL	– Oft AGL, 2. Thrust: idle or in reverse	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverabl AND pilot input to aircraft control classifi as incorrect in given situation</li> </ul>
	Insufficient control	TO09B3 4	FCE – insufficient flight crew input to aircraft control	input to <u>G</u> -FCE-	mode	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverabl AND pilot input to aircraft control classifi as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>	
4. Effective braking after	Paramet ers of braking systems	Insufficient Runway Length	TO09B4 1	Runway too short due to poor surface condition (iced or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL		<ul> <li>Runway excursion AND braking devices work correctly AND braking performed correctly.</li> </ul>
execution of take-off rejection being a consequen ce of single engine failure	n and Brakes not TO09B4 <u>Automatic braking</u> <b>RTO-SCF-</b> ff devices functioning correctly into <u>Automatic braking</u> <b>RTO-SCF-</b> <u>ABRKLS</u> <u>Automatic braking</u> <b>RTO-SCF-</b> <u>ABRKLS</u>		<ul> <li>OR Deceleration parameters lower than expected (calculated for current conditio AND/OR braking asymmetry AND all braking devices applied on time and correctly</li> </ul>				
				<u>Reverser / beta</u> <u>malfunction - failure</u> <u>to deploy</u> following RTO	RTO- <u>SCF-</u> <u>PP-RFD</u>		- OR thrust reverser not deployed
		Brakes not applied correctly	TO09B4 3	FCE – brake application error following RTO	RTO-FCE- BRAKAPP		<ul> <li>OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as referer</li> </ul>

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							model for given conditions.
5. Maintain control during	Flight crew maintain s control	Uncontrollab le	TO09B5 1	Aircraft uncontrollable in result of engine in- flight shutdown	TO- <u>LOC-G</u> - <u>SCF-PP-</u> IFSD	Phase 2b. Following Phase 1. AND Speed	<ul> <li>Aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
continued take-off with single	during not aborted	Lack of control	TO09B5 2	FCE – lack of recovery action	TO- <u>LOC-G</u> - FCE-LCTRL	above V1 and not increasing,	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
engine failure	take-off due to single engine	Incorrect Control	TO09B5 3	FCE – incorrect recovery action	TO- <u>LOC-G</u> - FCE-INCTRL	and at least two from: 1. Altitude above Oft	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>
	failure.	Insufficient control	TO09B5 4	FCE – insufficient recovery action	TO- <u>LOC-G</u> - FCE- INSCTRL	AGL, 2. landing gear not compressed , 3. thrust in take-off mode.	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>

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### Table 9 ASCOS ESD 10 - Pitch control problem

Barrier	Descriptio	Possibilities	Code	Possible	Designation	Flight phase	Proposed method for failure detection
	n	for braking		occurrence ( <u>CICTT</u> )	( <u>CICTT</u> )	indicator	(trigger logic)
		the barrier –					
		Base event					
1.Aircraft	Trim and	Trim settings	TO10B11	FCE - trim setting	TO-FCE-	Phase 1: At least	
pitch	speed	incorrectly	11	determination	INCTRIMS	2 from: 1. Speed	Trim and speed settings determined by
under	setting	determined		during take-off		above ~35kts and not decreasing, 2. Altitude: 0 - 50ft	the flight crew differ than
control	and / or	Speed	TO10B11	FCE - speed	TO-FCE-		expected/suggested (calculated for
	pitch	settings	12	settings	INCSPEEDS		current aircraft specifications and externa
	control	incorrectly		determination		AGL, 3.	conditions)
	input	determined		during take-off		Application of take-off power	
	correctly	Trim settings	TO10B11	FCE - entering the	TO-FCE-		
	determine	incorrectly	2	trim settings to	FMC-		OR Trim and speed settings did not
	d and	entered into		FMC during take-	INCTRIMEN	-	introduced into FMS AND/OR Trim and speed settings determined by the flight crew differ than expected/suggested (calculated for current aircraft
	entered	FMC		off	Т		
	by the	Speed	TO10B11	FCE - entering the	TO-FCE-		
	flight crew	settings	3	speed setting to	FMC-		
	into FMC.	incorrectly		FMC during take-	INCSPDENT		specifications and external conditions)
	Integrity of integral	entered into		off			
	-	FMC				_	
	componen ts of FMC	Unsuccessful	TO10B12	FCE - inappropriate	TO-FCE-		
	as well as	Pitch Control		inputs to the flight	INCPCIN		OR Flight crew input to aircraft control
	FMC as	Inputs		controls during			classified as incorrect
	system.		7040040	take-off		_	
	system.	Unsuccessful	TO10B13	Pitch control	TO-FMC-DF-		OR pitch control problem detected AND
		Design	11	problem during	PITCHC		did not origin from flight crew error AND
			take-off resulted			current combination of all considered as	
				from unsuccessful			significant (for pitch control ability) flight
				design of FCS			parameters and external conditions
				components			concurrent with detected pitch problem
							appeared before in the aircraft/aircraft
							component indicating on design related

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		Unsuccessful Manufacture	TO10B13 12	Pitch control problem during take-off resulted from unsuccessful manufacture of FCS components	TO- <u>SCF-NP-</u> <u>AFC-OTHR</u> - PITHCC-MFF		error AND precursor for given occurrence were identified during aircraft/aircraft component design process OR pitch control problem detected AND did not origin from flight crew error AND current combination of all considered as significant (for pitch control ability) flight parameters and external conditions concurrent with detected pitch problem appeared before in the aircraft/aircraft component series indicating on manufacturing related error (in other aircraft of the same type but other series given combination of conditions did not result with similar pitch problems) AND precursor for given occurrence were identified during aircraft/aircraft component manufacturing process.
		Unsuccessful Maintenance	TO10B13 13	Pitch control problem during take-off resulted from unsuccessful maintenance of FCS components	TO- <u>SCF-NP-</u> <u>AFC-OTHR</u> - PITCHC-MF		OR pitch control problem detected AND did not origin from flight crew error AND current combination of all considered as significant (for pitch control ability) flight parameters and external conditions concurrent with detected pitch problem did not meet the requirement for design or manufacture related failure AND / OR during last maintenance appropriate precursors for FCS failure were detected
		Foreign Object Damage	TO10B13 14	Pitch control problem during take-off resulted from impact of foreign object (bird	TO- <u>BIRD-</u> <u>SCF-NP-</u> <u>AFC</u> -PITCHC		OR Deviation from proper FCS work parameters AND FCS work parameters indicate on foreign object impact AND sound of impact detected (e.g. with use of noise spectrum analysis)

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				or debris)	TO- <u>ADRM-</u> <u>SCF-NP-</u> <u>AFC</u> -PITCHC		OR Deviation from proper FCS work parameters AND FCS work parameters indicate on foreign object impact AND sound of impact detected (e.g. with use o noise spectrum analysis) AND main gear compressed
	-	Severe Flight Control System Failure	TO10B13 2	Pitch control problem during take-off resulted from FCS failure	TO-SCF-NP- AFC-OTHR- PITCHC		OR Deviation from proper FCS work parameters (other than pitch control FCS functionalities)
2. Execution of take-off rejection being a consequen ce of pitch control	Proper decision of the crew concernin g terminatio n of take- off	Pilot Misdiagnosis	TO10B21 1	FCE – misdiagnose the problem occurred during take-off and rejected take-off above V1 resulted from pitch control problems	RTO-FCE- MISD- RTOABV1- PITCHC	Phase 2a: Following Phase 1 AND Braking application and at least two from: 1. Speed above V1 and not increasing, 2.	Take-off rejection after reaching the V1 speed
problems	, N	Pilot Misjudgeme nt	TO10B21 2	FCE – misjudgement in terms of necessity of rejected take-off above V1	RTO-FCE- MISJ- RTOABV1	Altitude – Oft AGL, 3. thrust idle or reverse mode	
		Take-off rejected correctly when below V1	TO10B22	Take-off rejected below V1 (as result of pitch control problems)	RTO-PITCHC	Phase 3. Following Phase 2 AND Braking application and at least two from: 1.	Take-off rejection before reaching the V1 speed
3. Effective braking after execution	Parameter s of braking systems and	Insufficient Runway Length	TO10B31	Runway too short due to poor surface condition (iced or wet) following RTO	RTO- <u>ADRM</u> - INSRWYL	Speed below V1 and not increasing and at least one from: 1. Altitude – Oft	Runway excursion AND braking devices work correctly AND braking performed correctly.

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of take-off rejection being a consequen ce of single engine failure	devices included into assumed / expected range	Brakes not functioning correctly Brakes not applied	TO10B32 TO10B33	Automatic braking loss following RTO Automatic braking operation error following RTO Brake system failure following RTO Reverser / beta malfunction - failure to deploy following RTO FCE – brake application error	RTO- <u>SCF-</u> <u>NP-AFC-</u> <u>ABRKLS</u> RTO- <u>SCF-</u> <u>ABRKERR</u> RTO- <u>SCF-</u> <u>NP-LG-</u> <u>BRKFL</u> RTO- <u>SCF-</u> <u>PP-RFD</u> RTO-FCE- BRAKAPP	AGL, 2. Thrust: idle or in reverse mode	OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly OR thrust reverser not deployed OR execution of braking procedure conducted by the flight crew differs
		correctly		following RTO			significantly from the assumed as reference model.
4. Rotation and lift off after not aborted take-off due to pitch control problems detected	Flight crew overcome problems related with pitch control after not aborted take-off	Pitch Control Misdiagnose d Unsuccessful Pitch Control Rectification	TO10B41 TO10B42	FCE-diagnose of pitch problem incorrect FCE-incorrect flight crew actions in order to rectify the situation	TO-FCE- MISD- PITCHC TO-FCE- RECF- PITCHC	Phase 2b. Following Phase 1. AND Speed above V1 and not increasing, and at least two from: 1. Altitude above Oft AGL, 2. landing gear not compressed, 3. thrust in take-off mode.	Aircraft configuration, attitude and flight parameters classified as incorrect AND pilot input to aircraft controls differs from programmed as optimal for given situation

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### Table 10 ASCOS ESD 11 - Fire on-board aircraft

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designatio n ( <u>CICTT</u> )	Flight phase indicato r	Proposed method for failure detection (trigger logic)
1. All conditions assuring safety in area of fire are met.	conditionspotentialassuringsources ofsafety infire safearea of fireconditions	Cargo in Heightened Flammable State	ER11B11	Cargo restraint system failure during en route Ground service error (GSE) in cargo securing on-board during en route	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>MISC-</u> <u>CARGRSTF</u> <u>L</u> ER-F-NI- GSE- CARGRSTF	Phase 1. Followi ng phase 0 (take- off) and at least 2 from: 1.	<ul> <li>Flight parameters during climb or manoeuvring indicate on CG movement resulted from cargo movement AND cargo transported classified as DGR</li> </ul>
		Foreign Object Damage results in fuel leak	ER11B12 11	Fuel leak during en route         Fuel leak during en route resulted with         bird impact during         take-off or initial         climb         Fuel leak during en route resulted with         debris impact during         take-off roll	E ER- <u>BIRD-</u> <u>F-NI-SCF-</u> <u>NP-FUEL-</u> <u>LK</u> ER- <u>ADRM-</u> <u>F-NI-SCF-</u> <u>NP-FUEL-</u> <u>LK</u>	Speed above V2, 2. Altitude above 1000ft AGL, 3. Thrust cruise	<ul> <li>OR Fuel leak detected AND sound of impact detected during take-off roll or initial climb indicating on foreign object impact (e.g. detected with use of noise spectrum analysis)</li> </ul>
		Unsuccessful Maintenance Revealed	ER11B12 12	<u>Fuel leak</u> during en route resulted from unsuccessful maintenance of fuel system	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>FUEL-LK</u> - MF	mode.	<ul> <li>OR Fuel leak detected AND appropriate precursor(s) detected during last maintenance of the system</li> </ul>
				Flammable fluid leak during en route resulted from unsuccessful maintenance	ER- <u>F-NI-</u> <u>SCF-PP-</u> <u>FFL</u> -MF		<ul> <li>OR flammable fluid leak detected AND appropriate precursor(s) detected during last maintenance of the system</li> </ul>

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				Engine failure during en route resulting with fire caused by incorrect maintenance	ER- <u>F-NI-</u> <u>SCF-PP</u> - MF	<ul> <li>OR Deviation in engine component work parameters indicating on failure able to result with fire AND appropriate precursor(s) detected during last maintenance of system</li> </ul>
		Unsuccessful Fuel Transfer	ER11B12 13	<u>Fuel control system</u> <u>failure</u> possible to cause a fire during en route	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>FUEL-CTRL</u>	<ul> <li>OR Inconsistency between expected and transferred amount of fuel detected indicating on fuel leak and risk of fire AND/OR deviation from modelled and real data related to the fuel transfer process (e.g. fuel flow characteristic)</li> </ul>
				GSE – error during transferring fuel to the aircraft prior to flight	ER- <u>F-NI</u> - GSE- FUELTR	<ul> <li>OR precursors for fire events detected during operation of fuel equipment by ground service worker (detected by aircraft systems, fuel car system or e.g. airsite CCTV)</li> </ul>
		Flammable Vapor in Fuel Tank	ER11B12 2	Incorrect fuel tank atmosphere parameters during en route	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>FUEL</u> - TNKPR	<ul> <li>OR parameters of fuel tank atmosphere classified as dangerous and possibly fire generating</li> </ul>
		Hydraulic Fluids in Heightened Flammable State	ER11B13	<u>Hydraulic system</u> <u>failure</u> with potential for causing fire during en route	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>MISC-</u> <u>HYDFL</u>	<ul> <li>OR Work parameters of hydraulic system indicated on flammable state</li> </ul>
		Aircraft Equipment in Heightened Flammable State	ER11B14	Risk fire during en route resulted with equipment installation error during manufacturing	ER- <u>F-NI-</u> <u>SCF-NP</u> - MFF	<ul> <li>OR temperature and heat transfer analysis for aircraft components during operation indicate on increased risk of causing a fire unusual for given aircraft type (indicating on manufacture error)</li> </ul>

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			Risk fire during en route resulted with equipment installation error during maintenance	ER- <u>F-NI-</u> <u>SCF-NP</u> - MF	<ul> <li>(Such analysis should be conducted every some time period) AND appropriate precursor(s) for given failure detected during manufacturing of the component</li> <li>OR temperature and heat transfer analysis for aircraft components during operation indicate on increased risk of causing a fire unusual for given aircraft (indicating on maintenance error)( Such analysis should be conducted every some time period) AND appropriate precursor(s) for given failure detected during installation of the component</li> </ul>
			Risk fire during en route resulted with equipment installation error during design	ER- <u>F-NI-</u> <u>SCF-NP</u> -DF	<ul> <li>OR temperature and heat transfer analysis for aircraft components during operation indicate on increased risk of causing a fire appropriate precursor(s) for given failure detected during design of the component</li> </ul>
	Engi Over	ne ER11B15 heats	Case burnthrough being a result of engine overheat during en route Other engine malfunction during en route	ER- <u>F-NI-</u> <u>SCF-PP-CB</u> ER- <u>F-NI-</u> <u>SCF-PP</u> - <u>OTHEM</u>	<ul> <li>OR Engine components ultimate temperature exceeded AND possibility for case burnthrough detected</li> <li>OR Engine components temperature exceeded AND deviation in engine components work parameters detected.</li> </ul>
			<u>Propulsion system</u> <u>fire</u> during en route	ER- <u>F-NI-</u> <u>SCF-PP-</u>	<ul> <li>OR Engine components temperature exceeded AND possibility of</li> </ul>

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		APU Overheats	ER11B16	Propulsion system fume event during en route Compartment overheat/Air leak during en route APU overheat during en route possible to turn into a fire	PSF           ER-F-NI-           SCF-PP-           PSFE           ER-F-NI-           SCF-PP-           COAL           ER_F-NI-           SCF-NP-           MISC-	<ul> <li>outbreak of fire.</li> <li>OR Engine components temperature exceeded AND deviation in exhaust gas parameters.</li> <li>OR Engine components temperature exceeded AND air leak detected.</li> <li>OR APU work parameters indicate on overheat possible to turn into a</li> </ul>	
		Electrical Event results in Ignition Excessive Heat Transfer	ER11B21 ER11B22	Arcing during en route Excessive Heat Transfer overheat	APUFL- OVHT ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>ELEC-ARC</u> ER- <u>F-NI</u> - OVHT	<ul> <li>fire</li> <li>OR electric system failure / deviation in work parameters detected AND failure classified as being able to result in fire</li> <li>OR aircraft components temperature exceeded AND</li> </ul>	
2. Fire detection by flight crew	Flight crew successfully overcome the situation	results in Ignition Fire Detection System Failure	ER11B31	during en route resulted in Ignition Fire not detected	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - FIRDET	<ul> <li>classified as being able to turn into a fire</li> <li>Aircraft components temperature exceeded (must be measured by more than one instrument)</li> </ul>	
			Fire Warning System Failure	ER11B32	Lack of warning from fire detection system	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - FIRWARN	indicated on strong risk of fire AND lack of fire detection system warning
		No Detection /Warning System	ER11B33	Lack of fire detection / warning system	ER <u>-F-NI</u> - LFIREWAR N	- OR Aircraft components temperature exceeded (must be measured in every aircraft	

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		Available				component which can be potentia touched by fire		
		Fire Detection Impractical	ER11B34	Explosion during en route	ER- <u>F-NI</u> - EXPL	<ul> <li>OR measured parameters indicate on explosion in some part of aircra (detected e.g. through sound analysis and flight parameters analysis)</li> </ul>		
3. Fire extinction by the flight	Flight crew successfully overcome	No System Installed at Point of Fire	ER11B41 1	Lack of fire extinguishing system at the location of fire	ER- <u>F-NI-</u> LFIREEXT	<ul> <li>Fire detected beyond the range of fire extinguishing system</li> </ul>		
crew	the situation	Fire Extinction System not			ER11B41 2	Failure of the fire extinguishing system in cabin during en route	ER- <u>F-NI</u> - SCF-NP- CABIN- OTHR- FIREEXT	<ul> <li>OR Fire detected AND aircraft computer detect that flow of extinguishing fluid is below the</li> </ul>
			-	Failure of the fire extinguishing system in place of fire during en route	ER- <u>F-NI</u> - SCF-NP- MISC- FIREEXTFL	value classified as correct /necessary		
				-		ER11B42	Fire Extinction System not active	ER- <u>F-NI</u> - FCE- FIREEXT
		Flight Crew misinterpret Systems Warning	ER11B43 1	FCE – misdiagnose the situation concerning fire during en route	ER- <u>F-NI</u> - FCE-MISD- FIRE	<ul> <li>OR Fire detected AND flight crew actions do not cover the model</li> </ul>		
			Flight Crew ER11B43 misinterpret 2 Sensory Warnings	FCE - Flight Crew misinterpret fire sensory warnings	ER- <u>F-NI</u> - FCE-MISJ- FIRE	procedure coded/established in aircraft computer for given situation		
		Incorrect Operation of Fire Extinction	ER11B44	Fire extinction system failure during en route	ER- <u>F-NI</u> - FCE- INCOP-	<ul> <li>OR Fire detected AND flight crew actions cover the model procedure for given situation AND fire</li> </ul>		

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		System			FIREEXT	extinction system execution parameters differ from optimal for given situation.
		Fire Extinction System Insufficient	ER11B45	Fire scale exceeds the capacity of fire extinction system	ER- <u>F-NI</u> - OVFIRE	<ul> <li>OR Fire detected AND flight crew actions cover the model procedure for given situation AND fire extinction system execution parameters correct AND fire propagates.</li> </ul>
		Fire Extinction Impractical	ER11B46	Explosion during en route	ER- <u>F-NI</u> - EXPL	<ul> <li>OR Fire detected AND flight crew actions cover the model procedure for given situation AND fire extinction system execution parameters correct AND measured parameters indicate on explosion in some part of aircraft (detected e.g. through sound analysis and flight parameters analysis)</li> </ul>
4.Fire containment	Flight crew inhibits propagation	Fire fed by Original Flammable	ER11B51	<u>Fuel leak</u> being a source of fire during en route	ER- <u>F-NI-</u> SCF-NP- <u>FUEL-LK</u>	<ul> <li>Fire propagates AND fuel leak detected</li> </ul>
	of fire	Source		<u>Flammable fluid leak</u> being a source of fire during en route	ER- <u>F-NI-</u> <u>SCF-PP-</u> <u>FFL</u>	<ul> <li>OR Fire propagates AND flammable fluid leak detected</li> </ul>
		Fire fed by Secondary Flammable	ER11B52	<u>Fuel leak</u> being a source of fire during en route	ER- <u>F-NI-</u> <u>SCF-NP-</u> <u>FUEL-LK</u>	<ul> <li>OR Fire propagates AND fuel leak detected</li> </ul>
		Sources		<u>Flammable fluid leak</u> being a source of fire during en route	ER- <u>F-NI-</u> <u>SCF-PP-</u> FFL	<ul> <li>OR Fire propagates AND flammable fluid leak detected</li> </ul>
		Fire has Catastrophic Explosive	ER11B53	Explosion during en route	ER- <u>F-NI</u> - EXPL	<ul> <li>OR fire propagates AND measured parameters indicate on explosion in some part of aircraft (detected e.g.</li> </ul>

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		Effects					through sound analysis and flight parameters analysis)
5. Maintaining Control after appearing	maintain S	Flight Control Surfaces Inoperable	ER11B61 1	Loss of control in flight resulted with inoperable flight surface	ER- <u>F-NI</u> - LOC-I- FCSUN	Phase 1. Followi ng phase 0	<ul> <li>Fire detected and propagates AND inconsistencies between flight crew input to the aircraft controls and aircraft answer detected</li> </ul>
uncontrollabl e fire onboard	uncontrollab le fire onboard	Aircraft Structural Integrity Failure	ER11B61 2	Aircraft structural integrity failure resulted with fire	ER- <u>F-NI</u> - LOC-I- STRUCTF	(take- off) and at least 2 from: 1. Speed above V2, 2.	<ul> <li>OR Fire detected and propagates AND inconsistencies between flight crew input to the aircraft controls and aircraft answer detected AND flight parameters data analysis indicate on aircraft integrity failure (e.g. weight and balance data or cabin pressure decrease)</li> </ul>
		Flight Crew Incapacitated	ER11B61 3	Flight crew incapacitation being a consequence of fire	ER- <u>F-NI</u> - FCINCAP	Altitude above 1000ft	<ul> <li>OR Fire detected and propagates AND lack of flight crew activity detected</li> </ul>
		ER- <u>F-NI</u> - FCE-LCTRL	AGL, 3. Thrust cruise mode.	<ul> <li>OR Fire detected and propagates AND flight crew actions do not cover the procedure programmed in aircraft computer AND other not related to fire events occurred</li> </ul>			
		Incorrect Control	ER11B63	FCE – incorrect reaction on fire	ER- <u>F-NI</u> - FCE- INCTRL		<ul> <li>OR Fire detected and propagates AND flight crew actions do not cover the procedure programmed in aircraft computer.</li> </ul>

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#### Table 11 ASCOS ESD 12 - Flight crew member spatially disorientated

Barrier	Description	Possibilities	Code	Possible	Designation	Flight	Proposed method for failure detection
Darrier	Description	for braking	Coue	occurrence (CICTT)	(CICTT)	phase	(trigger logic)
		the barrier		( <u>ererr</u> )	( <u>01011</u> )	indicator	(11,650,105,0)
		– Base				mandator	
		event					
1. Attitude	Proper	ADI failure	ER12B31	<u>Altitude</u>	ER- <u>SCF-NP-</u>	Phase 1.	
guidance	functioning	in flight	1	information error	AVION-	Following	
	of Attitude			during en route	ALTERR-	phase 0	
	Director			leading to pilot	ADI	(take-off)	
	Indicator			disorientation		and at	
	which is			<u>Airspeed</u>	ER- <u>SCF-NP-</u>	least 2	
	used and			information error	AVION-	from: 1.	
	continuousl			during en route	<u>ASERR</u> -ADI	Speed	- Inconsistency between ADI indication
	У			leading to pilot		above V2,	and output values from other sources
	crosschecke			disorientation		2. Altitude	(calculated on base of other data or
	d with			<u>Attitude</u>	ER- <u>SCF-NP-</u>	above	received from other instruments)
	other			information error	AVION-	1000ft	received noni other instrumentsy
	indicators			during en route	ATTERR-	AGL, 3.	
	by flight			leading to pilot	ADI	Thrust	
	crew			disorientation		cruise	
				AoA information	ER- <u>SCF-NP-</u>	mode.	
				<u>error</u> during en	AVION-		
				route leading to	AOAERR-		
				pilot disorientation	ADI		
		No ADI	ER12B31	FCE – no ADI	ER-FCE-		- OR Inconsistency between ADI
		cross-check	21	crosscheck by pilot	LCRSSCHCK		indication and output values from other
		by pilot			-ADI		sources (calculated on base of other
							data or received from other
							instruments) AND not all indicators
							failed (failure classified as detectable by
							flight crew) AND lack of flight crew
							reaction indicating on problem

						<b>S</b> cation	
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							detection
		Multiple ADI failure	ER12B31 22	Altitude information error during en route leading to pilot disorientation <u>Airspeed</u> information error during en route leading to pilot disorientation <u>Attitude</u> information error during en route leading to pilot disorientation <u>AoA information</u> error during en route leading to pilot disorientation	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>ALTERR-</u> ADI ER- <u>SCF-NP-</u> <u>AVION-</u> <u>ASERR</u> -ADI ER- <u>SCF-NP-</u> <u>AVION-</u> <u>ATTERR-</u> ADI ER- <u>SCF-NP-</u> <u>AVION-</u> <u>AOAERR-</u> ADI		<ul> <li>OR Inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments)</li> </ul>
		Disorientati ng maneuver	ER12C1	ATCOER - Potentially disorientating manoeuvre commanded	ER- <u>ATM</u> - ATCOER- DISMAN		<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially disorientating</li> </ul>
		ADI not used by pilot	ER12B32 1	FCE – not using ADI by the pilot during disorientating manoeuvre	ER-FCE- LADIUSE	-	<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially disorientating AND analysis of pilot input to the aircraft controls indicate on not using of ADI</li> </ul>
2. Visual	Flight crew	Instrument	ER12B41	Lack of visibility	ER-IMC		<ul> <li>OR executed manoeuvre classified as</li> </ul>

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Orientation by flight crew	is able to spatially orient on base of visible horizon or objects on terrain	meteorolog ical conditions		due to weather conditions during ADI failure and execution of disorientating manoeuvre (during en route)			potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially disorientating AND inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments) AND analysis of weather conditions indicates on lack of visibility (due to clouds)
		Dark sky and terrain	ER12B42	Darkness during ADI failure and execution of disorientating manoeuvre (during en route)	ER- DARKNSS		<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially disorientating AND Inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments) AND darkness</li> </ul>
3. Proper use of autopilot properly uses autopilot and is aware of its capabilities	Autopilot not capable of required maneuver	ER12B51	Autopilot function loss due to ADI failure during disorientating manoeuvre	ER- <u>SCF-NP-</u> <u>AFC-APLS</u> - ADI		<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially disorientating AND inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments) AND autopilot failure warning</li> </ul>	
		Flight crew training in manual flight	ER12B52 1	FCE – manual flight training during ADI failure (during en route)	ER-FCE- MANFL		<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially</li> </ul>

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		Flight crew preference for manual flight	ER12B52 2	FCE – manual flight due to preferences (during en route)	ER-FCE- MANFL		disorientating AND inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments) AND flight crew flies manually
	-	Crew unaware of how to use autopilot	ER12B52 3	FCE – incorrect use of autopilot during undiagnosed ADI failure and	ER-FCE- INCOPER- AUPT		<ul> <li>OR executed manoeuvre classified as potentially disorientating AND/OR ATM message containing necessary manoeuvre classified as potentially</li> </ul>
		Autopilot incorrectly used by flight crew	ER12B53	disorientating maneuver (during en route)	ER-FCE- INCOPER- AUPT		disorientating AND Inconsistency between ADI indication and output values from other sources (calculated on base of other data or received from other instruments) AND flight crew operate the autopilot incorrectly (pilot actions compared to suggested in appropriate manual)
4. Attitude monitoring by PNF	PNF monitor the aircraft attitude	Lack of attitude monitoring	ER12B21	FCE - Lack of attitude monitoring during en route	ER-FCE- CRMF- PNFE-LAM	Phase 2 following phase 1 and at	- Aircraft attitude classified as extreme
	and corrects the PF if necessary	Failure of attitude monitoring	ER12B22	FCE - Failure of attitude monitoring during en route	ER-FCE- CRMF- PNFE-AMF	least 1 from: 1. Altitude above	AND recognizable on base of cockpit instrument indication AND lack of PNF activity detected towards incorrect aircraft attitude warning to PF (analysis of cabin voice for key words or PNF
		Failure to communica te warning	ER12B23	FCE - Failure to communicate warning during en route	ER-FCE- CRMF- PNFE- FCOM	1000ft AGL, 2. Thrust cruise	activity analysis)
		Lack of response to warning	ER12B24	FCE - Lack of response to warning during en	ER-FCE- CRMF-PFE- PNFWRN-	mode.	<ul> <li>OR Aircraft attitude classified as extreme AND PNF warning message to PF detected AND lack of PF input to the</li> </ul>

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				route	IGN		aircraft control related to the PNF suggestion.
5. Control Recovery by flight crew after spatial	Flight crew or PF recovers the control	Recovery impractical	ER12B11	Aircraft uncontrollable in result of engine in- flight shutdown	ER- <u>LOC-I</u> - SPATDISR	Phase 3. Following Phase 2. AND	<ul> <li>Aircraft configuration, attitude and fligh parameters classified as unrecoverable</li> </ul>
disorientati on	after spatial disorientati on	disorientati recovery	ER12B12	FCE – lack of recovery action	ER- <u>LOC-I</u> - FCE-LCTRL	Speed - below V1 and not increasing,	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
	Incorrect recovery action	ER12B13	FCE – incorrect recovery action	ER- <u>LOC-I</u> - FCE-INCTRL	least 1flight parameterfrom: 1.recoverable ANE	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>	
		Insufficient recovery action	ER12B14	FCE – insufficient recovery action	ER- <u>LOC-I</u> - FCE- INSCTRL	1000ft AGL, 2. thrust in cruise mode.	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>

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### Table 12 ASCOS ESD 13 - Flight control system failure

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Flight Control System Integrity	Proper functioning of systems responsible for flight control. It includes control	Rudder failure	ER13F3 11	Flight control surface         failure to move as         commanded         commanded         during en         route - rudder         Other flight control         system malfunction or         failure         during en route         uring en route	ER- <u>SCF-NP-</u> <u>FC-MVFL</u> - RDR ER- <u>SCF-NP-</u> <u>FC-OTHR</u> - RDR	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed	<ul> <li>Inconsistence between pilot input to the aircraft control and rudder deflection</li> </ul>
	surfaces, autopilot, autothrottl	Uncommand ed rudder deflection	ER13F3 12	<u>Uncommanded flight</u> <u>control movement</u> during en route - rudder	ER- <u>SCF-NP-</u> <u>FC-UNCMV</u> - RDR	above V2, 2. Altitude above	<ul> <li>OR rudder deflection without adequate pilot input to the aircraft controls</li> </ul>
	e system and thrust reverser.	and thrust stabilizer 21 reverser. failure		<u>Flight control surface</u> <u>failure to move as</u> <u>commanded</u> during en route – horizontal stabilizer	ER- <u>SCF-NP-</u> <u>FC-MVFL</u> - HORSF	1000ft AGL, 3. Thrust cruise mode.	<ul> <li>OR Inconsistence between pilot input to the aircraft control and</li> </ul>
				<u>Other flight control</u> system malfunction or failure during en route – horizontal stabilizer	ER- <u>SCF-NP-</u> <u>FC-OTHR</u> - HORSF		stabiliser deflection
			ER13F3 22	<u>Uncommanded flight</u> <u>control movement</u> during en route – horizontal stabilizer	ER- <u>SCF-NP-</u> <u>FC-UNCMV</u> - HORSF		<ul> <li>OR stabiliser deflection without adequate pilot input to the aircraft controls</li> </ul>
	Wing control surface failure	ER13F3 31	<u>Flight control surface</u> <u>failure to move as</u> <u>commanded</u> during en route – horizontal	ER- <u>SCF-NP-</u> <u>FC-MVFL</u> - WINGCSF		<ul> <li>OR Inconsistence between pilot input to the aircraft control and wing control surface deflection</li> </ul>	

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			-	stabilizer Other flight control	ER- <u>SCF-NP-</u>		
				system malfunction or failure	<u>FC-OTHR-</u> WINGCSF	-	
		Uncommand ed wing CS deflection	ER13F3 32	<u>Uncommanded flight</u> <u>control movement</u> during en route – wing control surface	ER- <u>SCF-NP-</u> <u>FC-UNCMV</u> - WINGSCF		<ul> <li>OR wing control surface deflection without adequate pilot input to the aircraft controls</li> </ul>
		Autopilot failure	ER13F4 1	<u>Autopilot function loss</u> during en route	ER- <u>SCF-NP-</u> AFC-APLS		<ul> <li>OR loss of autopilot function without flight crew command</li> </ul>
		Uncommand ed autopilot action	ER13F4 2	<u>Autopilot mode change</u> <u>annunciation failure</u> during en route	ER- <u>SCF-NP-</u> <u>AFC-</u> <u>APMCFL</u>	-	<ul> <li>OR autopilot actions not proceeded by flight crew appropriate control input AND / OR inconsistency between flight crew input to autopilot and actions performed by autopilot</li> </ul>
		Autothrottle failure	ER13F5 1	<u>Automatic thrust control</u> <u>unavailable or loss</u> during en route	ER- <u>SCF-NP-</u> <u>AFC-ATLS</u>		<ul> <li>OR loss of autothrottle function without flight crew command</li> </ul>
		Uncommand ed thrust	ER13F5 2	<u>Automatic thrust control</u> <u>error</u> during en route	ER- <u>SCF-NP-</u> AFC-ATERR	-	<ul> <li>OR autothrottle actions not proceeded by flight crew appropriate control input</li> </ul>
		Thrust reverser failure	ER13F6 1	<u>Reverser / beta</u> <u>malfunction - in-flight</u> <u>deploy</u> during en route	ER- <u>SCF-PP-</u> <u>RMID</u>		<ul> <li>OR thrust decrease AND / OR thrus asymmetry detected</li> </ul>
		Uncommand ed deployment	ER13F6 2	<u>Reverser / beta</u> <u>malfunction - in-flight</u> <u>deploy</u> during en route	ER- <u>SCF-PP-</u> <u>RMID</u>		
2. Control Recovery	Flight crew recovers the control	Recovery impractical	ER13B1 1	Aircraft uncontrollable in result of engine in-flight shutdown	ER- <u>LOC-I</u> - FCSF	Phase 2. Following Phase 2.	<ul> <li>Aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
by flight crew	after FCS failure	Lack of recovery	ER13B1 2	FCE – lack of recovery action	ER- <u>LOC-I</u> - FCE-LCTRL	AND Speed	- OR Aircraft configuration, attitude and flight parameters classified as

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after FCS failure		action Incorrect recovery action	ER13B1 3	FCE – incorrect recovery action	ER- <u>LOC-I</u> - FCE-INCTRL	below V1 and not increasing, and at least 1 from: 1. Altitude	<ul> <li>recoverable AND lack of pilot input to aircraft control</li> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>
		Insufficient recovery action	ER13B1 4	FCE – insufficient recovery action	ER- <u>LOC-I</u> - FCE- INSCTRL	above 1000ft AGL, 2. thrust in cruise mode.	<ul> <li>OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>

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## Table 13 ASCOS ESD 14 - Flight crew incapacitation

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Crew capability to perform its duties	Aircraft is free of failures or malfunctio	Aircraft is free of failures orMedicalER14F3MedicalER-MED-FCGree of failures orincapacitationincapacitation of one pilot during enerrorerror	ER- <u>MED</u> -FC	Phase 1. Following phase 0 (take-off)	<ul> <li>Prolonged lack of one pilot activity (cab be also detected with some dedicated cockpit sensors e.g. monitoring of life symptoms)</li> </ul>		
	ns, which can affect crew capabilitie s to	Unsuccessful depressurizati on response	ER14B2	Flight crew incapacitation caused by depressurization during en route	ER- <u>MED</u> - ALLFC	and at least 2 from: 1. Speed above V2, 2. Altitude	<ul> <li>OR Indication of incorrect interior air pressure leading to serious risk of flight crew incapacitation</li> </ul>
	perform its duties.			Cabin pressurization failure resulted with flight crew incapacitation	surization ER- <u>SCF-NP-</u> above ulted with ECS-PRFL 1000ft A 3. Thrust	1000ft AGL, 3. Thrust	<ul> <li>OR Indication of incorrect interior air pressure leading to serious risk of flight crew incapacitation AND warning about ECS failure</li> </ul>
		Toxic gas in flight deck	ER14F51	Toxic gas emission in flight deck during en route	ER- <u>F-NI</u> - TOXCAB	mode.	- OR toxic gas in cabin detected
		Unsuccessful ER14 flight deck smoke procedures	ER14B3	FCE – anti smoke actions	ER- <u>F-NI</u> -FCE- TOXGASREM		<ul> <li>OR toxic gas concentration not decrease AND flight crew input to aircraft control do not cover the reference procedure programmed in aircraft computer for given conditions</li> </ul>
				<u>Inability to clear</u> <u>smoke from flight</u> desk during en route	ER- <u>F-NI-SCF-</u> <u>NP-ECS</u> - SMKPERSIS		<ul> <li>OR toxic gas concentration not decrease</li> </ul>
	CPCS failure in flight	ER14F411 11	Other avionics failure or malfunction	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>OTHR</u> -CPCS		<ul> <li>OR lack of activity of CPCS system AND / OR CPCS decisions are classified as incorrect for given</li> </ul>	

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13500.				resulting with CPCS failure in flight Cabin pressurization	ER-SCF-NP-	conditions
				failure resulted from CPCS failure in flight	ECS-PRFL	
		Depressurizati on	ER14F411 12	<u>Cabin</u> decompression during en route	ER- <u>SCF-NP-</u> <u>ECS-</u> <u>DECOMP</u>	<ul> <li>OR lack of activity of CPCS system AND indicated aircraft cabin</li> </ul>
				<u>Cabin pressurization</u> <u>failure</u> during en route	ER- <u>SCF-NP-</u> <u>ECS-PRFL</u>	pressure is incorrect
		Depressurizati on due to incorrect CPCS operation	ER14F411 2	FCE – CPCS operation during en route	ER-FCE- INCOP-CPCS	<ul> <li>OR Inconsistency between CPCS manual and suggested procedures and pilot input to CPCS control panel AND indicated aircraft cabin pressure is incorrect</li> </ul>
		Door failure in flight	ER14F412 11	<u>Uncommanded door</u> <u>operation</u> during en route	ER- <u>SCF-NP-</u> <u>CABIN-</u> OTHR-DOOR	<ul> <li>OR warning about door failure ANE indicated aircraft cabin pressure is incorrect</li> </ul>
		Depressurizati on	ER14F412 12	<u>Cabin</u> <u>decompression</u> caused by door failure in flight	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>DECOMP-</u> DOOR	<ul> <li>OR warning about door failure ANI decreased pressure inside the aircraft cabin AND indicated aircraft cabin pressure is incorrect</li> </ul>
		Window failure in flight	ER14F412 21	<u>Window failure</u> in flight	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>CABINWDW</u>	<ul> <li>OR warning about window failure (need for new sensors detecting fuselage leaktightness) AND indicated aircraft cabin pressure is incorrect</li> </ul>
		Depressurizati on	ER14F412 22	<u>Cabin</u> <u>decompression</u> resulted from window failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>CABINWDW</u>	<ul> <li>OR warning about window failure (need for new sensors detecting fuselage leaktightness) AND decreased pressure inside the aircraft cabin</li> </ul>

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issue.		Fuselage failure due to deterioration	ER14F412 31	Cabin         decompression         resulting from         fuselage         deterioration         Breach of         pressurized fuselage         skin caused by         fuselage	Restricted ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>DECOMP-</u> FUSLG ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR-</u> FUSLG	_	<ul> <li>OR decreased cabin pressure AND no warning concerning specific component or system (door, window or CPCS) AND presence of precursors in maintenance process indicating on increased risk of structure failures resulted from structure deterioration</li> </ul>
		Fuselage failure due to bird strike	ER14F412 32	deterioration Bird strike leading to fuselage structural failure	TO- <u>BIRD</u> - SCF-NP- STRUCT		<ul> <li>OR Analysis of noise registered around the aircraft indicates on impact during take-off or previous landing roll</li> </ul>
		Fuselage failure due to tail strike	ER14F413 23	Abnormal runway contact leading to breach of pressurized fuselage skin during en route	ER- <u>ARC-SCF-</u> <u>NP-STRUCT-</u> <u>PRFSBR</u>		<ul> <li>OR Analysis of noise registered around the aircraft indicates on tai strike AND / OR aircraft attitude (pitch) during take-off or landing exceeded acceptable values</li> </ul>
		Fuselage failure due to impact while on ground	ER14F413 24	Ground collision leading to breach of pressurized fuselage skin during en route	ER- <u>GCOL-</u> <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u>		<ul> <li>OR ultrasonic distance measure system indicated on collision on ground AND / OR analysis of noise registered around the aircraft indicates on impact</li> </ul>
2. Maintain Control b the crew	Flight crew is y able to maintain	Simultaneous incapacitation of all flight crew	ER14B11	Al flight crew members incapacitated during en-route	ER- <u>MED</u> - ALLFC	Phase 2. Following Phase 1. AND Speed	- Total lack of flight crew activity
after failure affecting crew	control aver the aircraft after	Lack of response to pilot incapacitation	ER14B12	FCE - Lack of flight crew activity aimed at recovery	ER- <u>MED</u> - FCE-LRESP	below V1 and not increasing, and at least	<ul> <li>OR Inconsistency between pilot input to aircraft controls and procedure coded as reference in aircraft computer for given</li> </ul>
capabilitie	e failures or	Incorrect	ER14B13	FCE - Incorrect flight	ER- <u>MED</u> -	1 from: 1.	conditions

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s to perform its duties	malfunctio ns affecting capabilitie s to perform its duties.	response to pilot incapacitation	crew actions in terms of situation recovery	FCE-INCRESP	Altitude above 1000ft AGL, 2. thrust in cruise mode.

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#### Table 14 ASCOS ESD 15 - Ice accretion on aircraft

		cretion on aircraft	_				
Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Anti- icing system integrity,	All possible anti-icing means are	lcing conditions not detected	ER15B 31	Lack of warning concerning icing conditions during en route	ER- <u>ICE-SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - ICCOND	Phase 1. Following phase 0 (take-off)	Lack of warning concerning icing conditions AND external conditions indicate on increased risk of ice accumulation
Flight crew adheres to anti-icing procedure	available and adequate procedure	Anti-icing system not used	ER15B 32	FCE - Lack of activation of anti-ice system during en route	ER- <u>ICE</u> -FCE- LAICSUSE- LIFTSURF	and at least 2 from: 1. Speed above V2, 2.	OR Anti-icing system not active AND external conditions indicate on increased risk of ice accumulation
s, Icing conditions within predicted	s are executed. It is also assumed	Anti-icing system failure	ER15B 33	Failure of the anti- icing system during en route	ER- <u>ICE-SCF-NP-</u> <u>MISC-</u> <u>ANTICEFL</u> -AICE- LIFTSURF	Altitude above 1000ft AGL, 3. Thrust	OR Antiicing system not active AND / OR warning about anti-icing system failure
range of severity	that icing conditions do not exceed	Anti-icing procedures not followed	ER15B 34	FCE – incorrect anti- ice procedure execution	ER- <u>ICE</u> -FCE- LAICPRCD	cruise mode.	OR Lack of flight crew activity in terms of anti-ice procedures execution detected AND external conditions indicate on increased risk of ice accumulation
	the predicted range in terms of	lcing exceeding anti-icing capability	ER15B 35	Extreme icing conditions during en route	ER- <u>ICE</u> - EXTRWTHR		OR external ice conditions detected indicating on risk of extreme ice accumulation exceeding the anti-ice system capabilities
	severity	Flight into icing conditions	ER15F	Icing conditions encountered during en route	ER- <u>ICE</u> -FCE- EXTRWTHR		OR external conditions indicate on increased risk of ice accumulation
2. Correct response of Flight crew to	Flight crew correctly and on	Ice accretion not detected	ER15B 21	Lack of warning concerning ice accretion during en route	ER- <u>ICE-SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> -ICACR		Flight parameters analysis indicates on risk of undetected ice accretion
accreted	time			Anti-ice system	ER-ICE-SCF-NP-		

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ice.	response to accreted ice			failure resulting with undetected ice accretion during en route.	<u>MISC-</u> <u>ANTICEFL</u> - LERTSURF		
		Lack of response to ice accretion	ER15B 22	FCE – lack of flight crew response to ice accretion on aircraft	ER- <u>ICE</u> -FCE- LRESP		OR lack of pilot to ice accretion on aircraft
		Incorrect response to ice accretion	ER15B 23	FCE – incorrect flight crew response to ice accretion on aircraft	ER- <u>ICE</u> -FCE- INCRESP		OR pilot response to ice accretion on aircraft classified as incorrect in given situation
		Insufficient response to ice accretion	ER15B 24	FCE – insufficient response of flight crew to ice accretion on aircraft	ER- <u>ICE</u> -FCE- INSRESP		OR pilot response to ice accretion on aircraft classified as insufficient in given situation (too low extend, too short input time etc.)
3.Maintain control over the aircraft	Flight crew maintains control	Recovery impractical	ER15B 11	Aircraft uncontrollable in result of ice accretion on aircraft surfaces	ER- <u>ICE-LOC-I</u>	Phase 2. Following Phase 1. AND Speed below V1 and not increasing,	Aircraft configuration, attitude and flight parameters classified as unrecoverable OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
with accreted ice	over the iced aircraft	Lack of recovery action	ER15B 12	FCE – lack of recovery action	ER- <u>ICE-LOC-I</u> - FCE-LRESP		
		Incorrect recovery action	ER15B 13	FCE – incorrect recovery action	ER- <u>ICE-LOC-I</u> - FCE-INCRESP	and at least 1 from: 1. Altitude above	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
		Insufficient recovery action	ER15B 14	FCE – insufficient recovery action	ER- <u>ICE-LOC-I</u> - FCE-INSRESP	1000ft AGL, 2. thrust in cruise mode.	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)

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#### Table 15 ASCOS ESD 16 - Flight instrument failure

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Integrity of main flight control instrume nt (ASI, ADI,	All basic flight control instrumen t functionin g correctly,	Pitot-static port covers not removed	ER16F31 11	Airspeed information loss resulted from pitot-static port covered during en route GSE – Pitot-static tube cover not removed before flight	ER- <u>SCF-NP-</u> <u>AVION-ASLS</u> - PITOT ER-GSE- COVPITOT	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed	Lack of pilot tube speed indication indicates on pitot-tube failure AND lack of icing conditions AND precursor(s) for incorrect pre-flight inspection/operations detected.
PFD), Main flight	they are also appropriat	Flight into icing conditions	ER16F	Flight into icing conditions resulting with pitot tube icing.	ER- <u>ICE</u> -PITOT	above V2, 2. Altitude above	OR Lack of pilot tube speed indication AND icing conditions detected indicating on pitot-tube icing.
control instrume nt are appropri ately	ely maintaine d and prepared for the	Pitot-static ice protection system failure	ER16B31	<u>Altitude information</u> <u>error</u> resulted from pitot-static ice protection system failure during en route	ER- <u>ICE-SCF-NP-</u> <u>AVION-ALTERR</u>	1000ft AGL, 3. Thrust cruise mode.	
maintain ed and prepared for the flight.	flight. Flying into volcanic ash is efficiently			Airspeed information error resulted from pitot-static ice protection system failure during en route	ER- <u>ICE-SCF-NP-</u> <u>AVION-ASERR</u>		OR icing conditions detected AND inconsistency between pitot-tube and other flight parameters sources indicates on
Flying into volcanic ash is efficientl	avoided.			Altitude information loss resulted from pitot-static ice protection system	ER- <u>ICE-SCF-NP-</u> <u>AVION-ALTLS</u> - PITOT		pitot-tube ice protection system failure.
y avoided.				failure during en route <u>Airspeed information</u> <u>loss</u> resulted from	ER- <u>ICE-SCF-NP-</u> <u>AVION-ASLS</u> -		

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			pitot-static ice protection system failure during en route	ΡΙΤΟΤ	
			Anti-ice or de-ice function failure resulted from pitot- static ice protection system failure during en route	ER- <u>ICE-SCF-NP-</u> <u>MISC-</u> <u>ANTICEFL</u> - PITOT	
	Pitot-static ice protection system not used	ER16B32	FCE – activation of anti-icing system	ER- <u>ICE</u> -FCE- LAICSUSE- PITOT	OR Pitot anti-ice system not activated AND icing conditions detected
	Pitot-static system blocked by contaminatic n	ER16F31 13	Altitude information error resulted from pitot-tube contamination during en-route	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>ALTERR</u> -PITOT	OR Inconsistency between indication of pilot tube and other flight parameters sources indicating on pitot tube failure ANE
			Airspeed information error resulted from pitot-tube contamination during en-route	ER- <u>SCF-NP-</u> <u>AVION-ASERR</u> - PITOT	lack of icing conditions AND lack of precursors for incorrect conducted pre-flight actions
	Pitot-static system leak	ER16F31 14	Altitude information error resulted from installation leakage	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>ALTERR</u> -PITOT- LEAK	OR analysis of pitot tube indications compared to other sources indicates on leakage in pitot-tube installation (e.g.
			Airspeed information error resulted from installation leakage	ER- <u>SCF-NP-</u> <u>AVION-ASERR</u> - PITOT-LEAK	reduced system sensitivity or indication range)
	ASI anomaly	ER16F31 2	Altitude information error caused by pitot-	ER- <u>SCF-NP-</u> <u>AVION-</u>	OR pitot-static failure detected AND ASI indications inconsistent with the value

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			tube failure in flight	ALTERR-PITOT	computed or received from other sources
			Airspeed information	ER-SCF-NP-	
			error caused by pitot-	AVION-ASERR-	
			tube failure in flight	ΡΙΤΟΤ	
	ADI failure in	ER16F32	Altitude information	ER-ICE-SCF-NP-	
	flight	1	loss caused by ADI	AVION-ALTLS-	
			failure in flight	ADI	
			Airspeed information	ER-ICE-SCF-NP-	
			loss caused by ADI	AVION-ASLS-	
			failure in flight	ADI	OR Lack of indication from ADI
			Attitude information	ER- <u>SCF-NP-</u>	
			loss caused by ADI	AVION-ATTLS-	
			failure in flight	ADI	
			AoA information loss	ER- <u>SCF-NP-</u>	
			caused by ADI failure	AVION-AOALS-	
			in flight	ADI	
	ASI anomaly	ER16F32	Altitude information	ER-ICE-SCF-NP-	
		2	loss caused by ADI	AVION-ALTLS-	
			failure in flight	ADI	
			Airspeed information	ER-ICE-SCF-NP-	
			loss caused by ADI	AVION-ASLS-	OR ADI failure detected AND Inconsistency
			failure in flight		between ASI indication and output values
			Attitude information	ER- <u>SCF-NP-</u>	from other sources (calculated or received
			<u>loss</u> caused by ADI failure in flight	AVION-ATTLS-	
			AoA information loss	ADI ER- <u>SCF-NP-</u>	
			caused by ADI failure	AVION-AOALS-	
			in flight	ADI	
	ASI failure in	ER16F33	Altitude information	ER- <u>SCF-NP-</u>	
	flight	1	loss during en route	AVION-ALTLS-	OR Lack of ASI indication AND monitoring
	iiigiit	Ŧ		ASI	of health of other subsystems indicate of
			Airspeed information	ER-SCF-NP-	ASI failure in flight
			loss during en route	AVION-ASLS-	

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			ASI	
ASI anomaly	ER16F33 2	Altitude information loss caused by ASI failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ALTLS</u> - ASI	OR ASI failure detected AND flight
		Airspeed information loss caused by ASI failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ASLS</u> - ASI	information error displayed to the flight crew detected
PFD failure in flight	ER16F34 1	Altitude information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ALTLS</u> - PFD	
		Airspeed information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ASLS</u> - PFD	
		Attitude information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ATTLS</u> - PFD	OR temporary lack of PFD activity or PFD malfunction detected restricting access to
		AoA information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-AOALS</u> - PFD	the displayed data
		Heading information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-HDGLS</u> - PFD	
		Integrated display unit loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-DULS</u> - PFD	
ASI anomaly	ER16F34 2	Altitude information loss caused by PFD failure during en route	ER- <u>SCF-NP-</u> <u>AVION-ALTLS</u> - PFD	
		Airspeed information loss caused by PFD	ER- <u>SCF-NP-</u> AVION-ASLS-	OR Lack of PFD activity disabling access to the displayed information
		Attitude information	ER- <u>SCF-NP-</u>	
			Airspeed information loss caused by PFD failure during en route	Airspeed information loss caused by PFDER-SCF-NP- AVION-ASLS- Failure during en routeAttitude informationER-SCF-NP- ER-SCF-NP-

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				failure during en route <u>AoA information loss</u> caused by PFD failure during en route	PFD ER- <u>SCF-NP-</u> <u>AVION-AOALS</u> - PFD		
2. Flight instrume nt failure is detected and all	Flight crew detect the failure of flight instrumen	Flight instrument failure not detected	ER16B21	Flight instrument failure not detectable by the flight crew FCE – flight instrument failure detection	ER- <u>SCF-NP-</u> <u>AVION-</u> NOTDETBL ER-FCE- LCRSSCHCK- FINST	-	Flight instrument failure detected by the aircraft computer AND classified as undetectable by the flight crew OR Flight instrument failure detected by
necessar y correctio n actions are done	y correct correctio response n actions to the	Lack of response to flight instrument failure	ER16B22	FCE – lack of flight crew response to detected flight instrument failure	ER- <u>SCF-NP-</u> <u>AVION</u> -FCE- LRESP		the aircraft computer AND classified as detectable by the flight crew AND lack flight crew reaction aiming at problem recovery
		Incorrect response to flight instrument failure	ER16B23	FCE – incorrect flight crew response to detected flight instrument failure	ER- <u>SCF-NP-</u> <u>AVION</u> -FCE- INCRESP		OR Flight instrument failure detected by the aircraft computer AND pilot input to flight instrument control classified as incorrect in given situation
		Insufficient response to flight instrument failure	ER16B24	FCE – insufficient flight crew response to detected flight instrument failure	ER- <u>SCF-NP-</u> <u>AVION</u> -FCE- INSRESP		OR Flight instrument failure detected by the aircraft computer AND pilot input to flight instrument control classified as insufficient in given situation (too low extend, too short input time etc.)
3. Maintain the control over the	Flight crew maintains the control	Recovery impractical	ER16B11	Aircraft in unrecoverable configuration in result of flight instrument indication error	ER- <u>SCF-NP-</u> <u>AVION-LOC-I</u>	Phase 2. Following Phase 1. AND Speed below V1	Aircraft configuration, attitude and flight parameters classified as unrecoverable
aircraft after the flight	over the aircraft after flight	Lack of recovery action	ER16B12	FCE – lack of recovery action	ER- <u>LOC-I</u> -FCE- LRESP	and not increasing, and at	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control

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instrume nt failure	instrumen t failure	Incorrect recovery action	ER16B13	FCE – incorrect recovery action	ER- <u>LOC-I</u> -FCE- INCRESP	least 1 from: 1. Altitude above	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
		Insufficient recovery action	ER16B14	FCE – insufficient recovery action	ER- <u>LOC-I</u> -FCE- INSRESP	1000ft AGL, 2. thrust in cruise mode.	OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)

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# Table 16 ASCOS ESD 17 - Aircraft encounters adverse weather

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Weather avoidance	All available means to avoid flying	Severe Clear Air Turbulence (CAT)	ER17B1111	Severe turbulence encountered during en route	ER- <u>TURB</u>	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed above V2, 2. Altitude above 1000ft AGL, 3. Thrust cruise mode.	Flight parameters indicate on turbulence AND turbulence classified as severe
	into adverse weather conditions	No indication of severe CAT	ER17B11121	CAT encountered during en route	ER- <u>TURB</u> - UNDTCBL		OR Flight parameters indicate on turbulence AND turbulence classified as undetectable or unavoidable (lack of earlier indication for this event)
	area available and appropriate ly used	Inadequate information from preceding aircraft	ER17B11122	FCE – incorrect information provided from preceding aircraft	ER- <u>TURB</u> -FCE- PRECAIRCFTIN F		OR message received by the aircraft computer from preceding aircraft in terms of weather picture does not fit to the real conditions (simultaneously to flight crew communication the same information transfer between aircraft computer is necessary)
		Encounter too sudden	ER17B11123	CAT encountered during en route	ER- <u>TURB</u> - UNDTCBL		OR Flight parameters indicate on turbulence AND turbulence classified as undetectable or unavoidable (lack of earlier indication for this event)
		Unfavorable weather conditions	ER17B1121	Unfavorable weather conditions during en route	ER- <u>TURB</u> - EXTRWTHR		OR weather picture indicate on unfavourable flight conditions and increased / unacceptable risk related
		Weather report information inadequate	ER17B112211	GSE – weather report inadequate (related to the responsible entity)	ER- <u>TURB</u> -GSE- INWTHRINF		OR strong inconsistency between received weather information and real conditions encountered
		Flight crew fail to obtain weather	ER17B112212	Lack of weather report during en route	ER- <u>TURB</u> -GSE- LWTHRINF		OR weather report data unavailable

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		reports					
		Onboard weather radar failure	ER17B112213	Navigational information loss caused by weather radar failure during en route	ER- <u>TURB-SCF-</u> <u>NP-AVION-</u> <u>NAVLS</u> - WTHRRDR		
				Navigational information error caused by weather radar failure during en route	ER- <u>TURB-SCF-</u> <u>NP-AVION-</u> <u>NAVERR</u> - WTHRRDR		OR Lack of on-board weather radar activity AND / OR warning about weather radar failure received by the aircraft computer.
				Warning loss related to weather radar failure during en route	ER- <u>TURB-SCF-</u> <u>NP-AVION-</u> <u>WRNLS</u> - WTHRRDR		
		Unsuccessful weather information management	ER17B112214	FCE – incorrect weather radar operating	ER- <u>TURB</u> -FCE- INCOPER- WTHRRDR		OR flight crew control input to the on- board weather radar inconsistent with appropriate manual (classified as incorrect)
		Flight crew disregard weather information	ER17B112215	FCE – incorrect use of weather information	ER- <u>TURB</u> -FCE- IGNWTHRRDR		OR flight crew input to the aircraft control classified as inconsistent with
		Flight crew commands flight into unfavorable conditions	ER17B112221		ER- <u>TURB</u> -FCE- FLINTOUNFVC OND		assumed as reference model for given weather information
		Flight crew unable to avoid unfavorable weather conditions	ER17B112222	Turbulence encountered during en route	ER- <u>TURB</u> - UNAVOIDBL		OR Flight parameters indicate on turbulence AND turbulence classified as undetectable or unavoidable (lack of earlier indication for this event)

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		Aircraft suffers severe upset	ER17B121	Aircraft suffers upset during en route	ER- <u>TURB</u> - UPSET	OR rapid change in aircraft flight parameters indicated on upset resulted from turbulence
		Aircraft suffers structural damage	ER17B122	Aircraft suffers structural damage resulted from turbulence during en route	ER- <u>TURB</u> - STRUCT	OR Aircraft upset detected AND extreme risk of structural damage of aircraft detected AND / OR change in measurable structure specifications detected (amplitude and / or vibration frequency change indicating on structural changes)
		No time to secure cabin	ER17B12311	Severe turbulence encountered resulted with unsecured cabin during en route	ER- <u>TURB</u> - UNDTCBL - UNSECCAB	OR Flight parameters indicate on turbulence AND turbulence classified as undetectable or unavoidable (lack of earlier indication for this event)
		Flight crew fail to secure cabin	ER17B12312	FCE – lack of secure cabin from turbulence consequence during en route	ER- <u>TURB</u> -FCE- UNSECCAB	OR significant risk of turbulence encountered AND aircraft computer did not detect that flight crew executed cabin secure procedure
		Unsuccessful securing of cabin	ER17B12313	FCE – incorrect cabin secure during en route	ER- <u>TURB</u> -FCE- UNSECCAB	OR significant risk of turbulence encountered AND aircraft computer did not detect that flight crew executed cabin securing procedure correctly (on base of cabin voice analysis or adequate input to the aircraft system controls)
		Occupants suffer significant injury	ER17B1232	Significant injury of occupants resulted from turbulence encountered during en route	ER- <u>TURB</u> -INJ	OR turbulence encountered AND analyses of previous flight crew actions as well as turbulence scale indicate on high risk of occupants' injury.
2. Encounter	Flight crew reacts	Flight crew command	ER17B211	FCE – extreme maneuver	ER- <u>TURB</u> -FCE- EXTMNVREXEC	OR significant risk of turbulence encountered AND manoeuvre executed

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risk mitigation	correctly to encountere d risk	extreme maneuver		commanded in order to avoid the turbulence			by the flight crew classified as extreme
	without exceeding the aircraft design loads	Turbulence causes extreme maneuver	ER17B212	Encountered turbulence resulted with extreme maneuver of aircraft	ER- <u>TURB</u> - EXTMNVR		OR significant risk of turbulence encountered AND manoeuvre executed by the flight crew classified as extreme AND impact of turbulence on aircraft movement detected
		Ultimate design load exceeded	ER17B22	Encountered turbulence resulted with ultimate design load exceeding	ER- <u>TURB</u> - ULTLOADEXC		OR analysis of aircraft flight parameters being an effect of turbulence as well as tensions in critical structure indicate on ultimate load exceeding
3. Maintain control after adverse	Flight crew maintains control over the aircraft	Adverse weather makes aircraft uncontrollable	ER17B31	Aircraft in unrecoverable configuration in result of adverse weather	ER- <u>TURB-LOC-I</u>	Phase 2. Following Phase 1. AND Speed below V1 and not	Aircraft configuration, attitude and flight parameters classified as unrecoverable
weather encounter	after encounteri	Lack of control	ER17B32	FCE – lack of recovery action	ER- <u>TURB-LOC-</u> <u>I</u> -FCE-LCTRL	increasing, and at least 1	
ed	ng adverse Incorrect weather control		ER17B33	FCE – incorrect recovery action	ER- <u>TURB-LOC-</u> <u>I</u> -FCE-INCTRL	from: 1. Altitude above 1000ft AGL, 2. thrust in cruise mode.	OR Inconsistency between flight crew input to aircraft control and identified as optimal for given situation (defined and coded in aircraft system)

			Safety certification
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## Table 17 ASCOS ESD 18 - Single engine failure

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
<ol> <li>Single engine and its critical systems integrity</li> </ol>	Integrity of one of aircraft's propulsion systems as its critical subsystems . It includes also proper	Reduction Gear Failure	ER18B1111	Other engine <u>malfunction</u> – reduction gear failure during en route <u>Loss of engine</u> <u>functionality / engine</u> <u>malfunction procedural</u> <u>trigger</u> - reduction gear failure during en route	ER- <u>SCF-PP-</u> <u>OTHEM</u> - RGF ER- <u>SCF-PP-</u> <u>LOE</u> -RGF	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed above V2, 2. Altitude above 1000ft	<ul> <li>Warning about reduction gear failure</li> </ul>
	flight crew engine operation and	Severe Failure	ER18B1112	In-flight shutdown resulted with reduction gear failure during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> -RGF		<ul> <li>OR Warning about reduction gear failure AND in-flight shutdown</li> </ul>
	appropriate maintenanc e.	maintenanc Failure	ER18B1121	<u>Loss of engine</u> <u>functionality / engine</u> <u>malfunction procedural</u> <u>trigger</u> – compressor failure during en route	ER- <u>SCF-PP-</u> <u>LOE</u> -COMP	AGL, 3. Thrust cruise mode.	- OR Warning about compressor failure
				Other engine <u>malfunction</u> – compressor failure during en route	ER- <u>SCF-PP-</u> <u>OTHEM</u> - COMP		
		Severe Failure	ER18B1122	In-flight shutdown resulted from compressor failure during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> -COMP		<ul> <li>OR Warning about compressor failure AND in-flight shutdown</li> </ul>
		Combustor Failure	ER18B1131	<u>Loss of engine</u> <u>functionality / engine</u>	ER- <u>SCF-PP-</u> <u>LOE</u> -COMB		<ul> <li>OR Warning about combustor failure</li> </ul>

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		Severe	ER18B1132	<u>malfunction procedural</u> <u>trigger</u> – combustor failure during en route <u>Other engine</u> <u>malfunction</u> – combustor failure during en route <u>In-flight shutdown</u>	ER- <u>SCF-PP-</u> <u>OTHEM</u> - COMB ER- <u>SCF-PP-</u>	
		Failure		resulted from combustor failure during en route	IFSD-COMB	<ul> <li>OR Warning about combustor failure AND in-flight shutdown</li> </ul>
		Turbine Failure	ER18B1141	Loss of engine functionality / engine malfunction procedural trigger – turbine failure during en route Other engine	ER- <u>SCF-PP-</u> LOE-TURB ER- <u>SCF-PP-</u>	- OR Warning about turbine failure
		Severe Failure	ER18B1142	<u>malfunction</u> – turbine failure during en route In-flight shutdown	OTHEM- TURB ER- <u>SCF-PP-</u> IFSD-TURB	<ul> <li>OR Warning about turbine failure AND in-flight shutdown</li> </ul>
		Oil Distribution System Failure	ER18B1151	Loss of engine functionality / engine malfunction procedural trigger – oil distribution system failure during en route Other engine malfunction oil	ER- <u>SCF-PP-</u> LOE-OIL ER- <u>SCF-PP-</u> <u>OTHEM</u> -OIL	<ul> <li>OR Warning about oil distribution system failure</li> </ul>
		Severe Failure	ER18B1152	distribution system failure during en route <u>In-flight shutdown</u> resulted from oil	ER- <u>SCF-PP-</u> IFSD-OIL	<ul> <li>OR Warning about oil distribution system failure AND in-flight</li> </ul>

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			distribution system failure during en route		shutdown
	Accessory Drive Failure	ER18B1161	Loss <u>of engine</u> <u>functionality / engine</u> <u>malfunction procedural</u> <u>trigger</u> – accessory drive failure during en route	ER- <u>SCF-PP-</u> LOE-ADF	- OR Warning about accessory
			<u>Other engine</u> <u>malfunction</u> – accessory drive failure during en route	ER- <u>SCF-PP-</u> <u>OTHEM</u> - ADF	drive failure
	Severe Failure	ER18B1162	In-flight shutdown resulted from accessory drive failure during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> -ADF	<ul> <li>OR Warning about accessory drive failure AND in-flight shutdown</li> </ul>
	Icing Conditions	ER18B12111	Icing conditions encountered during en route potentially dangerous for aircraft propulsion	ER- <u>ICE</u> -PP	- OR Icing conditions detected
	Engine Anti- Ice Unavailable	ER18B121121	Other engine <u>malfunction</u> – anti-ice system failure during en route	ER- <u>ICE-SCF-</u> <u>PP-OTHEM</u> - ANTICEFL	<ul> <li>Or icing conditions detected AND anti-ice system unavailable AND / OR warning about anti-ice system failure detected</li> </ul>
	Engine Anti- Ice Utilization Failure	ER18B121122	FCE – incorrect operation of anti-ice system attached to the engine during en route	ER- <u>ICE</u> -FCE- INCOPER- ENGANTICE S	<ul> <li>OR icing conditions detected AND flight crew input to the aircraft engine anti-ice system is inconsistent with optimised procedure coded / defined by the aircraft computer for given conditions</li> </ul>
	Ice shed from wings	ER18B121123	<u>Other engine</u> <u>malfunction</u> caused by	ER- <u>ICE-SCF-</u> <u>PP-OTHEM</u> -	<ul> <li>OR Engine work parameters indicate on foreign object</li> </ul>

				9	ASCOS safety certification	
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		enters engine Ice impact causes damage to engine	ER18B121131	ice ingestion during en route <u>Other engine</u> <u>malfunction</u> caused by ice ingestion during en route	ICEINJ ER- <u>ICE-SCF-</u> <u>PP-OTHEM</u> - ICEINJ	ingestion AND icing conditions detected AND lack of or inactive anti-ice system in engine
		J		In-flight shutdown caused by ice ingestion during en route	ER- <u>ICE-SCF-</u> <u>PP-IFSD</u> - ICEINJ	<ul> <li>OR Engine work parameters indicate on foreign object ingestion AND icing conditions detected AND lack of or inactive anti-ice system in engine AND engine shutdown</li> </ul>
		Ice restricts airflow	ER18B121132	Restriction of inlet area caused by ice accumulation	ER- <u>ICE</u> - AIRFLRESTR	<ul> <li>OR Engine work parameters indicate on decrease of inlet area AND icing conditions AND lack of or inactive anti-ice system in engine</li> </ul>
		Thrust reduction	ER18B121133	Restriction of inlet area caused by ice accumulation resulted with thrust reduction.	ER- <u>ICE</u> - AIRFLRESTR	<ul> <li>OR Engine work parameters indicate on decrease of inlet area AND icing conditions AND lack of or inactive anti-ice system in engine AND thrust value decrease</li> </ul>
		Severe storm conditions	ER18B12121	Severe storm encountered during en route	ER- <u>WSTRW</u> - STORM	<ul> <li>OR weather conditions (severe storm) indicates on serious risk of</li> </ul>
		A/C unable to avoid storm	ER18B121221	Severe storm encountered during en route impossible to be avoided	ER- <u>WSTRW</u> - STORM- UNVDBL	engine flame-out (exceeds the engine operational limits)
		PIC commands flight into storm	ER18B121222	FCE – flight into area of adverse weather	ER- <u>WSTRW</u> - FCE- FLINTOSTR	<ul> <li>OR flight trajectory of the aircraft incorrect with regard to adverse weather area location</li> </ul>

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		Rain quantity exceeds operating limits of engine	ER18B121231	Extreme rain quantity encountered during en route	M ER- <u>WSTRW</u> - RAIN	<ul> <li>OR weather conditions (severe storm) indicates on serious risk of engine flame-out (exceeds the engine operational limits)</li> </ul>
		Flight crew fails to maintain engine speed	ER18B121232	In-flight shutdown caused water saturation during en route	ER- <u>WSTRW-</u> <u>SCF-PP-</u> <u>IFSD</u> -RAIN	<ul> <li>OR weather conditions (severe storm) indicates on serious risk of engine flame-out (exceeds the engine operational limits) AND engine flame-out or nearly flame- out</li> </ul>
		Fuel System Maintenance Failure	ER18B122111	Fuel leak resulted from incorrect maintenance of the engine	ER- <u>SCF-NP-</u> <u>FUEL-LK</u> - ENGINE-MF	<ul> <li>OR Fuel leak detected AND warning about fuel system failure AND system work parameters analysis indicates on maintenance process failure AND precursors for fuel leak detected during last adequate maintenance of the engine</li> </ul>
		Fuel System Damaged	ER18B122112	Bird impact during take- off or landing roll resulting with fuel system damage and fuel leak Foreign object impact during take-off or landing roll resulting with fuel system damage and fuel leak during en route	ER- <u>BIRD-</u> <u>FUEL-LK</u> ER- <u>ADRM-</u> <u>FUEL-LK</u>	<ul> <li>OR Fuel leak detected AND warning about fuel system failure AND impact of foreign object detected (on base of engine work parameters).</li> </ul>
				Separation of large items from aircraft	ER- <u>SCF-NP-</u> <u>STRUCT-</u>	<ul> <li>OR Fuel leak detected AND warning about fuel system failure</li> </ul>

				9	A2COS safety certification	
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				resulted with fuel leak during en route	<u>PDA-FUEL-</u> <u>LK</u>	AND impact of foreign object detected AND increased level of structure vibration detected (indicating on lack of aircraft components – case)
		Fuel Distribution System Failure	ER18B122121 1	<u>Other engine</u> <u>malfunction</u> – fuel distribution system failure during en route	ER- <u>SCF-PP-</u> <u>OTHEM</u> -FSF	- OR disruptions of fuel transfer to the engine detected
		Distribution Failure	ER18B122121 2	In-flight shutdown caused by fuel distribution system failure during en route	ER- <u>SCF-PP-</u> <u>IFSD-</u> FSF	<ul> <li>OR Temporary or permanent lack of fuel transfer to the engine detected AND engine shutdown</li> </ul>
		Control Surfaces in incorrect configuratio n	ER18B122122 1	Autopilot control or guidance error resulting with incorrect aircraft configuration during en route	ER- <u>SCF-NP-</u> <u>AFC-</u> <u>APERR</u> - DRAGGEN	<ul> <li>OR Inconsistency between indicated flight parameters and external conditions pointing at suboptimal configuration AND lack of incorrect actions executed by the flight crew detected</li> </ul>
				FCE – incorrect configuration set during en route	ER-FCE- INCCONF	<ul> <li>OR Inconsistency between indicated flight parameters and external conditions pointing at suboptimal configuration AND incorrect actions executed by the flight crew detected</li> </ul>
		Undercarriag e Failure	ER18B122122 2	Landing gear structural failure – retraction failure during en route	ER- <u>SCF-NP-</u> <u>LG-</u> STRUCTFL- LGDOWN	<ul> <li>OR Warning about not retracted landing gear AND lack of incorrect flight crew actions detected</li> </ul>
				FCE – incorrect landing gear retraction	ER-FCE- LGDOWN	<ul> <li>OR Warning about not retracted landing gear AND incorrect flight crew actions detected</li> </ul>
		FCMC Failure	ER18B122211	<u>Warning loss</u> – incorrect	ER- <u>SCF-NP-</u>	- OR warning about Incorrect fuel

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			1	work of fuel system during en route	<u>AVION-</u> <u>WRNLS</u> - FCMCF	system work parameters
		No ECAM	ER18B122211 21	Lack of ECAM system	ER-LECAM	<ul> <li>OR warning about Incorrect fuel system work parameters AND No ECAM system detected on aircraft</li> </ul>
		ECAM gives insufficient advisory action	ER18B122211 22	Advisory provided by the ECAM insufficient during en route	ER- INSECAM	<ul> <li>OR warning about Incorrect fuel system work parameters AND ECAM or aircraft computer diagnostic system cannot find the solution for given problem</li> </ul>
		ECAM Failure	ER18B122211 23	<u>Warning loss</u> – ECAM failure during en route	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - ECAM	- OR Lack ECAM activity detected
				Other avionic failure or malfunction – ECAM system failure during en route	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>OTHR</u> - ECAM	
		Lack of monitoring	ER18B122212 1	FCE – lack of fuel level monitoring during en route	ER-FCE- <u>FUEL</u> - LMON	<ul> <li>OR aircraft computer detect the fuel problem AND lack of warning</li> </ul>
		Insufficient Monitoring	ER18B122212 2	FCE – insufficient fuel level monitoring during en route	ER-FCE- <u>FUEL</u> - INSMON	about the problem AND lack of flight crew reaction on the problem AND aircraft computer
		Flight crew ignore independent checks	ER18B122212 3	FCE – ignoring of independent checks during en route	ER-FCE- <u>FUEL</u> - INSMON	evaluated that the problem is detectable on base of cockpit instruments
		Crew response inadequate	ER18B12222	FCE —incorrect response to the fuel problem during en route	ER-FCE- FUEL- INCRESP	<ul> <li>OR aircraft computer detect the fuel problem AND lack of warning about the problem AND flight crew reaction on the problem</li> </ul>

				9	ASCOS safety certification	
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		Foreign Object Damage	ER18B131	Engine mount damage caused by foreign object impact resulted with engine mount	ER- <u>BIRD</u> - ENGINEM ER- <u>ADRM</u> - ENGINEM	<ul> <li>does not cover the procedure assumed as optimal for given conditions</li> <li>OR Increased level of vibration or change of vibration characteristics detected in engine mount AND foreign object impact</li> </ul>
				damage Separation of large items from aircraft resulted with engine mount damage	ER- <u>SCF-NP-</u> <u>STRUCT</u> - PDA- ENGINEINJ	<ul> <li>detected during take-off or landing roll</li> <li>OR Increased level of vibration or change of vibration characteristics detected in engine mount AND foreign object impact detected during take-off or landing roll AND Increased level of vibration or change of vibration characteristics detected in other</li> </ul>
		Engine Mount Design Failure Revealed	ER18B1321	Design failure of the engine mount	ER- <u>SCF-NP-</u> <u>STRUCT</u> - ENGINEM- DF	aircraft component - OR flight parameters indicate on exceedance of engine mount ultimate loads (coded in aircraft computer) AND adequate precursors during design process detected
		Engine Mount Maintenance Failure Revealed	ER18B1322	Maintenance findings resulted with decrease value of engine mount ultimate load	ER- <u>SCF-NP-</u> <u>STRUCT</u> - ENGINEM- MF	<ul> <li>OR flight parameters indicate on exceedance of engine mount ultimate loads (coded in aircraft computer) AND precursor for engine mount failure detected during last maintenance of the component</li> </ul>
		Load exceeds	ER18B133	Engine mount ultimate load exceedance	ER- <u>SCF-NP-</u> <u>STRUCT</u> -	- OR flight parameters indicate on exceedance of engine mount

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		engine mount design load		resulted from extreme turbulence during en route	ENGMOUN T- <u>WSTRW</u>		ultimate loads (coded in aircraft computer) AND extreme turbulence detected AND lack of precursors indicating on design or maintenance related failure
		Turbulent Conditions	ER18B141	<u>In-flight shutdown</u> due to engine surge caused by extreme turbulence	ER- <u>TURB-</u> <u>SCF-PP-</u> <u>IFSD</u> -SRGE		<ul> <li>OR warning about engine in-flight shutdown AND/OR Monitored flight parameters indicated on extreme turbulence.</li> </ul>
		Flight crew command altitude outside engine operating envelope	ER18B142	FCE - <u>In-flight shutdown</u> due to altitude outside engine operating envelope commanded	ER- <u>SCF-PP-</u> <u>IFSD</u> -FCE- IGNFENV		<ul> <li>OR flight parameters outside the engine operating envelope</li> </ul>
		Engine Thrust too high for altitude	ER18B1431	FCE - <u>In-flight shutdown</u> due to altitude outside engine operating envelope for given thrust level commanded	er- <u>SCF-PP-</u> <u>IFSD</u> -FCE- OVRTHR		
2. Single engine response	Flight crew performs successful actions in order to	Restart Unnecessary	ER18B21	FCE - <u>In-flight shutdown</u> during en route and restart of engine assumed as unnecessary	ER- <u>SCF-PP-</u> <u>IFSD</u> -FCE- LENGREST	Phase 2. Following phase 1 AND one engine	<ul> <li>In-flight shutdown AND restart classified as unnecessary due to power redundancy</li> </ul>
	restart the engine. The external conditions (flight	Aircraft too low to allow restart	ER18B221	In-flight shutdown and lack of possibility to restart due to low altitude	ER- <u>SCF-PP-</u> <u>IFSD-LOC-I</u> - LENGREST	inoperati ve and at least 2 from: 1. Speed	<ul> <li>OR in-flight shutdown AND aircraft altitude, attitude and configuration classified as being of higher priority to be recovered than flamed out engine</li> </ul>
	altitude) as well as	Engine too damaged	ER18B222	In-flight shutdown during en route due to	ER- <u>SCF-PP-</u> <u>IFSD</u> -	above V2, 2.	<ul> <li>OR in-flight shutdown AND restaining on the state of the</li></ul>

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	engine condition do not disable the	Engine not attached	ER18B223	damage excluding its restart <u>Engine separation</u> during en route	RESTIMP ER- <u>SCF-PP-</u> <u>ES</u>	Altitude above 1000ft AGL, 3.	<ul> <li>(engine components failure detected and classified as critica</li> <li>OR in-flight shutdown AND engine separation detected</li> </ul>
	restart. A Fi	A/C System Failure prevents restart	ER18B231	Other engine malfunction disabling the engine restart during en route	ER- <u>SCF-PP-</u> <u>OTHEM</u> - ENGREST	Thrust cruise mode.	<ul> <li>OR in-flight shutdown AND engine control system failure detected AND restart unsuccessful</li> </ul>
		Flight Crew unable to perform APU Restart	ER18B232	<u>Auxiliary Power Unit</u> <u>failure</u> disabling engine restart during en route	ER- <u>SCF-NP-</u> <u>MISC-</u> <u>APUFL</u> - ENGREST		<ul> <li>OR in-flight shutdown AND APU response not detected AND/OR warning about APU failure received</li> </ul>
				FCE – incorrect APU restart after in-flight shutdown during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> -FCE- INCOPER- APU		<ul> <li>OR in-flight shutdown AND flight crew input to the APU control inconsistent with APU manual for given conditions</li> </ul>
		Restart not attempted	ER18B24	FCE – lack of restart of engine not attempted after shutdown during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> -FCE- LENGREST		
3. Dual Engines are engine operated integrity and maintained properly and under acceptable conditions, correct	operated and maintained	operated Engine and Damage maintained properly and under acceptable conditions, correct		ER- <u>SCF-PP-</u> <u>IFSD</u> - FRSTENGRE S	Phase 3a. Following Phase 2. AND lack of thrust	- In-flight shutdown followed by i	
	and under acceptable conditions, correct			Multiple engine power loss during en route resulted from loss of integrity of the first	ER- <u>SCF-PP-</u> <u>MEPL</u> - FRSTENGRE S	least 1 RE from: 1. Altitude	flight shutdown of the second engine AND acoustic impact detected indicating on rapid loss of integrity of the first engine.
	flight crew fuel manageme nt, engine	Second Engine damaged by	ER18B312	engine during en route In-flight shutdown – severe engine damage during en route	ER- <u>SCF-PP-</u> <u>IFSD</u> - FRSTENGRE	above 1000ft AGL, 2. Speed	

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	location minimizes the risk of engine damage due to other	first		followed by loss of engine integrity <u>Multiple engine power</u> <u>loss</u> during en route resulted from loss of integrity of the first engine during en route	S ER- <u>SCF-PP-</u> <u>MEPL</u> - FRSTENGRE S	below V1 and not increasin g.	
	engine disintegrati on.	Single Engine suffers Ice Flame-out	ER18B32111	In-flight shutdown caused by ice ingestion by the engine during en route	ER- <u>ICE-SCF-</u> <u>PP-IFSD</u> - ICEING		<ul> <li>OR engine in-flight shutdown AND engine work parameters before flame-out indicated on ic accumulation and ingestion ANE icing conditions detected</li> </ul>
		Second Engine suffers Ice Flame-out	ER18B32112	Multiple engine power loss during en route resulted from ice ingestion by the engines.	ER- <u>ICE-SCF-</u> <u>PP-MEPL</u> - ICEINJ		<ul> <li>OR engine in-flight shutdown AND engine work parameters before flame-out indicated on ic accumulation and ingestion AND icing conditions detected AND followed by second engine in- flight shutdown proceeded by similar indications</li> </ul>
		Single Engine suffers Rain Flame-out	ER18B32121	<u>In-flight shutdown</u> caused by extreme rain during en route	ER- <u>WSTRW-</u> <u>SCF-PP-</u> IFSD-RAIN		<ul> <li>OR engine in-flight shutdown AND engine work parameters before flame-out indicated on water saturation AND adverse weather detected (extreme rain</li> </ul>
		Second Engine suffers Rain Flame-out	ER18B32122	Multiple engine power loss during en route caused by water saturation due to extreme rain	ER- <u>WSTRW-</u> <u>SCF-PP-</u> <u>MEPL</u> -RAIN		<ul> <li>OR engine in-flight shutdown AND engine work parameters before flame-out indicated on water saturation AND adverse weather detected (extreme rain AND followed by second engine in-flight shutdown proceeded by similar indications</li> </ul>

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	Single Engine suffers Fuel Exhaustion	ER18B3221	In-flight shutdown during en route caused by fuel starvation	ER- <u>SCF-PP-</u> <u>IFSD</u> -FSF	<ul> <li>OR engine in-flight shutdown AND fuel starvation in engine detected AND/OR fuel system failure detected</li> </ul>
	Fuel starvation occurs simultaneou sly	ER18B32221	<u>Multiple engine power</u> <u>loss</u> during en route caused by fuel starvation	ER- <u>SCF-PP-</u> <u>MEPL</u> -FSF	<ul> <li>OR engine in-flight shutdown AND fuel starvation in engine detected AND/OR fuel system failure detected AND followed by second engine in-flight shutdown proceeded by similar indications</li> </ul>
	Further Fuel Monitoring Unsuccessful	ER18B32222	<u>Multiple engine power</u> <u>loss</u> - FCE – prevention of second engine fuel starvation by fuel situation monitoring	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- LFSMON	<ul> <li>OR engine in-flight shutdown AND second engine in-flight shutdown AND fuel starvation</li> </ul>
	Crew response inadequate	ER18B32223	Multiple engine power loss - FCE – prevention of second engine fuel starvation by correct response to the problem	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- INCRESP	detected AND flight crew input to the aircraft fuel system control does not cover the procedure optimal for efficient problem diagnose.
	Single Engine separates	ER18B331	Engine separation during en route	ER- <u>SCF-PP-</u> <u>ES</u>	- OR engine separation detected
	Second Engine struck by First Engine	ER18B3321	Second <u>engine</u> <u>separation</u> during en route <u>Multiple engine power</u> <u>loss</u> during en route caused by engines separation (the second	ER- <u>SCF-PP-</u> ES- FIRSTSTR ER- <u>SCF-PP-</u> <u>MEPL</u> - ENGSTR	<ul> <li>OR engine separation detected AND followed by second engine separation AND impact in the second engine before its separation detected</li> </ul>
	Second Engine	ER18B3322	by the first) <u>Multiple engine power</u> <u>loss</u> during en route	ER- <u>SCF-PP-</u> <u>MEPL</u> -	<ul> <li>OR engine separation detected AND followed by second engine</li> </ul>

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		separates independent ly		caused by engines separation (independent)	ENGSEP	separation AND no impact in the second engine before its separation detected
		Single Engine suffers surge	ER18B341	<u>In-flight shutdown</u> during en route caused by engine surge	ER- <u>TURB-</u> <u>SCF-PP-</u> <u>IFSD</u> -SRGE	<ul> <li>OR engine in-flight shutdown AND engine surge detected</li> </ul>
		Simultaneou s surge	ER18B3421	<u>Multiple engine power</u> <u>loss</u> during en route caused by engine surge of all engines	ER- <u>TURB-</u> <u>SCF-PP-</u> <u>MEPL</u> -SRGE	<ul> <li>OR all engines in-flight shutdown AND engines surge detected</li> </ul>
		Second Engine surges independent ly	ER18B3422	<u>Multiple engine power</u> <u>loss</u> during en route caused by engines surge (independent)	ER- <u>TURB-</u> <u>SCF-PP-</u> <u>MEPL</u> -SRGE	<ul> <li>OR engine in-flight shutdown AND engine surge detected AND followed by the second engine in flight shutdown proceeded by similar indications</li> </ul>
4. Total power loss response	Flight crew performs successful actions in order to	Aircraft too low to allow restart	ER18B3511	<u>Multiple engine power</u> <u>loss</u> – during en route and low altitude disables the engine restart	ER- <u>LOC-I</u> - LENGREST	<ul> <li>Multiple engine power loss AND aircraft altitude, attitude and configuration classified as being of higher priority to be recovere than flamed out engines</li> </ul>
restart the engines. The external conditions (flight altitude) as well as the	engines. The external	Engines too damaged	ER18B3512	Engines damage during en route	ER- <u>SCF-PP-</u> <u>MEPL</u> - RESTIMP	<ul> <li>OR Multiple engine power loss AND restart impractical due to engines damage (engine components failure detected an classified as critical).</li> </ul>
	altitude) as	Engines not attached	ER18B3513	Engines separation during en route	ER- <u>SCF-PP-</u> <u>MEPL</u> -ES	<ul> <li>OR Multiple engine power loss AND all engines separation detected</li> </ul>
	engines condition do not disable the	No fuel	ER18B3514	Lack of fuel during en route	ER- <u>SCF-PP-</u> <u>MEPL</u> - RESTIMP- FUEL	<ul> <li>OR Multiple engine power loss AND lack of fuel detected</li> </ul>
	restart.	A/C System	ER18B3521	Other engine	ER-SCF-PP-	- OR Multiple engine power loss

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	Failure prevents restart			malfunction preventing restart	<u>OTHEM</u> - ENGREST		AND engines control system failure detected AND restart unsuccessful
		Flight Crew unable to perform APU Restart	ER18B3522	<u>Auxiliary Power Unit</u> <u>failure</u> disabling engine restart during en route	ER-SCF-NP- MISC- APUFL		<ul> <li>OR Multiple engine power loss AND APU response not detected AND/OR warning about APU failure received</li> </ul>
				FCE – incorrect APU restart after in-flight shutdown during en route	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- INCOPER- APU		<ul> <li>OR Multiple engine power loss AND flight crew input to the APU control inconsistent with APU manual</li> </ul>
		Flight Crew perform Incorrect Windmill Restart	ER18B3523	FCE – incorrect windmill restart execution	ER- <u>SCF-PP-</u> <u>MEPL</u> - RESTIMP- FCE- INCWNDM LL		<ul> <li>OR Multiple engine power loss AND flight crew input to the aircraft control does not cover the procedure optimized for give conditions – windmill restart.</li> </ul>
5. Engine operatio n	All conditions necessary to enable	Engine Sensor Failures	ER18B41111	False/misleading indication during en route causing incorrect engine shutdown	ER- <u>SCF-PP-</u> <u>FMI</u> - ENGNW	Phase 3b. Following phase 2 AND one	<ul> <li>In-flight shutdown AND engine sensor failure detected AND sensor failure classified as disabling correct decision suppo</li> </ul>
correct engine shutdown are met.	engine shutdown	Dual FWS Failure	ER18B41112	<u>Warning loss</u> about the engine failure	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - ENGNW	engine inoperati ve and at least 2	<ul> <li>OR In-flight shutdown AND lack warning about the engine shutdown</li> </ul>
		DMC Failure	ER18B41113	<u>Integrated display unit</u> <u>loss</u> during en route	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>DULS</u> - ENGNW	from: 1. Speed above V2, 2.	<ul> <li>OR In-flight shutdown AND lack warning about the engine shutdown AND DMC failure detected.</li> </ul>
		Warning not Obvious	ER18B4112	<u>Warning error</u> – engine sensors	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNERR</u> - ENGNW	Altitude above 1000ft AGL, 3.	<ul> <li>OR In-flight shutdown AND sens indications classified as disabling efficient decision support</li> </ul>

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		Engine Indication Systems Failure	ER18B41131	<u>Warning loss</u> lack of warning about engine shutdown	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - ENGNW	Thrust cruise mode.	<ul> <li>OR In-flight shutdown AND lack of warning about the engine shutdown</li> </ul>
				<u>False/misleading</u> <u>indication</u> – about number of engine shutdown	ER- <u>SCF-PP-</u> <u>FMI</u> - ENGNW		<ul> <li>OR In-flight shutdown AND senso indications classified as disabling efficient decision support AND engine indication systems failure detected</li> </ul>
		Total Loss of engine indication	ER18B41132	False/misleading indication – lack of engine indication during en route	ER- <u>SCF-PP-</u> <u>FMI</u> - ENGNW	-	<ul> <li>OR In-flight shutdown AND lack of engine indication detected</li> </ul>
		Lack of Verification	ER18B4121	FCE – lack of verification the data suggesting the engine shutdown during en route	ER-FCE- ENGNW- LVERIF		<ul> <li>OR In-flight shutdown AND flight crew input to the aircraft control</li> </ul>
		Inadequate Verification	ER18B4122	FCE – inadequate verification the data suggesting the engine shutdown during en route	ER-FCE- ENGNW- LINCVERIF		systems does not cover the procedure designed and coded ir aircraft computer for given situation and conditions
		Flight crew ignore warnings	ER18B42	FCE – ignoring the warning about the shutdown engine.	ER-FCE- IGNOR - ENGNW		<ul> <li>OR In-flight shutdown AND sensor indications classified as enabling efficient decision support AND working engine shutdown by the flight crew</li> </ul>
6. Single engine control response	Flight crew recovers control over the aircraft	Flight Control Surfaces Severely Damaged	ER18B511	Damage of flight control surface caused by engine	ER- <u>LOC-I</u> - SURFDAM	Phase 3c. Following phase 2 AND one engine	<ul> <li>In-flight shutdown AND flight control surface detected as inoperable</li> </ul>
	after single	Aircraft Stalls	ER18B512	Aircraft stalls during en	ER- <u>LOC-I</u> -	inoperati	- OR In-flight shutdown AND

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	engine failure			route	STLL	ve and at least 2	aircraft attitude and flight parameters indicates on stall.
		Lack of       ER18B52       FCE – lack of response       ER-LOC-I-       from: 1.         Immediate       to the problem       FCE-LCTRL       Speed         Flight       Above       V2, 2.         Control       ER18B531       FCE –incorrect response       ER-LOC-I-         Flight crew       ER18B531       FCE –incorrect response       ER-LOC-I-         misdiagnose       to the problem       AGL, 3.	Speed above V2, 2. Altitude	<ul> <li>OR In-flight shutdown AND flight crew input to the aircraft control does not cover the procedure designed and coded in aircraft computer for given situation and conditions.</li> </ul>			
		Flight crew misdiagnose which engine has failed	ER18B531	FCE –incorrect response to the problem			<ul> <li>OR In-flight shutdown AND flight</li> <li>crew input to the aircraft control</li> <li>does not cover the procedure</li> </ul>
		Flight crew apply incorrect controls	ER18B532		ER- <u>LOC-I</u> - FCE-INCTRL	mode.	
7. Total power loss control response	Flight crew is able to recover the control over the	Flight Control Surfaces Severely Damaged	ER18B611	Damage of flight control surface caused by engines	ER- <u>LOC-I</u> - SURFDAM	Phase 4. Following phase 3 (a,b or c) AND lack	<ul> <li>Multiple engine power loss AND flight control surface detected as inoperable</li> </ul>
	aircraft after total power loss	Aircraft Stalls	ER18B612	Aircraft stalls during en route	ER- <u>LOC-I</u> - STLL	of thrust and at least 1	<ul> <li>OR Multiple engine power loss</li> <li>AND aircraft attitude and flight</li> <li>parameters indicates on stall</li> </ul>
		Lack of Immediate Flight Control	ER18B62	FCE – lack of response to the problem	ER- <u>LOC-I</u> - FCE-LCTRL	from: 1. Altitude above 1000ft AGL, 2. Speed below V1 and not	<ul> <li>OR Multiple engine power loss AND flight crew input to the aircraft control does not cover</li> </ul>
		Immediate & Continuing Control Incorrect	ER18B63	FCE –incorrect response to the problem	ER- <u>LOC-I</u> - FCE-INCTRL		the procedure designed and coded in aircraft computer for given situation and conditions.
8.	All	Aircraft fails	ER18B71	FCE – decision about	ER- <u>SCF-PP-</u>	increasin	- Multiple engine power loss AND

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Achieve airport	conditions necessary to reach	to return to departure airport		back to the departure airport	<u>MEPL</u> -FCE- GLTODEPA DR	g.	flight crew decisions (revealed through the input to the aircraft control) do not cover the optimal
	the nearest airport and safely land on it are	Aircraft fails to reach destination airport	ER18B72	FCE – decision about flight to the destination airport	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- GLTODEST	-	solution for given conditions
	met.	Flight Crew unable to maintain control on approach	ER18B731	FCE – maintain control on approach	AL- <u>LOC-I</u> - MEPL		<ul> <li>OR Multiple engine power loss AND flight crew input to the aircraft controls during approach classified as incorrect</li> </ul>
	Distance to airport greater than glide distance	ER18B732	FCE – error in calculating the gliding distance / distance to the nearest airport	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- UNREACHB L		<ul> <li>OR Multiple engine power loss</li> <li>AND flight crew decisions</li> </ul>	
		Diversion E occurs too late	ER18B733	FCE – diversion too late	ER- <u>SCF-PP-</u> <u>MEPL</u> -FCE- DIVTL		(revealed through the input to the aircraft control) do not cover the optimal solution for given conditions
		Airport not suitable	ER18B734	FCE – error in airport choosing	ER- <u>SCF-PP-</u> <u>MEPL</u> - ADRNS		conditions

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## Table 18 ASCOS ESD 19 - Unstable approach

Barrier	Descripti on	Possibilities for braking the barrier –	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Stable Approach	Flight crew	Base event Poor manual flight control	AL19B11 1	FCE – poor manual flight control during	AL-FCE- PMANFC	Phase 1 following	Flight crew input to the aircraft control classified as incorrect for given conditions
	handle aircraft properly	causes UA Checklist failure	AL19B11 21	approach FCE – checklist failure during	AL-FCE- CRMF-	phase 0 (en route) and at least 2 from:	OR lack of or incorrect executed procedure for briefing and checklist (e.g.
	in order to avoid unstable approach	Improper control exchange	AL19B11 22	approach FCE – improper control exchange during approach	CHCKLF AL-FCE- CRMF- CTRLEXCH	1. Speed below 240 kts and not increasing 2.	via cabin voice analysis for key words) OR exchange the control detected in incorrect conditions
	. The weather condition s do not disable	Poor automated systems management causes UA	AL19B11 3	FCE – incorrect use of flight management systems during approach	AL-FCE- INCOPER- FMS	Altitude below 2000ft AGL and not increasing, 3. Application of approach mode thrust	OR incorrect use of flight management detected (inconsistency with system manual)
	the stable	Loss of visual	AL19B12 1	Loss of visual during approach	AL- <u>WSTRW</u> - VISLSS		OR external conditions analysis indicate on loss of visual by the flight crew
	approach	Severe turbulence	AL19B12 2	Severe turbulence during approach encountered	AL- <u>TURB</u> -UA		OR external conditions analysis indicate on strong turbulence encountered
		Crosswind exceeded	AL19B12 3	Crosswind during approach encountered	AL- <u>WSTRW</u> - UA		OR external conditions analysis indicate on cross wind encountered
2. Missed approach	Flight Crew performs missed approach	Flight crew fail to recognize unstable approach	AL19B21 1	FCE – lack of recognition of unstable approach	AL-FCE- UAREC	Phase 2a following phase 1 and at least 2 from: 1.	Flight parameters during approach classify the approach as unstable AND flight crew input to the aircraft controls does not cover the adequate procedure
	approach as a	Crew fail to	AL19B21	FCE – incorrect	AL-FCE-	Speed below	

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	result of unstable approach	respond appropriatel y to unstable approach	2	response to unstable approach	INCRESP-UA	240 kts and not increasing 2. Altitude	
		AOA protection prevents MA	n 1 prevents MA <b>INCUARESP</b> AGL and MA Application	below 2000ft AGL and not increasing, 3. Application	OR Flight parameters during approach classify the approach as unstable AND missed approach blocked by AOA protection		
	-	PF fails to execute correctly	AL19B22 2	FCE – lack of missed approach execution	AL-FCE- INCUAEXEC	of approach mode thrust	OR Flight parameters during approach classify the approach as unstable AND flight crew input to the aircraft controls does not cover the adequate procedure
3. Maintain control	Flight crew recovers control over the aircraft	Uncontrollab le	AL19B31	Aircraft in unrecoverable configuration in result of unsuccessful missed approach	AL- <u>LOC-I</u> - FCE- INCUAEXEC	Phase 3a following phase 2a and at least 2 from: 1. Speed below	Unsuccessful missed approach executed AND aircraft configuration, attitude and flight parameters classified as unrecoverable
	after failed executio n of missed approach	Lack of control Incorrect Control Insufficient control	AL19B32 AL19B33 AL19B34	FCE – lack of recovery action FCE – incorrect recovery action FCE – insufficient recovery action	AL- <u>LOC-I</u> - FCE-LCTRL AL- <u>LOC-I</u> - FCE-INCTRL AL- <u>LOC-I</u> - FCE-INSCTRL	240 kts and not increasing 2. Altitude below 2000ft AGL and not increasing, 3. Application of approach mode thrust	OR Unsuccessful missed approach executed AND inconsistency between flight crew input to aircraft control and identified as optimal for given situation (defined and coded in aircraft system)
4. Structural integrity	Aircraft structure is free of structura I	Structure too weak	AL19B41	Landing gear structural failure resulted from abnormal runway contact	AL- <u>ARC-SCF-</u> <u>NP-LG</u> - <u>STRUCTFL</u>	Phase 2b following phase 1 and at least 2 from: 1.	Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of landing ge failure AND change in vibration characteristics in landing gear detected

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	weaknes ses or touchdo wn was made			Tire failure resulted from abnormal runway contact	AL- <u>ARC-SCF-</u> <u>NP-LG-</u> <u>TIREFL</u>	Speed below approach speed 2. Altitude Oft AGL, 3.	OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of landing tire failure AND change in pressure level in tire detected
	without exceedin g the design loads		-	Wheel failure resulted from abnormal runway contact	AL- <u>ARC-SCF-</u> <u>NP-LG-</u> <u>WHLFL</u>	Landing gear compression	OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of wheel failur AND change in vibration characteristics in wheel detected
	_			Cracks in aircraft structure resulted from abnormal runway contact	AL- <u>ARC-SCF-</u> <u>NP-STRUCT-</u> <u>CRK</u>		OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of aircraft structure failure
		Design load exceeded	AL19B42	Design load exceeded as a result of abnormal runway contact	AL- <u>ARC</u> - DSGNLEXC		OR Abnormal runway contact detected AND tension distribution exceeded the aircraft design loads
5. Maintain control after hard landing	Flight crew maintain s control over the	Uncontrollab le	AL19B51	Aircraft in unrecoverable configuration in result of abnormal runway contact	AL- <u>LOC-G-</u> <u>ARC</u> - INCUAEXEC		Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as unrecoverable
	aircraft after hard	Lack of control	AL19B52	FCE – lack of recovery action	AL- <u>LOC-G</u> - FCE-LCNTTR		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
	landing being a result of unstable	Incorrect Control	AL19B53	FCE – incorrect recovery action	AL- <u>LOC-G</u> - FCE- INCNTTR		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
	approach	Insufficient control	AL19B54	FCE – insufficient recovery action	AL- <u>LOC-G</u> - FCE- INSCNTTR		OR Aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control

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							classified as insufficient in given situatio (too low extend, too short input time et
6. Maximum braking	Paramet ers of braking systems	Insufficient runway length	AL19B61	Runway too short due to poor surface condition (ice or wet)	AL-ADRM- INSRWYL		Runway excursion AND braking devices work correctly AND braking performed correctly.
	and devices included	and Brakes not devices functioning	AL19B62	<u>Automatic braking</u> <u>loss</u> during landing roll	AL- <u>SCF-NP-</u> <u>AFC-ABRKLS</u>	S OR Deceleration expected (calu conditions) Al AND all brakin and correctly	OR Deceleration parameters lower than expected (calculated for current
	assumed /			Automatic braking operation error during landing roll Brake system failure	AL- <u>SCF-NP-</u> <u>AFC-</u> <u>ABRKERR</u> AL- <u>SCF-NP-</u>		conditions) AND/OR braking asymmetry AND all braking devices applied on time
	range		range	-	during landing roll <u>Reverser / beta</u> <u>malfunction - failure</u> <u>to deploy</u> during landing roll	<u>LG-BRKFL</u> AL- <u>SCF-PP-</u> <u>RFD</u>	
	-	Brakes not applied correctly	AL19B63	FCE – braking application error	AL-FCE- BRAKAPP		OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.
7. Maintain control	Flight crew maintain s control over the	Uncontrollab le	AL19B71	Aircraft in unrecoverable configuration in result of missed approach execution	MA- <u>LOC-I</u>	Phase 2c following phase 1 and at least 2 from: 1. Speed below 240 kts and not increasing 2. Altitude below 2000ft	Missed approach execution AND aircraft configuration, attitude and flight parameters classified as unrecoverable
	aircraft after executio	Lack of control	AL19B72	FCE – lack of recovery action	MA- <u>LOC-I</u> - FCE-LCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoveral AND lack of pilot input to aircraft contro
	n of missed approach	Incorrect Control	AL19B73	FCE – incorrect recovery action	MA- <u>LOC-I</u> - FCE-INCTRL		OR Aircraft configuration, attitude and flight parameters classified as recoveral AND pilot input to aircraft control

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	-	Insufficient control	AL19B74	FCE – insufficient recovery action	MA- <u>LOC-I</u> - FCE-INSCTRL	AGL, 3. Application of missed approach mode thrust	classified as incorrect in given situation OR Aircraft configuration, attitude and flight parameters classified as recoverabl AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc
8. Fuel managem ent	All necessar y condition s	Flight crew fail to notify ATC of inadequate reserves	AL19B81 1	FCE – lack of notification to the ATC about fuel reserve	MA- <u>FUEL</u> - FCE- INADFLAM- NOT2ATM	Phase 3c following phase 2c and at least 2 from: 1. Speed below 240 kts and not increasing 2. Altitude below 2000ft AGL and not increasing, 3. Application of approach mode thrust	Missed approach execution AND lack of message to ATC about fuel reserve AND not enough amount of fuel detected for next approach for given conditions
	enabling successfu I	Poor flight planning	AL19B81 21	FCE – poor flight planning	MA- <u>FUEL</u> - FCE-PFPL		OR Missed approach execution AND executed flight plane classified as not optimal for given conditions
	executio n of next approach are met	Aircraft diverted from other location	AL19B81 22	Change in flight plan	MA- <u>FUEL</u> - RTDIV		OR Missed approach execution AND executed flight plane classified as not optimal for given conditions AND flight plan change noted
		Aircraft executes multiple MA	AL19B82	Multiple missed approach execution	MA- <u>FUEL</u> - FCE- MMAEXEC		OR Missed approach execution AND lack of message to ATC about fuel reserve AND not enough amount of fuel detected for next approach for given conditions AND more than two missed approach executed.

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### Table 19 ASCOS ESD 21 - Aircraft Weight & Balance Outside Limits

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Aircraft Weight & Balance	Aircraft loading Weight & and	Aircraft Overweight	AL21B11	GSE – maximum amount of load for given route exceeded resulting with maximum landing weight exceeded	AL-GSE- LOADEXC	Phase 1 following phase 0 (en route) and at least 2 from: 1. Speed below 240 kts and not increasing 2. Altitude below 2000ft AGL and not increasing, 3. Application of approach mode thrust	<ul> <li>Aircraft load weights and/or fuel weight exceeds the maximum acceptable values for given flight</li> </ul>
	failures able to affect the aircraft weight &			FCE – poor flight planning resulting with maximum landing weight exceeded	AL-FCE-PFPL		route.
	balance issues.	Incorrect or No Load Sheet	AL21B121	GSE - Lack of or incorrect load sheet	AL-GSE- INCLDSHT		<ul> <li>OR aircraft computer detected errors in input load sheet OR lack of load sheet(electronic version of load sheet required)</li> </ul>
		Load Sheet not Adhered to	AL21B122	GSE - Load sheet not adhered to	AL-GSE- INCLDDISTR		<ul> <li>OR aircraft computer detected inconsistencies between programmed load sheet and measured aircraft weights.</li> </ul>
		Fore-Aft Tanks Installed on Aircraft	AL21B131	Aircraft equipped with fuel tanks located far from aircraft C G.	AL-FTLOC-DF		<ul> <li>OR aircraft uses for-aft tanks during flight</li> </ul>
		Flight Crew Command Fuel Transfer	AL21B132 1	FCE – flight crew commanded fuel transfer negatively affecting aircraft	AL-FCE- INCFLTRNSFR		<ul> <li>OR fuel transfer negatively affecting aircraft weight and balance commanded by the flight crew detected/</li> </ul>

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		Fuel System     AL21B132     Fuel control system     AL-SCF-NP-       Failure     21     failure during     FUEL-CTRL-       approach and     INCFLTRNSFR     landing phase		<ul> <li>OR Fuel control system failure detected OR warning about fuel control system received</li> </ul>			
		Uncommand ed Fuel Transfer	AL21B132 22	Fuel control failure resulting with uncommanded fuel transfer	AL- <u>SCF-NP-</u> <u>FUEL-CTRL</u> - UNCFLTRNSF R		<ul> <li>OR Fuel control system failure detected OR warning about fuel control system received AND uncommanded fuel transfer detected</li> </ul>
		Fuel Load Distribution Incorrect	AL21B132 3	FCE - Fuel distribution at take- off is incorrect	AL-FCE- FLDISTR		<ul> <li>OR fuel distribution exceeds the maximum acceptable values for given flight route</li> </ul>
		Cargo Shifts	AL21B14	<u>Cargo restraint</u> <u>system failure</u> – appeared or revealed during approach and landing phase	AL- <u>SCF-NP-</u> <u>MISC-</u> <u>CARGRSTFL</u>		<ul> <li>OR rapid aircraft C.G movement detected AND cargo restraint system failure detected</li> </ul>
		Failure to Diagnose Problem	AL21B21	FCE – failure to diagnose the weigh and balance problem	AL-FCE-MISD- WBALPR		<ul> <li>OR inconsistencies between flight crew input to the aircraft controls and procedure assumed by the</li> </ul>
		Failure to Resolve Problem	AL21B22	FCE – failure to response correctly to the weight and balance problem occurred during approach and landing	AL-FCE- INCRESP- WBALPR		system as optimal for given conditions AND / OR lack of unambiguous solution for given problem AND / OR lack of possibility to solve the problem by the flight crew on base of for them available information
2.Control recovery	Flight crew recovers	Stall Unavoidable	AL21B311	Loss of control in flight due to stall	AL- <u>LOC-I</u> - STALL	Phase 2 following phase 1 and	<ul> <li>Aircraft configuration, and flight parameters indicate on stall due to weight and balance problems</li> </ul>

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	1.0 the control over the aircraft after detection of weight and	Pilot fails to avoid stall	AL21B312	FCE – failure to avoid stall	AL- <u>LOC-I</u> -FCE- INCTRL	at least 2 from: 1. Speed below 240 kts and not increasing 2. Altitude below 2000ft	<ul> <li>OR Aircraft configuration, and flight parameters indicate on nearly stall which is not unavoidable AND inconsistencies between pilot input to the aircraft controls and control input sequence assumed by the aircraft computer as optimal for given conditions AND aircraft stall</li> </ul>		
	balance problem	Control Unrecoverab le Lack of Control	AL21B321 AL21B322	Loss of control in flight – situation unrecoverable FCE – lack of flight crew response to aircraft attitude	AL- <u>LOC-I</u> - STALL AL- <u>LOC-I</u> -FCE- LCTRL	AGL, 3. Application of approach and landing mode of thrust	<ul> <li>OR Aircraft configuration, and flight parameters indicate on appearing of unrecoverable conditions</li> <li>OR Aircraft configuration, and flight parameters indicate on nearly loss of control state which is not</li> </ul>		
		Incorrect Control	AL21B323	FCE – incorrect flight crew response to aircraft attitude	AL- <u>LOC-I</u> -FCE- INCTRL		unavoidable AND inconsistencies between pilot input to the aircraft controls and control input sequence assumed by the aircraft computer as optimal for given conditions.		

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# Table 20 ASCOS ESD 23 - Aircraft encounters windshear during landing

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)		
1. Windshe ar	Windshe allowing for	LLWAS not installed	AL23B1 11	Lack of LLWAS system at the airport	AL- <u>WSTRW-</u> <u>ADRM</u> - LLLWAS	Phase 1 following phase 0 (en route) and at least 2 from: 1. Speed below 240 kts and not increasing 2. Altitude	<ul> <li>ATCO system is not equipped with LLWAS</li> </ul>		
detection	detection are available	LLWAS not activated	AL23B1 12	LLWAS system failure	AL- <u>WSTRW-</u> <u>ADRM</u> - LLWASNA		- OR LLWAS system not active		
	and explored	Failure of ATC to advise pilot	AL23B1 13	ATCOER – alerting the flight crew about the windshear	AL- <u>WSTRW-</u> <u>ATM</u> - ATCOER- FCADV		<ul> <li>OR aircraft computer did not detect the message from ATCO warning about windshear AND receive automatic message from ATM system equipped with LLWAS</li> </ul>		
	-	PWS not         AL23B1         Lack of PWS installed         AL- <u>WSTRW-</u> below           installed         21         on aircraft         LPWS         2000ft AGL	below 2000ft AGL	- OR PWS not available					
	2. Flight crew Windshe executes ar WEM manage ment Flight crew			PWS not activated	AL23B1 22	Failure of the PWS during approach and landing phase	AL- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> -PWS	and not increasing, 3. Application of approach	<ul> <li>OR PWS not activated AND aircraft computer received warning from ATM system AND/ OR detected the alert warning communicated by the ATCO</li> </ul>
		Crew fail to recognize windshear	AL23B1 3	FCE – failure to windshear symptoms detection in case of lack of automatic systems.	AL- <u>WSTRW</u> - FCE- WSHRREC	mode thrust	<ul> <li>OR weather conditions as well as programmed airport specifications indicate on risk of windshear</li> </ul>		
Windshe ar manage		Failure to avoid windshear	AL23B2 1	Windshear unavoidable during approach and landing phase	AL- <u>WSTRW</u> - FCE-WSHRAF		<ul> <li>Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with</li> </ul>		

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		Aircraft too low to execute a WEM	AL23B2 21	Windshear unavoidable due to too low altitude during approach and landing phase	AL- <u>WSTRW</u> - WSHRAF		<ul> <li>PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND windshear unavoidable(e.g. due to too short time distance between windshear detection and experiencing)</li> <li>OR Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND windshear classified as unavoidable(due to too low altitude)</li> </ul>
		Pilot fails to execute a WEM	AL23B2 22	FCE – WEM execution during approach and landing phase	AL- <u>WSTRW</u> - FCE- WSHRDAF		<ul> <li>OR Aircraft computer detected ATCO alert about windshear appearing AND/OR received message from ATM system about detected windshear by the LLWAS AND/OR detected with PWS windshear followed by aircraft flight parameters indicating on windshear encounter by the aircraft AND Actions taken by the flight crew do not cover WEM procedure assumed as optimal for given conditions.</li> </ul>
3.Structu ral integrity	Aircraft structure is free of structural	Structure too weak	AL23B3 1	<u>Landing gear</u> <u>structural failure</u> caused by ARC due to windshear	AL- <u>ARC-SCF-</u> <u>NP-LG-</u> <u>STRUCTFL</u>	Phase 2 following phase 1 and at least 2	<ul> <li>Abnormal runway contact detected AND tensions distribution over the aircraft structure indicates on risk of landing gear failure AND change in</li> </ul>

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	weaknesses or touchdown was made without		-	<u>Tire failure</u> caused by ARC due to windshear	AL- <u>ARC-SCF-</u> <u>NP-LG-TIREFL</u>	from: 1. Speed below approach speed 2.	vibration characteristics in landing gear detected - OR Abnormal runway contact detected AND tensions distributior over the aircraft structure indicates
	exceeding the design loads		_			Altitude Oft AGL, 3. Landing	on risk of landing tire failure AND change in pressure level in tire detected
				<u>Wheel failure caused</u> by ARC due to windshear	AL- <u>ARC-SCF-</u> <u>NP-LG-WHLFL</u>	gear compressio n	<ul> <li>OR Abnormal runway contact detected AND tensions distribution over the aircraft structure indicates on risk of wheel failure AND change in vibration characteristics in whee detected</li> </ul>
				<u>Cracks in aircraft</u> <u>structure</u> caused by ARC due to windshear	AL- <u>ARC-SCF-</u> <u>NP-STRUCT-</u> <u>CRK</u>		<ul> <li>OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicate on risk of aircraft structure failure</li> </ul>
		Design load exceeded	AL23B3 2	Design load exceedance caused by ARC after windshear encountered	AL- <u>ARC</u> - DSGNLEXC		<ul> <li>OR Abnormal runway contact detected AND tension distribution exceeded the aircraft design loads</li> </ul>
4.Maintai n control	Flight crew maintains control over the aircraft	Uncontrollab le	AL23B4 1	Aircraft in unrecoverable configuration in result of abnormal runway contact	AL- <u>LOC-G</u> - WSHR		<ul> <li>Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
	after structural failure being a result of	Lack of control	AL23B4 2	FCE – lack of recovery action	AL- <u>LOC-G</u> - FCE-LCTRL		<ul> <li>OR Abnormal runway contact detected AND aircraft configuration attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>

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	windshear during landing	Incorrect Control	AL23B4 3	FCE – incorrect recovery action	AL- <u>LOC-G</u> - FCE-INCTRL	<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>
		Insufficient control	AL23B4 4	FCE – insufficient recovery action	AL- <u>LOC-G</u> - FCE-INSCTRL	<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>
5.Maxim um braking	Braking procedures is executed	Insufficient runway length	AL23B5 1	<u>Automatic braking</u> loss during landing roll	AL- <u>ADRM</u> - INSRWYL	<ul> <li>Runway excursion AND braking devices work correctly AND braking performed correctly.</li> </ul>
J	appropriate ly by the flight crew, the braking system is free from failures, runway length	Brakes not functioning correctly	AL23B5 2	Automatic braking operation error during landing roll Brake system failure during landing roll Reverser / beta malfunction - failure to deploy during landing roll	AL- <u>SCF-NP-</u> <u>AFC-ABRKLS</u> AL- <u>SCF-NP-</u> <u>AFC-ABRKERR</u> AL- <u>SCF-NP-</u> <u>LG-BRKFL</u>	<ul> <li>OR Deceleration parameters lower than expected (calculated for current conditions) AND/OR braking asymmetry AND all braking devices applied on time and correctly</li> </ul>
	as well as the surface conditions enable safe	Brakes not	AL23B5	FCE – braking application error <u>Automatic braking</u>	AL- <u>SCF-PP-</u> <u>RFD</u> AL-FCE-	<ul> <li>OR thrust reverser not deployed</li> <li>OR execution of braking procedure</li> </ul>
	landing roll	applied correctly	3	<u>loss</u> during landing roll	BRAKAPP	conducted by the flight crew differs significantly from the assumed as reference model.

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### Table 21 ASCOS ESD 25 - Aircraft handling by crew during flare inappropriate

Barrier	Descripti on	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Windshe ar	All means allowing	Loss of lift during flare	AL25B1 1	Loss of lift during flare	AL- <u>WSTRW</u> - LLDFLARE	Phase 1 following phase 0 (en route) and at least 2	Loss of lift during flare execution detected
detection	for windshea r	PF flares too AL25B1 Flare executed AL-FCE- from: 1. Speed late 2 too late INCFLAREX below 240 kts a EC not increasing 2	from: 1. Speed below 240 kts and not increasing 2.	OR inconsistancies between nilet input to			
	detection are available	PF flares too soon	AL25B1 3	Flare executed too soon	AL-FCE- INCFLAREX EC	Altitude below 2000ft AGL and not increasing, 3.	OR inconsistencies between pilot input to the aircraft controls and model flare execution procedure coded in aircraft computer (for given conditions)
	and explored	PF handling incorrect	AL25B1 4	Incorrect aircraft     AL-FCE-     Application of       handling during     INCFLAREX     approach mode       flare     EC     thrust	approach mode	computer (for given conditions)	
2.Structu ral integrity	Aircraft structure is free of structura I	Structure too weak	AL25B2 1	<u>Landing gear</u> <u>structural failure</u> caused by ARC due to windshear	AL- <u>ARC-</u> <u>SCF-NP-LG-</u> <u>STRUCTFL</u>	Phase 2 following phase 1 and at least 2 from: 1. Speed below approach speed 2.	Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of landing gear failure AND change in vibration characteristics in landing gear detected
	weaknes ses or touchdo wn was made			<u>Tire failure</u> caused by ARC due to windshear	AL- <u>ARC-</u> <u>SCF-NP-LG-</u> <u>TIREFL</u>	Altitude Oft AGL, 3. Landing gear compression	OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of landing tire failure AND change in pressure level in tire detected
	without exceedin g the design			Wheel failure <u>caused</u> by ARC due to windshear	AL- <u>ARC-</u> <u>SCF-NP-LG-</u> <u>WHLFL</u>		OR Abnormal runway contact detected AND tension distribution over the aircraft structure indicates on risk of wheel failure AND change in vibration characteristics in wheel detected
	loads			Cracks in aircraft	AL- <u>ARC-</u>		OR Abnormal runway contact detected

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				<u>structure</u> caused by ARC due to windshear	<u>SCF-NP-</u> <u>STRUCT-</u> <u>CRK</u>		AND tension distribution over the aircraft structure indicates on risk of aircraft structure failure
		Design load exceeded	AL25B2 2	Design load exceedance caused by ARC after windshear encountered	AL- <u>ARC</u> - DSGNLEXC		OR Abnormal runway contact detected AND tension distribution exceeded the aircraft design loads
3.Maintai n control	Flight crew maintain s control over the aircraft	Uncontrollab le	AL25B3 1	Aircraft in unrecoverable configuration in result of abnormal runway contact	AL- <u>LOC-G</u> - WSHR		Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as unrecoverable
	after structura I failure being a	Lack of control	AL25B3 2	FCE – lack of recovery action	AL- <u>LOC-G</u> - FCE-LCTRL	_	OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control
	result of windshea r during landing	Incorrect Control	AL25B3 3	FCE – incorrect recovery action	AL- <u>LOC-G</u> - FCE-INCTRL	-	OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation
		Insufficient control	AL25B3 4	FCE – insufficient recovery action	AL- <u>LOC-G</u> - FCE- INSCTRL		OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)

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# Table 22 ASCOS ESD 26 - Aircraft handling by crew during landing roll inappropriate

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designatio n ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Landing roll	Aircraft is handled by the flight	Directional handling failure	AL26B 11	FCE - Directional handling failure	AL-FCE- AHAN	Phase 1 following phase 0	<ul> <li>Landing roll parameters indicates on errors in flight crew inputs to the aircraft controls AND lack of adverse weather conditions</li> </ul>
handling	crew during landing roll correctly	Braking application failure	AL26B 12	FCE - Braking application failure	AL-FCE- BRAKAPP	(approach) and at least 2 from: 1.	<ul> <li>OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as model.</li> </ul>
		Thrust reverser application failure	AL26B 13	Reverser / beta malfunction - failure to deploy during landing roll	AL- <u>SCF-</u> <u>PP-RFD</u>	Speed below approach speed 2. Altitude Oft	<ul> <li>OR Deceleration parameters low than expected (calculated for current conditions) AND / OR thrust asymmetry</li> </ul>
		Adverse weather conditions	AL26B 14	Adverse weather conditions	AL- <u>WSTRW</u> - FCE-AHAN	AGL, 3. Landing gear compression	<ul> <li>OR Landing roll parameters indicates on errors in flight crew inputs to the aircraft controls AND adverse weather conditions detected</li> </ul>
2.Maintai n control	Flight crew maintains control over the aircraft	Uncontrollab le	AL26B 21	Aircraft in unrecoverable configuration in result of abnormal runway contact	AL- <u>LOC-G</u> - WSHR		<ul> <li>Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
	after structural failure being a	Lack of control	AL26B 22	FCE – lack of recovery action	AL- <u>LOC-G</u> - FCE-LCTRL		<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND lack of pilot input to aircraft control</li> </ul>
	result of windshear during landing	Incorrect Control	AL26B 23	FCE – incorrect recovery action	AL- <u>LOC-G</u> - FCE- INCTRL		<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as incorrect in given situation</li> </ul>

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		Insufficient control	AL26B 24	FCE – insufficient recovery action	AL- <u>LOC-G</u> - FCE- INSCTRL		<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pilot input to aircraft control classified as insufficient in given situation (too low extend, too short input time etc.)</li> </ul>
3.Maxim um braking	Braking procedures is executed	Insufficient runway length	AL26B 31	<u>Automatic braking</u> <u>loss</u> during landing roll	AL- <u>ADRM</u> - INSRWYL		<ul> <li>Runway excursion AND braking devices work correctly AND braking performed correctly.</li> </ul>
	appropriate ly by the flight crew, the braking system is free from failures, runway length as well as	Brakes not functioning correctly	AL26B 32	Automatic braking operation error during landing roll Brake system failure during landing roll Reverser / beta malfunction - failure to deploy during landing roll	AL- <u>SCF-</u> <u>ABRKLS</u> AL- <u>SCF-</u> <u>NP-AFC-</u> <u>ABRKERR</u> AL- <u>SCF-</u> <u>NP-LG-</u> <u>BRKFL</u>		<ul> <li>OR Deceleration parameters lower than expected (calculated for current conditions AND/OR braking asymmetry AND all braking devices applied on time and correctly</li> </ul>
	the surface conditions			FCE – braking application error	AL- <u>SCF-</u> <u>PP-RFD</u>		- OR thrust reverser not deployed
	enable safe landing roll	Brakes not applied correctly	AL26B 33	<u>Automatic braking</u> <u>loss</u> during landing roll	AL-FCE- BRAKAPP		<ul> <li>OR execution of braking procedure conducted by the flight crew differs significantly from the assumed as reference model.</li> </ul>

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## Table 23 ASCOS ESD 27 - Aircraft directional control related systems failure

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designati on ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Directio nal Control Systems Integrity (landing gear)	Landing gear is free of failures resulting from inadequate design or maintenanc e. The runway is also free of	Landing gear extension failure Landing gear structure too weak	AL27B1 11 AL27B1 12	<u>Landing gear</u> <u>extend failure</u> during landing <u>Landing gear</u> <u>structural</u> failure during landing	AL- <u>SCF-</u> <u>NP-LG-</u> <u>EXTFL</u> AL- <u>SCF-</u> <u>NP-LG-</u> <u>STRUCTF</u> <u>L</u>	Phase 1 following phase 0 (en route) and at least 2 from: 1. Speed below 240 kts and not	<ul> <li>Landing gear not extended</li> <li>OR Loads recorded indicate on extreme risk of landing gear structural failure OR deceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct</li> </ul>
	contaminati on able to affect the aircraft directional controllabili ty	Foreign object damage to landing gear	AL27B1 13	Landing gear failure caused by bird impact during landing Landing gear failure caused by foreign object impact during landing	AL- <u>BIRD-</u> <u>SCF-NP-</u> <u>LG-</u> <u>STRUCTF</u> AL- <u>ADRM-</u> <u>SCF-NP-</u> <u>LG-</u> STRUCTF	increasing 2. Altitude below 2000ft AGL and not increasing, 3. Applicatio	<ul> <li>OR Work parameters of flight control components sharply worsening suggesting failure resulted from impact of foreign object (bird or RWY loose object) AND/OR analysis of received noise spectrum around the aircraft indicates on high energy contact with foreign object.</li> </ul>
		Landing gear failure due to inadequate maintenance	AL27B1 14	Landing gear structural failure during landing due to incorrect maintenance	AL- <u>SCF-</u> <u>NP-LG-</u> <u>STRUCTF</u> <u>L</u> -MF	n of approach mode thrust	<ul> <li>OR Deceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND adequate precursors during landing gear maintenance detected</li> </ul>

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		Landing gear failure due to inadequate design	AL27B1 15	Landing gear structural failure during landing due to design error	AL- <u>SCF-</u> <u>NP-LG-</u> <u>STRUCTF</u> <u>L</u> -DF		<ul> <li>OR Deceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND adequate precursors during landing gear design process detected</li> </ul>
2. Directio nal Control Systems Integrity (wheel)	Wheel is free of failures resulting from inadequate design or maintenanc	free of structure too failures weak resulting from inadequate design or	AL27B1 21	<u>Wheel failure</u> during landing <u>Tire failure</u> during landing	AL- <u>SCF-</u> <u>NP-LG-</u> <u>WHLFL</u> AL- <u>SCF-</u> <u>NP-LG-</u> <u>TIREFL</u>	-	<ul> <li>Loads recorded indicate on extreme risk of landing gear structural failure OR Deceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct</li> </ul>
	e. The runway is also free of contaminati on able to affect the aircraft directional	Foreign object damage to wheels	AL27B1 22	<u>Wheel failure</u> caused by bird impact during landing <u>Wheel failure</u> caused by foreign object impact during landing	AL- <u>BIRD-</u> <u>SCF-NP-</u> <u>LG-WHLF</u> AL- <u>ADRM-</u> <u>SCF-NP-</u> LG-WHLF	-	<ul> <li>OR Work parameters of flight control components sharply worsening suggesting failure resulted from impact of foreign object (bird or RWY loose object) AND/OR analysis of received noise spectrum around the aircraft indicates on high energy contact with foreign object.</li> </ul>
	controllabili ty	Wheel failure due to inadequate maintenance	AL27B1 23	<u>Wheel failure</u> due to incorrect maintenance <u>Tire failure</u> due to incorrect maintenance	AL- <u>SCF-</u> <u>NP-LG-</u> <u>WHLFL-</u> MF AL- <u>SCF-</u> <u>NP-LG-</u> <u>TIREFL-</u> MF	-	<ul> <li>OR Deceleration and attitude related parameters of aircraft different than expected (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engine parameters correct AND adequate precursors during wheel maintenance detected</li> </ul>
		Wheel	AL27B1	<u>Brake system</u>	AL- <u>SCF-</u>		- OR transverse acceleration value in both

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13500.	1.0	system failure	24	failure during landing roll	<u>NP-LG-</u> <u>BRKFL</u> -		directions, higher than expected/ (calculated /estimated for current conditions) AND engir
		Wheel failure due to inadequate design	AL27B1 25	Wheel failure during landing roll due to incorrect design <u>Tire failure</u> during landing roll due to incorrect design	DIR AL- <u>SCF-</u> <u>NP-LG-</u> DF AL- <u>SCF-</u> <u>NP-LG-</u> <u>TIREFL-</u> DF		<ul> <li>(reverse) parameters correct</li> <li>OR Deceleration and attitude related parameters of aircraft different than expecter (calculated for current conditions) AND transverse acceleration value in both directions, higher than expected (calculated /estimated for current conditions) AND engin parameters correct AND adequate precursor during tire design/selection detected</li> </ul>
3.Maint ain control	Flight crew maintains control over the aircraft	Uncontrollab le	AL27B2 1	Aircraft in unrecoverable configuration in result of abnormal runway contact	AL- <u>LOC-</u> <u>G</u> -WSHR	Phase 2 following phase 1 and at least 2	<ul> <li>Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as unrecoverable</li> </ul>
	after structural failure being a	Lack of control	AL27B2 2	FCE – lack of recovery action	AL- <u>LOC-</u> <u>G</u> -FCE- LCTRL	from: 1. Speed below approach	<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND lac of pilot input to aircraft control</li> </ul>
	result of windshear during landing	Incorrect Control	AL27B2 3	FCE – incorrect recovery action	AL- <u>LOC-</u> <u>G</u> -FCE- INCTRL	speed 2. Altitude Oft AGL, 3. Landing gear	<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pil- input to aircraft control classified as incorrec- in given situation</li> </ul>
		Insufficient control	AL27B2 4	FCE – insufficient recovery action	AL- <u>LOC-</u> <u>G</u> -FCE- INSCTRL	compressi on	<ul> <li>OR Abnormal runway contact detected AND aircraft configuration, attitude and flight parameters classified as recoverable AND pile input to aircraft control classified as insufficient in given situation (too low extend too short input time etc.)</li> </ul>

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# Table 24 ASCOS ESD 31 - Aircraft are positioned on collision course

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Strategic planning	Strategic planning is	Strategic conflict	ER31F53	Strategic conflict appeared	ER- <u>MAC</u> - STRCONF	Pre-flight phase –	<ul> <li>Strategic conflict detected (computer supported planning required)</li> </ul>
	free from errors		ER31B10	Ineffective ATFCM resulting with pre- tactical conflict	ER- <u>MAC</u> - ATFCMF- PRTCTCNF	strategic planning	<ul> <li>OR Strategic conflict detected AND no warning by the system received (computer supported planning required)</li> </ul>
				ATCOER - Ineffective ATFCM resulting with pre-tactical	ER- <u>MAC</u> - ATCOER- ATFCMF- PRTCTCNF		<ul> <li>OR Strategic conflict detected AND no input to the plan by controller detected (computer supported planning required)</li> </ul>
2. Pre- tactical planning	Pre- tactical planning is free of	Pre- tactical planning is free of         No ATC         ER31B91         ATCOER- No ATC planning resulting with pre-tactical conflict         ER-MAC- ATCOER- planning         Pre-fligh phase – LPLAN- pre-tact planning	Pre-flight phase – pre-tactical planning	<ul> <li>Pre-tactical conflict detected no input to the plan by controller detected (computer supported planning required)</li> </ul>			
	errors	Inadequate strategic surveillance picture	ER31B92 11	Inadequate strategic surveillance picture resulting with pre- tactical conflict	ER- <u>MAC</u> - STRSURVPICT- PRTCTCNF		<ul> <li>OR strategic surveillance picture detected as being inadequate (computer supported</li> </ul>
		Inadequate flight plan data	ER31B92 12	Inadequate flight plan data resulting with pre-tactical conflict	ER- <u>MAC</u> - INPLAND- PRTCTCNF		planning required in all stages) AND / OR high probability for change identified
		Planning controller failure to recognize conflict	ER31B92 2	ATCOER - Failure to recognize conflict resulting with pre- tactical conflict	ER- <u>MAC</u> - CONFLRECF- PRTCTCNF		<ul> <li>OR Pre-tactical conflict detected and no input to the plan by controller detected (no adequate input to the plan was detected, computer supported planning required)</li> </ul>
		Planning controller	ER31B92 3	ATCOER - Misjudgment of	ER- <u>MAC</u> -MISJ- CONFLPREV-		<ul> <li>OR Pre-tactical conflict detected AND no adequate input to the plan was detected</li> </ul>

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		misjudgment of conflict prevention		conflict prevention resulting with pre- tactical conflict	PRTCTCNF		(computer supported planning required)
	-	Inadequate planning controller coordination	ER31B93	ATCOER - Inadequate coordination with other sector(s) resulting with pre- tactical conflict	ER- <u>MAC</u> - INCORD- PRTCTCNF		<ul> <li>OR inadequate synchronization with other sector(s) detected AND no input to the plan by controller detected</li> </ul>
	-	Planning controller failure to alert tactical controller to conflict	ER31B94	ATCOER - Failure to alert tactical controller about the conflict	ER- <u>MAC</u> - LALERT- PRTCTCONFL		<ul> <li>OR Pre-tactical conflict detected AND lack of alert message to tactical controller about the conflict detected (computer process supervision required)</li> </ul>
3. Flight plan	Flight plan is free of errors	Inadequate tactical surveillance picture	ER31B51 11	Inadequate tactical surveillance picture resulting with tactical conflict	ER- <u>MAC</u> - INTSPICT- TCTCNF	Pre-flight phase – tactical - planning / Phase 1. Following phase 0 (take-off) and at least - 2 from: 1. Speed	<ul> <li>Tactical surveillance picture detected as being inadequate (due to not fixed</li> </ul>
	-	Inadequate flight plan data	ER31B51 12	Inadequate flight plan data resulting with tactical conflict	ER- <u>MAC</u> - INFPD-TCTCNF		strategic and/or pre-tactical conflict).
		ATCO failure to recognize conflict	ER31B51 2	ATCOER - failure to recognize conflict	ER- <u>MAC</u> - ATCOER- CONFLRECF- TCTCNF		<ul> <li>OR tactical conflict detected AND no input to the plan by controller detected</li> </ul>
			ER31B51 3	ATCOER - misjudgment in tactical separation	ER- <u>MAC</u> - ATCOER-MISJ- CNFLPREV- TCTCNF	above V2, 2. Altitude above 1000ft AGL,	<ul> <li>OR tactical conflict detected AND no adequate input to the plan by controller detected</li> </ul>
		Inadequate ATCO co- ordination	ER31B51 4	ATCOER - Inadequate coordination	ER- <u>MAC</u> - ATCOER- INCONTRCORD	3. Thrust cruise mode.	<ul> <li>OR inadequate synchronization with other sector(s) detected by appearing of conflict)</li> </ul>

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	-	Inadequate ATCO transmission of	ER31B52 1	resulting with tactical conflict ATCOER - Inadequate transmission of	ER- <u>MAC</u> - ATCOER- ININSTRTRNS	<ul> <li>OR Negative cabin voice record analysis for key words AND / OR transmitted clearance</li> </ul>
	-	instructions Loss of communicatio n	ER31B52 2	instructions Communication with ATC loss during en route	M-TCTCNF ER- <u>MAC-SCF-</u> <u>NP-AVION-</u> <u>COMMLS</u>	<ul> <li>results with new conflict</li> <li>OR Incomplete pilot-controller communication loop (e.g. lack of controller hearback)</li> </ul>
	-	Inadequate pilot readback Inadequate pilot response to ATC	ER31B52 3 ER31B53	FCE – inadequate pilot readback FCE - Inadequate pilot response to ATC	ER- <u>MAC</u> -FCE- INRDBCK ER- <u>MAC</u> -FCE- MACCOMMER	<ul> <li>OR Negative cabin voice record analysis for key words</li> <li>OR inconsistencies between correct ATCO instructions and aircraft route detected</li> </ul>
4.Flight plan stability and validity	Flight crew handles aircraft	Conflict due to military traffic Conflict due to VFR traffic	ER31F611 1 ER31F611 2	Conflict due to military traffic Conflict due to VFR traffic	ER- <u>MAC</u> - MILINFR ER- <u>MAC</u> - AVRINFR	<ul> <li>Military traffic detected AND conflict with regular ATC traffic</li> <li>OR VFR traffic detected AND conflict with regular ATC traffic</li> </ul>
	according to understan dable ATC clearances	Inadequate ATCO transmission of instructions	ER31F612 11	ATCOER - Inadequate transmission of instructions leading to vertical deviation	ER- <u>MAC</u> - ATCOER- ININSTRTRNS	<ul> <li>OR Military OR VFR traffic detected AND Negative cabin voice record analysis for key words AND / OR transmitted clearance</li> </ul>
	, all flight control instrumen	Inadequate pilot readback	ER31F612 12	FCE - Inadequate readback leading to vertical deviation	ER- <u>MAC</u> -FCE- INRDBCK	results with vertical deviation
	ts able to affect aircraft	Pilot handling error	ER31F612 2	FCE – pilot handling error resulting with vertical deviation	ER- <u>MAC</u> -FCE- AHAN	- OR Inconsistencies between the ATCO instructions and aircraft route detected
	flight trajectory are free of	Altimeter setting error	ER31F612 3	<u>Altitude information</u> <u>error</u> during en route	ER- <u>MAC-SCF-</u> <u>NP-AVION-</u> <u>ALTERR</u>	<ul> <li>OR Inconsistency between different sources of aircraft altitude indicating on error of one of them</li> </ul>
	failures,	Technical	ER31F612	<b>Navigational</b>	ER-MAC-SCF-	- OR Warning error about failure of

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	CAT is free of uncleared traffic of VFR or military movemen t and	failure in autopilot or nav equipment	4	information loss during en route Navigational information error during en route Autopilot control or guidance error during en route	NP-AVION- NAVLS ER-MAC-SCF- NP-AVION- NAVERR ER-MAC-SCF- NP-AFC-APERR	navigation or autopilot related system AND/OR inconsistency between indication of different systems suggesting failure of one of them
	there are no any ACAS warning,	ACAS RA Weather induced level	ER31F612 5 ER31F612 6	ACAS RA activation during en route Level bust during en route caused be	ER- <u>MAC</u> - ACASRA ER- <u>WSTRW</u> - LVLBST	<ul> <li>OR ACAS RA followed by adequate flight crew action</li> <li>OR adverse weather detected AND level</li> </ul>
	the weather does not affect the aircraft trajectory	bust Level bust results in conflict	ER31C6	adverse weather Level bust during en route resulting with conflict	ER-LVLBST- CNFL	<ul> <li>OR level bust executed AND new conflict detected</li> </ul>
5.Unplannabl e conflict resolution	ATCO reacts correctly on unplanned	Inadequate tactical surveillance picture	ER31B61 1	Inadequate tactical surveillance picture resulting with unplanned conflict during en route	ER- <u>MAC</u> - INTCTSRVPICT- UNPLCNF	<ul> <li>Tactical surveillance picture detected as being inadequate (due to new items detected).</li> </ul>
conflict	ATCO failure to recognize conflict in time	ER31B61 2	ATCOER - failure to recognize conflict in time	ER- <u>MAC</u> - ATCOER- CONFLRECF- UNPLCNF	<ul> <li>OR unplanned conflict detected AND no adequate input to the plan by controller detected</li> </ul>	
	-	Inadequate ATCO transmission of instructions	ER31B62 1	ATCOER - Inadequate transmission of instructions	ER-MAC- ATCOER- UNPLCNF- ININSTRTRNS M	<ul> <li>OR unplanned conflict detected AND negative cabin voice record analysis for key words AND / OR transmitted clearance does not result with conflict cancellation</li> </ul>
		Loss of	ER31B62	Communication	ER-MAC-SCF-	- OR unplanned conflict detected AND

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	-	communicatio n Inadequate pilot readback	2 ER31B62 3	with ATC loss during en route FCE - Inadequate readback	<u>NP-AVION-</u> <u>COMMLS</u> ER- <u>MAC</u> -FCE- INRDBCK		<ul> <li>Incomplete pilot-controller communicatio</li> <li>loop (e.g. lack of controller hearback)</li> <li>OR unplanned conflict detected AND</li> <li>negative cabin voice record analysis for key</li> <li>words AND / OR transmitted clearance</li> <li>does not result with conflict cancellation</li> </ul>
		Inadequate pilot response to ATC	ER31B63	FCE - Inadequate response to ATC instructions	ER- <u>MAC</u> -FCE- INCCOMMER		<ul> <li>OR unplanned conflict detected AND inconsistencies between the ATCO correct instructions and aircraft route detected</li> </ul>
6.ATCO efficacy	ATCO activity is free of errors	Trajectory instructions result in conflict	ER31F71	ATCOER – instruction error during en route	ER- <u>MAC</u> - ATCOER- INCINST		- ATC instructions detected as resulting wit conflict
	resulting in new conflicts or these conflicts are immediate ly solved	Ineffective tactical separation of ATCO induced conflict	ER31B7	ATCOER - Ineffective tactical separation during en route	ER- <u>MAC</u> - ATCOER- INCINST		<ul> <li>OR ATC instructions detected as resulting with conflict AND no reparation action conducted by the controller detected</li> </ul>
7.Uncontroll ed airspace ATCO	ATCO efficiently solves the	Conflict in uncontrolled airspace	ER31F81	Conflict in uncontrolled airspace	ER- <u>MAC</u> - UNCTRL-CNFL	Related to uncontrolle d airspace	- Conflict in uncontrolled airspace detected
resolution conflict in uncontroll ed airspace	Inadequate traffic information from ATCO	ER31B81	ATCOER - Inadequate traffic information from ATCO	ER- <u>MAC</u> - UNCTRL- ATCOER- INCINST	traffic	<ul> <li>OR conflict in uncontrolled airspace detected AND Negative cabin voice record analysis for key words for aircraft in uncontrolled airspace</li> </ul>	
		Inadequate ATCO transmission of information	ER31B82 1	ATCOER - Inadequate transmission of information	ER- <u>MAC</u> - UNCTRL- ATCOER- INCINST		<ul> <li>OR conflict in uncontrolled airspace detected AND Incomplete pilot-controller communication loop (e.g. lack of controlle hearback) AND / OR transmitted clearance does not result with conflict cancellation</li> </ul>

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		Loss of communicatio n	ER31B82 2	Communication with ATC loss by aircraft in uncontrolled airspace	ER- <u>MAC</u> - UNCTRL- <u>SCF-</u> <u>NP-AVION-</u> <u>COMMLS</u>		<ul> <li>OR conflict in uncontrolled airspace detected AND negative cabin voice record analysis for key words (for not complete communication loop)</li> </ul>
	-	Inadequate pilot readback	ER31B82 3	FCE - Inadequate pilot readback	ER- <u>MAC</u> -FCE- INRDBCK		<ul> <li>OR conflict in uncontrolled airspace detected AND negative cabin voice record analysis for key words AND / OR transmitted clearance does not result wit conflict cancellation</li> </ul>
	-	Inadequate separation by pilot	ER31B83	FCE - Inadequate separation by pilot	ER- <u>MAC</u> -FCE- INSEP		<ul> <li>OR conflict in uncontrolled airspace detected AND inconsistencies between the ATCO instructions and aircraft route detected</li> </ul>
8.Separation recovery	separation recovery by ATCO or flight	y Separation	ER31C4	ATCOER – lack of Separation recovery when essential FCE - lack of	ER- <u>MAC</u> - ATCOER- LSEPREC	Phase 1. Following phase 0 (take-off) and at least 2 from: 1.	<ul> <li>Conflict detected AND lack of ATCO reaction classified as aiming at immediate conflict resolution</li> <li>OR Conflict detected AND lack of flight</li> </ul>
	crew			Separation recovery when essential	ER- <u>MAC</u> -FCE- LSEPREC		crew reaction classified as aiming at immediate conflict resolution
9.STCA warning	STCA system	No STCA coverage	ER31B31	No STCA coverage	ER- <u>MAC</u> -LSTCA	Speed above V2,	- No STCA or similar system detected
alerts about the conflict,	STCA fails to give warning in time	ER31B32	<u>Warning loss</u> – STCA system	ER- <u>MAC</u> -STCAF	2. Altitude above 1000ft AGL,	<ul> <li>OR conflict detected (with other than STC system means, e.g. with on-board system AND no warning from STCA received</li> </ul>	
	the ATC reacts correctly	ATCO fails to respond to STCA warning	ER31B33	ATCOER – lack of response to STCA system	ER- <u>MAC</u> - ATCOER- LSTCARESP	3. Thrust cruise mode.	<ul> <li>OR STCA warning detected AND lack of ATCO reaction classified as aiming at detected conflict resolution</li> </ul>
	on the alert	ATCO fails to recover separation in time	ER31B34	ATCOER – ineffective response to STCA system	ER- <u>MAC</u> - ATCOER- INSSTCARESP		<ul> <li>OR STCA warning detected AND ATCO reaction to detected conflict classified as being ineffective (does not resolve the conflict)</li> </ul>
10.Other	Effective	No	ER31B41	No independent	ER- <u>MAC</u> -		- Lack of other ATCO monitoring detected

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ATCO other warning ATCO warning	independent ATCO monitoring		ATCO monitoring	LINDPNDMON	(no other ATCO activity detected)	
		Other ATCOs fail to detect conflict	ER31B42	ATCOER – other ATCO fail to detect the conflict	ER- <u>MAC</u> - ATCOER- OTHRATCOF	<ul> <li>OR conflict detected AND lack of other ATCO reaction aiming at conflict resolution detected</li> </ul>
		ATCOs fail to communicate warning	ER31B43	ATCOER – other ATCO fail to communicate the conflict	ER- <u>MAC</u> - ATCOER- OTHRARCCOM F	<ul> <li>OR conflict detected AND lack of other ATCO adequate reaction aiming at conflict resolution detected (no warning communicated to the ATCO responsible)</li> </ul>
		ATCO fails to recover separation in time	ER31B44	ATCOER – lack of recover separation in time	ER- <u>MAC</u> - ATCOER- INSSTCARESP	<ul> <li>OR conflict detected AND other ATCO warning about conflict received AND inconsistency between ATCO reaction and procedure assumed as optimal for given situation</li> </ul>
11.ACAS warning	ACAS system	ACAS not installed	ER31B21	ACAS not installed	ER- <u>MAC</u> -LACAS	- Lack of ACAS or similar system on board
-	warns the flight crew	ACAS fails to give RA in time	ER31B22	Warning loss - ACAS	ER- <u>MAC-SCF-</u> <u>NP-AVION-</u> <u>WRNLS</u> -ACAS	<ul> <li>OR Conflict detected AND ACAS not active AND / OR no warning about the conflict delivered</li> </ul>
	-	Pilot fails to respond to RA in time	ER31B23	FCE – lack of respond to RA in time	ER- <u>MAC</u> -FCE- INCRARESP	<ul> <li>OR conflict detected AND ACAS warning received AND inconsistencies between pilot input to the aircraft controls and ACAS RA</li> </ul>
		ACAS avoidance invalidated by other aircraft	ER31B24	ACAS avoidance invalidated by other aircraft	ER- <u>MAC</u> - ACASINVLD	<ul> <li>OR conflict detected AND ACAS warning received AND RA invalidation resulted from other aircraft action</li> </ul>
12.Visual avoidance	Flight crew of one of affected	Other aircraft effectively invisible	ER31B11 1	Other aircraft effectively invisible	ER- <u>MAC</u> -LVISIB	<ul> <li>Conflict detected AND analysis of both conflicting aircraft' position as well as visibility conditions indicate that aircraft are effectively invisible for each other</li> </ul>
	aircraft	Flight crew fail	ER31B11	FCE – failure to	ER-MAC-FCE-	- OR Conflict detected AND analysis of both

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	avoids the separation infringeme nt by	to observe visible aircraft in time Pilot fails to	2 ER31B11	observe visible aircraft FCE – failure to take	LOBS ER-MAC-FCE-	conflicting aircraft' position as well as visibility conditions indicate that aircraft are visible for each other AND lack of flight crew reaction on visible conflict detected
	visual warning	take avoidance action in time	3	avoidance action in time	LAVACT	
		Visual avoidance invalidated by other aircraft	ER31B11 4	Visual avoidance invalidated by other aircraft	ER- <u>MAC</u> - AVAVOIDINV	<ul> <li>OR Conflict detected AND analysis of both conflicting aircraft' position as well as visibility conditions indicate that aircraft are visible for each other AND visual avoidance invalidated by other aircraft</li> </ul>
		Ineffective visual warning on other aircraft	ER31B12	FCE - Ineffective visual warning on other aircraft	ER- <u>MAC</u> -FCE- INVISAVOID	<ul> <li>OR Conflict detected AND analysis of both conflicting aircraft' position as well as visibility conditions indicate that aircraft are visible for each other AND incorrect see and avoid technique execution on one of the conflicting aircraft detected.</li> </ul>
13.Separatio n recovery	Separation recovery by Flight Crew	Collision avoidance essential	ER31C3	FCE - lack of Separation recovery when essential	ER- <u>MAC</u> -FCE- AVOIDESS	<ul> <li>Conflict detected AND lack of flight crew reaction classified as aiming at immediate conflict resolution</li> </ul>

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### Table 25 ASCOS ESD 32 - Incorrect presence of aircraft/vehicle on runway in use

Barrier	Descripti on	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Runway configuration		Runway crossing movement	TO32B51	Runway crossing	TO- <u>ADRM</u> - DF-RWYX	Phase 1: Landing gear	Runway crossing detected (automatic vehicle/aircraft positioning system required)
	not conflict prone	Runway entry at intermediate location	TO32B52	Runway entry at intermediate location	TO- <u>ADRM</u> - DF- INTRMRWY ENTR	compressi on longer than 10min	OR entering the runway at intermediate location detected (automatic vehicle/aircraft positioning system required)
	-	Alternating take-off and landing	TO32B53	Alternating take-off and landing	TO- <u>ADRM</u> - DF- TOANDLAR WY	and at least 1 from: 1. Altitude	OR Alternating take-off and landing operations detected at the airport
	-	Incorrect runway entry point	TO32B54	FCE - Incorrect runway entry point	TO-FCE- INCRWYENT RY	equal Oft AGL, 2. Thrust in	OR inconsistency between ATC clearance and aircraft position o airsite detected.
2. Efficient communicati on	Inadequate communicati on with pilot	TO32B412	ATCOER - Inadequate communication with pilot resulting with runway incursion	TO- <u>RI</u> - ATCOER- FCCOM	taxiing mode.	0	Negative cabin voice record analysis for key words AND /OR Incomplete pilot-controller communication loop (e.g. lack of controller hearback)
		Pilot failure to follow taxi route	TO32B421	FCE - failure to follow taxi route	TO- <u>RI</u> -FCE- ATMINST		OR Inconsistency between flight crew action and
		Pilot failure to follow runway entry	TO32B422	FCE - failure to follow runway entry instructions	TO- <u>RI</u> -FCE- ATMINST		ATCO instructions (digital message to aircraft computer from ATCO needed)

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		instructions					
3. ATCO conflict detection on RWY	conflict recognize detection on and	ATCO failure to recognize runway conflict	TO32B41121	ATCOER - failure to recognize runway conflict	TO- <u>RI</u> - ATCOER- CONFLREC		Runway conflict detected AND lack of ATCO reaction classified as aiming at conflict resolutio
	judge the runway conflict	ATCO misjudgment of runway separation	TO32B41122	ATCOER - misjudgment of runway separation	TO- <u>RI</u> - ATCOER- MISJ- RWYSEP		
4. Ground Ground radar radar is surveillance available at the airport, is free of	radar is	Ground radar not present	TO32B411111	Lack of ground radar at the airport	TO- <u>RI</u> - LGRDR		Lack of ground radar coded as available in airpor system
	Ground radar failure	TO32B411112	Ground radar failure	TO- <u>RI</u> - GRDRF	ni co	OR Runway conflict detected AND Ground radar not active AND / OR lack of warning about the conflict received	
	failure and operated correctly	Ineffective ATCO use of ground radar	TO32B411113	ATCOER - Ineffective use of ground radar	TO- <u>RI</u> - ATCOER- INCGRDRUS E		OR Runway conflict detected AND ATCO activity (input to the airsite traffic control) detected to b inconsistent with support delivered by the groun radar AND ground radar is free from failure
5. Non-radar surveillance ce at the airport	non- radar	Flight crew lost on airport	TO32B411121 1	FCE – lost on airport	TO- <u>RI</u> -FCE- LOST		Aircraft route classified as incorrect (related to ATC clearance) (detected via electronic message sent to ATCO) AND flight crew reported position is incorrect
	ATCO failure to clarify position reports	TO32B411121 2	ATCOER - failure to clarify position reports	TO- <u>RI</u> - ATCOER- FCPOSCLAR		OR flight crew reported position is incorrect (detected via electronic messages sent to ATCO) AND lack of corrective message sent to flight cre (simultaneously to voice communication)	
		Inadequate airport ATCO coordination	TO32B411122	ATCOER - Inadequate airport coordination	TO- <u>RI</u> - ATCOER- INADRMCO ORD		OR Negative control tower voice record analysis for key words AND /OR Incomplete airport controller-approach controller communication loop (e.g. lack of adequate hearback)
6. Take-off procedure	Effective take-off	Take-off instruction	TO32B611	ATCOER - Take- off instruction	TO- <u>RI</u> - ATCOER-		ATCO take-off instructions detected as incorrec for given airsite situation

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	procedur e provided by ATCO	error by ATCO Inadequate communicati on with pilot	TO32B612	error ATCOER - Inadequate communication with pilot	TOINSTRER TO- <u>RI</u> - ATCOER- FCCOM		OR Negative cabin voice record analysis for key words AND /OR Incomplete pilot-controller communication loop (e.g. lack of controller hearback)
	-	Pilot failure to follow take-off instructions	TO32B62	FCE - failure to follow take-off instructions	TO- <u>RI</u> -FCE- ATMINST		OR Inconsistency between flight crew action an ATCO instructions (digital message to aircraft computer from ATCO needed)
7. RIMCAS alert	Runway conflict	RIMCAS not present	TO32B21	Lack of RIMCAS	TO- <u>RI</u> - LRIMCAS	Phase 2 following	Lack of RIMCAS coded as available in airport system
	warning system is installed at the	RIMCAS failure to give warning in time	TO32B22	Loss of warning - RIMCAS	TO- <u>RI</u> - RIMCASF	phase 1 AND at least 2 from: 1. Speed above ~35kts and not decreasin	OR runway conflict detect (with other means) AND RIMCAS not active AND / OR lack of warni about the conflict delivered
	airport, free of failures and operated	Controller failure to respond to RIMCAS warning	TO32B23	ATCOER - failure to respond to RIMCAS warning	TO- <u>RI</u> - ATCOER- LRIMCASRE SP		OR Inconsistency between ATCO action and
•	correctly	Controller failure to resolve conflict in time	TO32B24	ATCOER - failure to resolve conflict in time	TO- <u>RI</u> - ATCOER- INSRIMCAS RESP	g 2. Altitude: 0 - 50ft AGL, 3. Applicatio	RIMCAS resolution.
8. ATCO resolves the conflict on RWY	ATCO effectivel y resolves	Low visibility prevents conflict detection	TO32B111	Low visibility detected	TO- <u>RI</u> -LVISIB	n of take- off power	Runway conflict detected AND low visibility conditions detected
	the conflict on RWY	Darkness prevents conflict	TO32B112	Darkness prevents conflict	TO- <u>RI</u> - DRKNSS		OR Runway conflict detected AND darkness

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		detection Restricted view from tower prevents conflict	TO32B113	detection Restricted view from tower	TO- <u>RI-</u> <u>ADRM</u> -DF- RESTCTRVIS IB		OR Runway conflict detected AND tower locatio classified as disabling view on runway
		detection ATCO failure to see visible aircraft in time	TO32B114	ATCOER - failure to see visible aircraft in time	TO- <u>RI</u> - ATCOER- LOBS		OR conflict detected AND classified as being visible from tower AND lack of ATCO reaction classified as aiming conflict resolution
		ATCO failure to resolve conflict in time	TO32B115	ATCOER - failure to resolve conflict in time	TO- <u>RI</u> - ATCOER- INSRESP		OR conflict detected AND classified as being visible from tower AND lack of adequate ATCO reaction aiming conflict resolution
		Aircraft using runway	ТО32В3	Aircraft using runway	TO- <u>RI</u>		OR Two aircraft on runway detected
9. Flight Crew	Flight crew of	Avoidance essential	TO32C3	Avoidance essential	TO- <u>RI</u> -FCE- AVOIDESS		Conflict detected AND immediate avoidance action necessary
avoidance	one of the colliding aircraft	Ineffective avoidance by intruding aircraft/vehi	TO32B12	FCE - Ineffective avoidance	TO- <u>RI</u> -FCE- INAVOD		OR Conflict detected AND Conflict detected AN flight crew input to the aircraft controls inconsistent with procedure assumed as optima for given situation
	resolves the conflict	cle		GSE – ineffective avoidance	TO- <u>RI</u> -GSE- INAVOD		OR Conflict detected AND Conflict detected AN vehicle operator input to the vehicle controls inconsistent with procedure assumed as optima for given situation
		Ineffective avoidance by impeded aircraft	TO32B13	FCE - Ineffective avoidance	to- <u>ri</u> -fce- Inavod		OR Conflict detected AND Conflict detected ANI flight crew input to the aircraft controls inconsistent with procedure assumed as optima for given situation

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## Table 26 ASCOS ESD 33 - Cracks in aircraft pressure boundary

Barrier	Description	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Airframe integrity related with maintenan ce	The maintenance process meets all requirement s able to affect aircraft	Manufacturi ng Inadequate	ER33B1111 1	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from inadequate manufacturing	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> - MFF	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed above V2,	<ul> <li>Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND occurred for stresses lower than expected indicating on manufacturing error AND precursor(s) for given occurrence was/were detected during manufacturing of the component</li> </ul>
	structure integrity	Wear & Tear	ER33B1111 2	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from extreme stresses	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u>	<ol> <li>Altitude</li> <li>above</li> <li>1000ft AGL,</li> <li>Thrust</li> <li>cruise</li> <li>mode.</li> </ol>	<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND extreme/frequent stresses occurred within the given component.</li> </ul>
		Routine Inspection Failure	ER33B1112 1	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from inspection failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF		<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND occurred for stresses lower than ultimate AND precursor(s) for given occurrence was/were detected during last adequate inspection</li> </ul>
		Routine Repair Failure	ER33B1112 2	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from routine repair failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF		<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND occurred for stresses lower than ultimate AND precursor(s) for given occurrence was/were detected during last repair indicating on incorrect</li> </ul>

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2. Aircraft is Structure adequately integrity inspectioned related to and damage maintained after damage resulted from incident or	adequately n inspectioned pr and maintained after damage resulted Bi from	dequately n likely to nspectioned propagate nd anintained fter amage esulted Bird strike		n likely to propagate Bird strike ER	ER33B1113 ER33B1121 11	Breach of pressurized fuselage skin during en route resulted from deterioration likely to propagate Breach of pressurized fuselage skin	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF ER- <u>SCF-NP-</u> <u>STRUCT-</u> PRFSBR-	<ul> <li>repair result</li> <li>Exceedance of ultimate loads in aircraft component was detected (with use of deformeters distribute over the aircraft) and classified as probable to propagate.</li> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeter distributed in aircraft structure) AN</li> </ul>
	accident	Collision	ER33B1121	fuselage skin during en route resulted from bird strike Breach of	PRFSBR- BIRD ER-SCF-NP-	distributed in aircraft structure) AN Bird strike detected (with deformeters and/or analysis of nois registered around the aircraft) ANE event classified as dangerous for aircraft pressure skin integrity - OR Breach of pressurized fuselage		
		whilst on ground	12	pressurized fuselage skin during en route resulted from ground collision	<u>STRUCT-</u> <u>PRFSBR</u> - GCOL	skin detected (e.g. with deformete distributed in aircraft structure) AN collision on ground detected (with deformeters and/or analysis of noi registered around the aircraft) and aircraft-vehicle/aircraft positions analysis AND classified as dangerou for aircraft pressure skin integrity		
	-	Tail strike	ER33B1121 13	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from tail strike during previous take-off or landing	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> - ARC	<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeter distributed in aircraft structure) AN tail strike detected (with deformeter and/or analysis of noise registered around the aircraft) and/or aircraft attitude analysis AND classified as dangerous for aircraft pressure skin</li> </ul>		

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		Incident ER33B11 ection 21 ire	21 <u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from post incident inspectior failure		<ul> <li>integrity</li> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND event classified as dangerous for pressurized fuselage skin was detected (for the same component/fragment) AND precursors for this event was detected during post incident inspection of the component /fragment of the fuselage skin</li> </ul>
	Post Repa Failu		21 <u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from post incident repair failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF	<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND event classified as dangerous for pressurized fuselage skin was detected (for the same component/fragment) AND precursors for this event was detected during post incident repair of the component /fragment of the fuselage skin</li> </ul>
	Dam likel prop	-	21 Breach of pressurized fuselage skin during en route resulted from damage likely to propagate	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF	<ul> <li>OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND classified as probable to propagate.</li> </ul>
		sure ER33B11 ndary 1 y to fail	22 <u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u>	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF	

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		on next flight		during en route resulted from damage likely to propagate				
		Subsequent Inspection Failure	ER33B1122 2	Breach of pressurized fuselage skin during en route resulted from subsequent inspection failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF		-	OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND classified as needed reparation during following inspection AND lack of reparation detected in the following inspection AND precursor(s) for given occurrence detected during inspection
		Subsequent Repair Failure	ER33B1122 3	Breach of pressurized fuselage skin during en route resulted from subsequent repair failure	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -MF		-	OR Breach of pressurized fuselage skin detected (e.g. with deformeters distributed in aircraft structure) AND classified as needed reparation during following inspection AND precursor(s) for given occurrence detected during the reparation
3. Door integrity	Aircraft door are properly designed, manufacture d and maintained	Door Design Potentially Unsafe	ER33B121	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from door potentially unsafe	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- DF	Door design and airworthine ss phase	-	Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone solutions AND these error classified as dangerous for aircraft pressure resistance
		Design fault not known	ER33B1221	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from door	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- DF		-	OR Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone

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				design fault which is not known		solutions AND these error classified as dangerous for aircraft pressure resistance AND the errors occurred indicating on lack of knowledge of the issue among the crew
		No safety directive issued	ER33B1222 1	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from door design fault not covered by appropriate safety directive	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- DF-UNREC	<ul> <li>OR Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone solutions AND these error classified as dangerous for aircraft pressure resistance AND lack of information about the appropriate safety directive issued coded in aircraft computer</li> </ul>
		Manufacture r ignores safety directive	ER33B1222 2	<u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from door design fault not covered by appropriate manufacturer modifications	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- MFF	<ul> <li>OR Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone solutions AND these error classified as dangerous for aircraft pressure resistance AND aircraft computers installed on next versions of the aircraft the problem occurred for the first time detect the situation classified as the same or similar (as on the previous version of the aircraft) AND / OR problem is valid longer than 3 month (assumed as time for manufacturer' modifications) (not removed/reset by structural modifications)</li> </ul>

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	Airline ignores safety directive	ER33B1222 3	Breach of pressurized fuselage skin during en route resulted from door design fault not covered by implementation of the safety directive by the airline	ER-AOER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- LSAFDIRIM PL	<ul> <li>OR Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone solutions AND these error classified as dangerous for aircraft pressure resistance AND aircraft computers installed on next versions of the aircraft the problem occurred for the first time detect the situation classified as the same or similar (as on the previous version of the aircraft) AND / OR problem is valid longer than 3 month (assumed as time for safety directive implementation by airline) (not removed by appropriate crew door operation)</li> </ul>
	Modificat inadequa		Breach of pressurized fuselage skin during en route resulted from door design fault not covered due to inadequate manufacturer modifications	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- MFF- SFDIRIMPLF	<ul> <li>OR Aircraft door manual compared with envisioned operation procedure conducted by the crew (critical aircraft operation envisioning system necessary) indicating on error prone solutions AND these error classified as dangerous for aircraft pressure resistance AND aircraft computers installed on next versions of the aircraft the problem occurred for the first time detect the situation classified as the same or similar (as on the previous version of the aircraft) AND / OR problem is valid longer than 3 month (assumed as time for</li> </ul>

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								manufacturer' modifications) (not removed/reset despite structural modifications)
		Door damaged by personnel	ER33B1231	FCE - <u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route resulted from door damage caused by incorrect operation	ER-FCE- <u>SCF-</u> <u>NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- INCOPER	Phase 1. Following phase 0 (take-off) and at least 2 from: 1. Speed	-	OR door system damage detected AND incorrect operation by crew detected
		Door Operation Failure	ER33B1232	FCE - <u>Breach of</u> <u>pressurized</u> <u>fuselage skin</u> during en route due to door operation failure	ER-FCE- <u>SCF-</u> <u>NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR- INCOPER	above V2, 2. Altitude above 1000ft AGL, 3. Thrust cruise	-	OR door closing operation detected as being conducted incorrectly AND error classified as dangerous
		Separate fault causes failure	ER33B1233	Breach of pressurized fuselage skin during en route resulted from door flight during en route	ER- <u>SCF-NP-</u> <u>STRUCT-</u> <u>PRFSBR</u> -DR	mode.	-	OR breach of pressure boundary detected AND door failure detected AND no precursors related to other failures detected (operation, maintenance, manufacture etc.)
4. Door operation	Door are correctly operated by	Aircraft doors not secured	ER33B131	FCE – door closing incorrect	ER-FCE- DRNSEC	Phase 00: before take-off –	-	Door detected as being incorrectly secured
	the flight crew	No Door Check	ER33B1321	FCE – lack of door check	ER-FCE- LDRCHCK	phase 0 and phase 1 AND	-	OR lack of door check procedure detected (cabin voice analysis AND door system installed detectors)
		Door Check Unsuccessful	ER33B1322	FCE – incorrect door check	ER-FCE- DRCHCKF	Landing gear compressio n longer	-	OR door check procedure detected as being conducted incorrectly (cabin voice analysis AND door system installed detectors)

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		No Warning System	ER33B1331	Lack of door warning system installed on the aircraft	ER- <u>SCF-NP-</u> <u>STRUCT-</u> PRFSBR-DR- LDRWRN	than 10min and at least 1 from: 1. Altitude equal 0ft AGL, 2. Thrust in taxiing or idle mode.	<ul> <li>OR door warning system unavailable for the aircraft computer</li> </ul>
	-	Warning system failure	ER33B1332	<u>Warning loss</u> – door failure warning	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNLS</u> - DRF		<ul> <li>OR door failure detected AND door warning system available AND no warning about the failure received</li> </ul>
		Warning system inadequate	ER33B1333	<u>Warning error</u> – door failure	ER- <u>SCF-NP-</u> <u>AVION-</u> <u>WRNERR</u> - DRF		<ul> <li>OR door failure detected AND door warning system available AND warning about the other door failure received</li> </ul>
		Crew ignore warning system	ER33B1334	FCE – ignoring the door failure warning	ER-FCE- IGNDRWRN		<ul> <li>OR door failure detected AND door warning received AND lack of flight crew actions aiming at problem resolution detected</li> </ul>
5. Decompre ssion resistance	structuralDecompressidecompressionSTRUCT-Followdesignon followingresulted fromDECOMP-phasedisableFuselagestructural failureFSLGE(take-compression)	Phase 1. Following phase 0 (take-off) and at least	<ul> <li>Aircraft structural component failure detected AND rapid decompression detected</li> </ul>				
consequence of decompressi on	Rapid Decompressi on following Bulkhead Failure	ER33B22	<u>Cabin</u> decompression resulted from bulkhead failure	ER- <u>SCF-</u> <u>NP-</u> <u>STRUCT-</u> <u>DECOMP</u> - BLKHD	2 from: 1. Speed above V2, 2. Altitude above	<ul> <li>OR Aircraft bulkhead structural failure detected AND rapid decompression detected</li> </ul>	
	-	Rapid Decompressi on following Window Failure	ER33B23	<u>Cabin</u> <u>decompression</u> resulted from window failure	ER- <u>SCF-</u> <u>NP-</u> <u>STRUCT-</u> <u>DECOMP</u> - CABINWD W	1000ft AGL, 3. Thrust cruise mode.	<ul> <li>OR Aircraft window structural failure detected AND rapid decompression detected</li> </ul>
		Rapid	ER33B24	<u>Cabin</u>	ER- <u>SCF-</u>	-	- OR Aircraft door structural failure

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		Decompressi on following Door Failure		decompression resulted from door failure	<u>NP-</u> <u>STRUCT-</u> <u>DECOMP</u> - DRF	 detected AND rapid decompression detected
		Failure E propagates catastrophic ally	ER33B3	<u>Catastrophic cabin</u> <u>decompression</u> resulted from structural failure	ER- <u>SCF-</u> <u>NP-</u> <u>STRUCT-</u> <u>DECOMP-</u> CAT	<ul> <li>OR Aircraft structural component failure detected AND rapid decompression detected AND situation classified as unrecoverable</li> </ul>

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## Table 27 ASCOS ESD 35 - Flight crew decision error /operation of equipment error

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Trajector Y Comman d Procedur	s affecting n command ed	Ground navaid failure causes ITC	AL35F52 11	ITC caused by ground Navaid failure	AL- <u>CFIT</u> -GNAF	Phase 1 following phase 0 (en route) and at	<ul> <li>Available flight trajectory data indicates on navaids failure risk (navaids data compared with data from GPS or other new sources like radio altimeter coupled with terrain surface model) AND current aircraft trajectory classified as incorrect</li> </ul>
es	are executed correctly, on-board devices affecting	On-board nav equipment failure causes ITC	AL35F52 12	ITC caused by on-board nav equipment failure - <u>navigational</u> <u>information loss</u>	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>NAVLS</u>	least 2 from: 1. Speed below 240 kts and not	<ul> <li>OR on-board navigational system failure detected (navigational information loss) AND aircraft trajectory classified as incorrect (active support of ground navigational system required)</li> </ul>
	command ed trajectory are free of failures			ITC caused by on-board nav equipment failure - <u>Navigational</u> information <u>error</u>	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>NAVERR</u>	increasin g 2. Altitude below 2000ft AGL and not	<ul> <li>OR on-board navigational system failure detected (navigational information error detected) AND aircraft trajectory classified as incorrect (active support of ground navigational system required)</li> </ul>
		Inadequate charts cause ITC	AL35F52 13	FCE – inadequate charts causing ITC	AL- <u>CFIT</u> -FCE- INCHRTS	increasin g, 3. Applicati on of	<ul> <li>OR ITC detected AND no warning about system failure detected</li> </ul>
		Incorrect ATC clearance causes ITC	AL35F52 14	ATCOER – incorrect clearance causes ITC	AL- <u>CFIT-ATM</u> - ATCOER- INCCLRNC	approach mode thrust	<ul> <li>OR ITC detected in ATC clearance (simultaneous digital message sent to aircraft computer with clearance required)</li> </ul>
		Data	AL35F52	FCE – ITC caused	AL-CFIT-FCE-		- OR ITC detected AND no warning about

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	-	interpretation by pilot causes ITC Misjudgment	2 AL35F52	by incorrect data interpretation by flight crew FCE – ITC caused	NAVDINTRP AL-CFIT-FCE-	_	system failure detected
		by pilot causes ITC	3	by pilot misjudgment of terrain separation	MISJ-TERSEP		
		Violation of procedures by pilot causes ITC	AL35F52 4	FCE – ITC caused by procedures violation by the flight crew	AL- <u>CFIT</u> -FCE- PROCVIAL		<ul> <li>OR ITC detected AND no warning about system failure detected AND pilot input to the aircraft controls as well as cabin voice analyses indicate on procedure violation</li> </ul>
		Incorrect trajectory conflicts with terrain	AL35F53	ITC resulting with trajectory colliding with terrain	AL- <u>CFIT</u> -FCE- ITC-CFIT		<ul> <li>OR ITC detected AND current trajectory collides with terrain</li> </ul>
		Ground navaid failure causes ITC	AL35F62 11	ITC caused by ground Navaid failure	AL- <u>CFIT</u> -GNAF	_	<ul> <li>Available flight trajectory data indicates o navaids failure risk (navaids data compare with data from GPS or other new sources like radio altimeter coupled with terrain surface model) AND current aircraft trajectory classified as incorrect</li> </ul>
		On-board nav equipment failure causes ITC	AL35F62 12	ITC caused by on-board nav equipment failure - <u>navigational</u> <u>information loss</u>	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>NAVLS</u>		<ul> <li>OR on-board navigational system failure detected (navigational information loss) AND aircraft trajectory classified as incorrect (active support of ground navigational system required)</li> </ul>
				ITC caused by on-board nav equipment failure - <u>Navigational</u>	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>NAVERR</u>		<ul> <li>OR on-board navigational system failure detected (navigational information error detected) AND aircraft trajectory classified as incorrect (active support of ground navigational system required)</li> </ul>

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				<u>information</u> error			
		False ILS capture causes ITC	AL35F62 13	ITC caused by false ILS capture	AL- <u>CFIT</u> -GNAF- ILS		<ul> <li>OR false ILS capture detected (on base of data comparison with data from other sources) AND ITC detected</li> </ul>
		FMS nav database error causes ITC	AL35F62 14	ITC caused by <u>navigational</u> information <u>error</u>	AL- <u>CFIT</u> -SCF- NP-AVION- NAVERR- DTBSER		- OR ITC detected AND FMS navigational
				ITC caused by <u>flight</u> <u>management</u> <u>information</u> error	AL- <u>CFIT</u> -SCF- NP-AVION- FMIERR- DTBSER		database error detected (when compared with data transferred from ground)
		FMS fault causes ITC	AL35F62 2	ITC caused by flight management information error	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>FMIERR</u>		- OR ITC detected AND FMS failure detecte
				ITC caused by autopilot control or guidance error	AL- <u>CFIT-SCF-</u> <u>NP-AFC-APERR</u>		
		FMS input error by flight crew causes ITC	AL35F62 3	FCE – incorrect FMS operation	AL- <u>CFIT</u> -FCE- FMSNAVINPUT		<ul> <li>OR ITC detected AND flight crew control input to the FMS system classified as</li> </ul>
	-	Misuse of FMS by flight crew causes ITC	AL35F62 4	FCE – misuse of FMS	AL- <u>CFIT</u> -FCE- INCOPER-FMS		incorrect
		Incorrect trajectory	AL35F63	ITC resulting with trajectory	AL- <u>CFIT</u> -FCE- ITC-CFIT		<ul> <li>OR ITC detected AND current trajectory collides with terrain</li> </ul>

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		conflicts with terrain		colliding with terrain			
		Inadequate trajectory command (ITC) by ATCO	AL35F72 1	ATCOER – incorrect trajectory command causes ITC	AL- <u>CFIT-ATM</u> - ATCOER-ITC- CFIT		<ul> <li>OR ITC detected in ATC trajectory command (simultaneous digital message sent to aircraft computer with command required)</li> </ul>
		Inadequate communicatio n with pilot	AL35F72 2	ATCOER – inadequate communication with pilot	AL- <u>CFIT</u> -ATM- ATCOER- FCCOM		<ul> <li>OR Negative cabin voice record analysis for key words AND /OR Incomplete pilot- controller communication loop (e.g. lack of controller hearback)</li> </ul>
		Inadequate pilot response to ATC	AL35F72 3	FCE – incorrect response to ATC command	AL- <u>CFIT</u> -FCE- INCATMRESP		<ul> <li>OR Inconsistency between flight crew actic and correct ATCO instructions (digital message to aircraft computer from ATCO needed)</li> </ul>
		Incorrect trajectory conflicts with terrain	AL35F73	ATCOER - ITC resulting with trajectory colliding with terrain	AL- <u>CFIT-ATM</u> - ATCOER-ITC- CFIT		<ul> <li>OR ITC detected AND current trajectory collides with terrain</li> </ul>
board cr monitori ac ng st	Flight crew adherence s to the CRM rules	Lack of fitness of PNF	AL35B41 11	FCE – lack of fitness of PNF	AL- <u>CFIT</u> -FCE- CRMF-LFPNF		<ul> <li>Flight toward terrain commanded (FTTC) detected AND PNF reaction not detected AND precursor indicating on risk of PNF tiredness detected (workload near the limit and / or quality of work evaluation during flight etc.)</li> </ul>
		Distraction of PNF by unplanned events	AL35B41 12	FCE – PNF distraction by unplanned events	AL- <u>CFIT</u> -FCE- CRMF- PNFDISTR		<ul> <li>OR FTTC detected AND PNF reaction concerning commanded trajectory not detected AND need for PNF reaction in terms of other task identified as necessary AND PNF attention absorbed by other unplanned event AND attention absorption level classified as disabling execution of</li> </ul>

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	Absorption of PNF in routine tasks		FCE – PNF absorbed in other routine tasks	AL- <u>CFIT</u> -FCE- CRMF-PNFABS		other tasks - OR FTTC detected AND PNF reaction concerning commanded trajectory not detected AND PNF attention absorbed by other routine tasks AND attention absorption level classified as disabling execution of other ones
	PF under instruction by PNF Flight crew	AL35B41 21 AL35B41	FCE - PF under instruction by PNF FCE – joint	AL- <u>CFIT</u> -FCE- CRMF- PFUNPNF AL-CFIT-FCE-		<ul> <li>OR FTTC detected AND cabin voice analysis indicates on situation when PF under instruction of PNF</li> </ul>
	jointly operating FMS	22	operation of FMS	CRMF- INCFMSOP		<ul> <li>OR FTTC detected AND input to the FMS controls detected as being made by both pilots alternately</li> </ul>
	PNF looking for terrain	AL35B41 23	FCE – PNF looking for terrain	AL- <u>CFIT</u> -FCE- CRMF-PNFLFT		<ul> <li>OR FTTC detected AND PNF seems to look for the terrain (detected on base of eye pupil tracking)</li> </ul>
	Inexperienced PNF not monitoring PF	24	FCE – inexperienced PNF not monitoring PF	AL- <u>CFIT</u> -FCE- CRMF- INEXPPNF		<ul> <li>OR (FTTC) detected AND PNF reaction not detected AND precursor indicating on lack of self-confidence related to lack of experience detected</li> </ul>
	Failure of on- board monitoring	AL35B42	FCE – failure of on-board monitoring	AL- <u>CFIT</u> -FCE- CRMF- ONMONF		<ul> <li>OR (FTTC) detected AND PNF involvement in monitoring detected (on base of cabin voice analysis for key words) AND PNF does not recognize the incorrect trajectory</li> </ul>
	PNF subordinate and silent	AL35B43 1	FCE – PNF subordinate and silent	AL- <u>CFIT</u> -FCE- CRMF-PNFSUB		<ul> <li>OR (FTTC) detected AND PNF reaction not detected AND cabin voice analysis indicates on unbalanced relations between PNF and PF (advanced analytical methods required)</li> </ul>
	PNF superior and silent	AL35B43 2	FCE – PNF superior and silent	AL- <u>CFIT</u> -FCE- CRMF-PNFSUP		<ul> <li>OR (FTTC) detected AND PNF involvement in monitoring detected (eye pupil tracking analysis) AND PNF does not warn the PF about the error (cabin voice analysis)</li> </ul>

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		Press-on-itis	AL35B44 1	FCE – PF resistance to PNF warning	AL- <u>CFIT</u> -FCE- CRMF- PFIGNPNFWRN	- OR (FTTC) detected AND PNF warns the PF about the error AND lack of trajectory corrections detected
		ATC disregard flight crew concerns	AL35B44 2	ATCOER – disregard of flight crew concerns	AL- <u>CFIT-ATM</u> - ATCOER- IGNFCWRN	<ul> <li>OR (FTTC) detected AND flight crew expres the concern about correctness of flight trajectory to ATCO AND lack of trajectory corrections detected</li> </ul>
3. Visual Flight warning crew executes trajectory	crew executes	Imminent CFIT above decision height (DH)	AL35C2	Imminent CFIT above decision height (DH)	AL- <u>CFIT</u> -ADH	<ul> <li>(FTTC) detected AND aircraft above decision height AND aircraft situation related to the terrain classified as indicating on imminent CFIT</li> </ul>
	correction on base of visible terrain	Low visibility over terrain	AL35B21 11	Lack of visibility	AL- <u>CFIT</u> -ITC- LVISIB	<ul> <li>OR aircraft situation related to the terrain classified as indicating on imminent CFIT AND aircraft above decision height AND weather conditions indicate on lack of visibility (and in consequence the terrain)</li> </ul>
		Dark terrain	AL35B21 12	Darkness	AL- <u>CFIT</u> -ITC- DRKNSS	<ul> <li>OR aircraft situation related to the terrain classified as indicating on imminent CFIT AND aircraft above decision height AND darkness (and in consequence the terrain)</li> </ul>
		Flight crew fail to see visible terrain	AL35B21 2	FCE – fail to see visible terrain	AL-CFIT-ITC- <u>FCE</u> -LTVIS	<ul> <li>OR aircraft situation related to the terrain classified as indicating on imminent CFIT AND aircraft above decision height AND weather conditions indicate on clear weather AND lack of flight crew reaction aiming at trajectory correction AND no other factors detected (e.g. crew incapacitation)</li> </ul>
		Unsuccessful avoidance of observed terrain	AL35B21 3	FCE – failure to avoid visible terrain	AL- <u>CFIT</u> -ITC- FCE- TERAVOIDFAIL	<ul> <li>OR aircraft situation related to the terrain classified as indicating on imminent CFIT AND aircraft above decision height AND flight crew fail to avoid visible terrain (impact detected)</li> </ul>

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		Imminent CFIT at decision height	AL35C3	Imminent CFIT at decision height (DH)	AL- <u>CFIT</u> -DH		<ul> <li>(FTTC) detected AND aircraft at decision height AND aircraft situation related to the terrain classified as indicating on imminent CFIT</li> </ul>
		Unsuccessful missed approach procedure	AL35B22 A	FCE - unsuccessful missed approach procedure	AL- <u>CFIT</u> -FCE- MAF		<ul> <li>OR aircraft situation related to the terrain classified as indicating on imminent CFIT AND aircraft at decision height AND missed approach procedure execution detected to be launched AND missed approach procedure classified as unsuccessful (on base of inconsistency between pilot input to the aircraft controls and missed approach model procedure coded in aircraft computer)</li> </ul>
4. ATC warning	Flight crew warned by ATC	No terminal area radar (TAR) available	AL35B31	No terminal area radar (TAR) available at the aircraft location	AL- <u>CFIT-ATM</u> - LTAR		<ul> <li>Aircraft situation related to the terrain classified as CFIT AND aircraft location does not covered by TAR</li> </ul>
		Unsuccessful ATCO monitoring of TAR	AL35B32 1	ATCOER – unsuccessful TAR monitoring	AL- <u>CFIT-ATM</u> - ATCOER- LTAROBS	-	<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND aircraft location indicated on TAR AND lack of ATCO reaction aiming at flight crew warning</li> </ul>
		No MSAW available	AL35B32 21	No MSAW available	AL- <u>CFIT-ATM</u> - LMSAW		<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND aircraft location indicated on TAR AND MSAW function not available</li> </ul>
		MSAW failure to give warning in time	AL35B32 22	<u>Warning loss</u> - MSAW	AL- <u>CFIT-ATM</u> - <u>WRNLS</u> - MSAWF		<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND aircraft location indicated on TAR AND MSAW function not available AND lack of warning about the MSA</li> </ul>
		ATCO failure to respond to	AL35B32 23	ATCOER – failure to respond to	AL- <u>CFIT-ATM</u> - ATCOER-		<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND MSAW detected AND</li> </ul>

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		MSAW warning		MSAW warning	LMSAWRESP		lack of ATCO reaction aiming at respond to MSAW warning
		ATCO failure to resolve conflict in time	AL35B33	ATCOER - failure to resolve conflict in time	AL- <u>CFIT-ATM</u> - ATCOER- INCMSAWRESP		<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND MSAW detected AND ATCO reaction aiming at respond to MSAW warning classified as inadequate</li> </ul>
5. GPWS warning	Flight crew warned by	GPWS not installed	AL35B11	Lack of GPWS	AL- <u>CFIT</u> -LGPWS		<ul> <li>Aircraft situation related to the terrain classified as CFIT AND GPWS function detected as unavailable at the aircraft</li> </ul>
	GPWS system	No GPWS warning in time	AL35B12	<u>Warning loss</u> - GPWS	AL- <u>CFIT-SCF-</u> <u>NP-AVION-</u> <u>WRNLS</u> -GPWS		<ul> <li>OR Aircraft situation related to the terrain classified as CFIT AND GPWS function detected as available at the aircraft AND lack of warning about the ground proximity.</li> </ul>

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### Table 28 ASCOS ESD 36 - Ground collision imminent

Barrier	Descriptio n	Possibilities for braking the barrier – Base event	Code	Possible occurrence ( <u>CICTT</u> )	Designation ( <u>CICTT</u> )	Flight phase indicator	Proposed method for failure detection (trigger logic)
1. Ground Collision avoidan ce	All procedure s and means assuring proper ground movemen t executions are available	Ground agent error in moving equipment Ground equipment fault Ground movement deviation conflicts with aircraft	TO36F111 11 TO36F111 12 TO36F111 2	GSE – error in moving equipment Ground equipment fault Ground movement deviation conflicts with aircraft	GM- <u>GCOL</u> - GSE-EQMOV GM- <u>GCOL</u> - GSEQF GM- <u>GCOL</u> - GSMOV- SEPINFR	Phase 1: Landing gear compressio n and at least 1 from: 1. Altitude equal Oft AGL, 2. Thrust in taxiing mode or idle.	<ul> <li>Incorrect location of ground service equipment detected (CCTV with appropriate software required) resulted from operator fault or equipment fault</li> <li>OR Incorrect location of ground service equipment detected (CCTV with appropriate software required) resulted from operator fault or equipment fault AND conflict with aircraft detected (by aircraft computer and / or airsite CCTV system)</li> </ul>
	and used	Flight crew braking error allows movement while parked	TO36F112 11	FCE – braking error during parking	GM- <u>GCOL</u> - FCE-BRAKAPP		<ul> <li>OR braking application failure detected while parked (V=0)</li> </ul>
	-	Movement of other aircraft deviates from procedures	TO36F112 12	FCE – aircraft movement deviation	GM- <u>GCOL</u> - FCE-TXDEV		<ul> <li>OR aircraft movement deviation detected (route correctness monitored with e.g. GPS augmented by airport/aircraft systems)</li> </ul>
	-	Aircraft deviation creates conflict	TO36F112 2	FCE – aircraft movement deviation resulting with conflict	GM- <u>GCOL</u> - FCE-SEPINFR		<ul> <li>OR aircraft movement deviation detected AND conflict with other aircraft detected</li> </ul>
		Inadequate pushback	TO36F121 1	ATCOER – inadequate	GM- <u>GCOL-</u> <u>ATM</u> -	1	<ul> <li>OR pushback clearance provided by the ATCO identified as creating conflict with</li> </ul>

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		clearance		pushback clearance	ATCOER- PSHBCKCLRER		other aircraft/vehicle (detected on base of digital message sent by ATCO to aircraft computer identical as the voice communicate) AND aircraft in pushback phase
		Pushback equipment fault	TO36F121 2	Deviation from intended pushback trajectory resulting from towbar fault	GM- <u>GCOL</u> - GSEQF- PSHBCKEQF		
		Ground crew error in pushback	TO36F121 3	GSE – pushback error	GM- <u>GCOL</u> - GSE-PUSHBCK		- OR Deviation from intended pushback trajectory detected (resulted from human
		Ineffective ground crew - flight crew communication	TO36F121 4	FCE - Ineffective ground crew - flight crew communication	GM- <u>GCOL</u> - FCE-GSCOMM		or equipment fault) AND aircraft in pushback phase
				GSE - Ineffective ground crew - flight crew communication	GM- <u>GCOL</u> - GSE-FCCOMM		
		Pushback deviation creates conflict	TO36F122	Deviation from intended pushback trajectory resulting with conflict	GM- <u>GCOL</u> - PSHBCKDEV- SEPINFR		<ul> <li>OR Deviation from intended pushback trajectory detected (resulted from human or equipment fault) AND aircraft in pushback phase AND conflict with other aircraft or vehicle detected</li> </ul>
		Inadequate ground movement clearance or communication	TO36F131 1	ATCOER - Inadequate ground movement clearance or	GM- <u>GCOL-</u> <u>ATM</u> - ATCOER- GMCLRNCE		<ul> <li>OR Negative cabin voice record analysis for key words AND /OR Incomplete pilot- controller communication loop (e.g. lack of controller hearback) AND / OR clearance identified as creating conflict</li> </ul>

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				communication			with other aircraft/vehicle (detected on base of digital message sent by ATCO to aircraft computer identical as the voice communicate) AND aircraft in taxi-in/taxi- out phase
	e	Ground crew error in narshalling off tand	TO36F131 2	GSE – error in marshalling off aircraft	GM- <u>GCOL</u> - GSE-MRSH	-	<ul> <li>OR aircraft computer detected deviation from route trajectory during marshalling (continuous activity of some aircraft systems required)</li> </ul>
	r s	light crew nisjudgment of eparation in axi	TO36F131 3	FCE – misjudgment of separation in taxi resulting with movement deviation	GM- <u>GCOL</u> - FCE-MISJ- TXSEP	-	• OR conflicting trajectory with other aircraft or vehicle detected
	C	Novement of other aircraft leviates from orocedures	TO36F131 4	FCE - movement of other aircraft deviates from procedures	GM- <u>GCOL</u> - FCE-MOVDEV		<ul> <li>OR conflicting trajectory with other aircraft or vehicle detected AND movement deviation not detected indicating on movement deviation of other aircraft</li> </ul>
	c c	axi-out leviation reates conflict vith aircraft	TO36F132	FCE - out deviation creates conflict with aircraft	GM- <u>GCOL</u> - FCE-TXDEV- SEPINFR	-	OR conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision
	e r c	nadequate round novement learance or communication	TO36F141 1	ATCOER - Inadequate ground movement clearance or communication	GM- <u>GCOL-</u> <u>ATM</u> - ATCOER- GMCLRNCE		OR Negative cabin voice record analysis for key words AND /OR Incomplete pilot- controller communication loop (e.g. lack of controller hearback) AND / OR clearance identified as creating conflict with other aircraft/vehicle (detected on base of digital message sent by ATCO to aircraft computer identical as the voice communicate) AND aircraft in taxi-in/taxi-

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						out phase
	Inadequate stand allocation	TO36F141 2	ATCOER - Inadequate stand allocation	GM- <u>GCOL-</u> <u>ATM</u> - ATCOER- INCSTALL		<ul> <li>OR stand allocated by ATCO is detected</li> </ul>
	Aircraft fault causes deviation in taxi-in	TO36F141 31	<u>Brake system</u> <u>failure</u> resulting with taxiing deviation	GM- <u>GCOL-</u> <u>SCF-NP-LG-</u> <u>BRKFL</u>		to be occupied
			Tire failure resulting with taxiing deviationWheel failure resulting with taxiing deviationSteering system failure resulting with taxiing deviation	GM- <u>GCOL-</u> SCF-NP-LG- <u>TIREFL</u> GM- <u>GCOL-</u> SCF-NP-LG- WHLFL GM- <u>GCOL-</u> SCF-NP-LG- <u>STEERFL</u>		<ul> <li>OR deviation from correct taxi trajectory detected AND aircraft fault detected AND fault identified as leading to taxi deviation (e.g. landing gear component related)</li> </ul>
	Flight crew handling error in taxi-in Flight crew	TO36F141 32 TO36F141	FCE - handling error in taxiing FCE - violation of	GM- <u>GCOL</u> - FCE-AHAN GM- <u>GCOL</u> -	-	<ul> <li>OR deviation from correct taxi trajectory detected AND inconsistency between flight crew input to the aircraft control and control input assumed as correct for</li> </ul>
	violation of taxi procedures	33	taxi procedures	FCE- TAXPROCVIOL	-	given conditions
	Ground crew error marshalling onto stand	TO36F141 34	GSE - error marshalling onto stand	GM- <u>GCOL</u> - GSE-MRSH		<ul> <li>OR aircraft computer detected deviation from route trajectory during marshalling (continuous activity of some aircraft systems required)</li> </ul>
	Ground agent error in moving equipment	TO36F141 41	GSE - error in moving equipment	GM- <u>GCOL</u> - GSE-EQMOV		equipment detected (CCTV with appropriate software required) resulted
	Ground	TO36F141	Ground	GM- <u>GCOL</u> -		from operator fault or equipment fault

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		equipment fault	42	equipment failure	GSEQF	
		Taxi-in deviation creates conflict	TO36F142	Taxiing deviation resulting with imminent collision	GM- <u>GCOL</u> - TXDEV- SEPINFR	- OR conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision
2. Conflict avoidan ce (Crew)	Flight crew avoids the collision on base of visual warning	Avoidance impracticable for flight crew	TO36B21	Avoidance impracticable for flight crew	GM- <u>GCOL</u> - FCIMPR	<ul> <li>Conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision AND avoidance impracticable (aircraft computer does not find resolution advisory)</li> </ul>
		Conflict virtually invisible from flight deck	TO36B22	Conflict virtually invisible from flight deck	GM- <u>GCOL</u> - INVFRCAB	<ul> <li>OR conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision AND collision area invisible from the flight deck</li> </ul>
		Flight crew misjudgment of clearance	TO36B23	FCE - misjudgment of clearance	GM- <u>GCOL</u> - FCE-MISJ- CLRNCE	<ul> <li>OR conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision AND lack of flight crew reaction aiming at collision avoiding (flight crew see the situation what is detected e.g. by eye pupil tracking system)</li> </ul>
		Flight crew slow response to conflict	TO36B24	FCE - slow response to conflict	GM- <u>GCOL</u> - FCE-LIMRESP	<ul> <li>OR conflicting trajectory with other aircraft or vehicle detected AND aircraft situation classified as imminent collision AND lack of adequate flight crew reaction aiming at collision avoiding (flight crew see the situation what is detected e.g. by eye pupil tracking system)</li> </ul>
3. Conflict	Ground crew	Avoidance impracticable for	TO36B11	Avoidance impracticable	GM- <u>GCOL</u> - GSIMPR	<ul> <li>Conflicting trajectory with aircraft or vehicle detected (with the airsite CCTV</li> </ul>

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Avoidan ce (Ground crew)	avoids the conflict on base of visual warning	ground crew Conflict virtually	TO36B12	for ground crew	GM-GCOL-		<ul> <li>system) AND vehicle situation classified as imminent collision AND avoidance impracticable (aircraft computer does not find resolution advisory – if collision is with aircraft. Integration of airsite CCTV with aircraft computer required)</li> <li>Equipment of ground equipment with detection devices assumed as being too expensive)</li> <li>OR conflicting trajectory with aircraft or</li> </ul>
		invisible from tug	1050512	invisible from tug	INVFRTUG		vehicle detected with the airsite CCTV system) AND vehicle situation classified as imminent collision AND collision area invisible from the vehicle cabin (advanced software coupled with CCTV required)
		Inadequate monitoring by ground crew	TO36B13	GSE - Inadequate monitoring by ground crew	GM- <u>GCOL</u> - GSE-INMON		<ul> <li>OR Conflicting trajectory with aircraft or vehicle detected (with the airsite CCTV system) AND vehicle situation classified as imminent collision AND lack of vehicle driver reaction aiming at avoiding the collision (detected by the fact of collision)</li> </ul>
		Inadequate ground crew - flight crew communication	TO36B14	GSE - ground crew - flight crew communication	GM- <u>GCOL</u> - GSE- GSCOMM		<ul> <li>OR OR Conflicting trajectory with aircraft or vehicle detected (with the airsite CCTV system) AND vehicle situation classified as imminent collision AND lack of communication with flight crew detected (with advanced CCTV system)</li> </ul>